1	IN THE SUPERIOR COURT OF THE STATE OF ARIZONA					
2	FOR THE COUNTY OF YAVAPAI COUNTY, ARIZONA  FOR THE COUNTY OF YAVAPAI  2011 NOV 23 AM 9: 02					
3	SANDRA K MARKHAM. CLERK					
4	STATE OF ARIZONA, )					
5	Plaintiff,					
6	vs. ) Case No. V1300CR201080049					
7	JAMES ARTHUR RAY,					
8	Defendant.					
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14	REPORTER'S TRANSCRIPT OF PROCEEDINGS					
15	BEFORE THE HONORABLE WARREN R. DARROW					
16	TRIAL DAY FORTY-THREE					
17	MAY 10, 2011					
18	Camp Verde, Arizona					
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22	ORIGINAL					
23	REPORTED BY					
24	MINA G. HUNT AZ CR NO. 50619					
25	CA CSR NO. 8335					

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3 YAVAPAI	3 YAVAPAI COUNTY ATTORNEY'S OFFICE		3	2011, at Yavapai County Superior Court, Division		
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(Proceedings continued outside presence of jury.)

THE COURT: The record will show the presence 5 of Mr. Ray, represented by Ms. Do, Mr. Li and Mr. Kelly. The state is present represented by Ms. Polk and Mr. Hughes.

There are three legal matters pending. Primarily the length of the trial, 403 concerns, 9 the question of David Kent's testimony, and the question of Mr. Sundling's testimony.

12 And did anybody want to be heard on any 13 of those issues?

MS. POLK: Your Honor, good morning.

15 THE COURT: Good morning.

16 MS. POLK: I want to be heard on the issue of

Doug Sundling, first of all, because I think that 17

will take care of one of the matters fairly 18

19 guickly. It does relate to the state's concern

about the length of the trial and our ability to 20

finish within the remaining time allotted. 21

22 We have reviewed our witness list. We 23 have made some decisions to significantly narrow

24 the remaining witnesses. And one of the witnesses

25 that the state will withdraw at this point is Doug

Sundling. We would like to reserve the right to recall him in rebuttal.

But, also, for the Court's information 3 and purposes of this discussion, the state will not 5 call Steve Pace. The Court had already made a preliminary ruling on him anyway. But we won't 7 attempt to call him.

THE COURT: It was not barring his testimony. 8 It raised foundational thoughts. 9

10 MS. POLK: And I understand. I appreciate 11 that. And at -- at this time in the state's case 12 in chief because of this issue of time, these are the witnesses that we have made the -- the decision 13 14 at this time not to call.

Steve Pace is one of them. Doug Sundling 16 is another. Rick Ross is another. And we also at this time do not intend to call family members. We 18 had originally intended to call -- call them.

There are a number of participants we decided not to call, a number of law enforcement 20 officers. We had listed medical doctors. In light 21 22 of all the testimony that's come in, we don't feel 23 it's necessary for us to call any additional doctors.

25 To cut to the chase, Your Honor, we have

narrowed the ist down to 11. I think rather than telling the Court -- well, we're not going to call former employees, for example. But at this point we have it down to 11. 4

5 But anyway, the issue of Doug Sundling, we are not going to call him in our case in chief. 6

7 THE COURT: Okay.

MR. KELLY: And, Judge, if I may address just 8 the length of trial. We believe we probably need between a week to a week and a half to present the 10 11 defense case.

12 THE COURT: Four to six days, then? 13 MR. KELLY: Yes, sir. And obviously that

excludes any time allotted by the Court for closing 14

arguments and deliberations. If the trial were to

be completed by June 10, as contemplated by the 16

Court, if we back off of that day, I believe we 17

would begin presenting our case shortly after the 18

break would be our anticipation. 19

20 THE COURT: Well, let's address, then, the 21 remaining two issues.

And they may be related, Ms. Polk, in 22 that -- with regard to participant witnesses 23

24 other -- well, for 2009. Is there anything else --

else other than what's in the pleadings? 25

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1 MS. POLK: No, Your Honor. And just that we

had listed quite a number of participants. We have 2

narrowed that list to those remaining now who have

information specifically about what was happening

to the three victims. It is corroborative, not

cumulative. I can address it further if the Court wants me to. But I think I fully set out the 7

argument in our pleading. 8

THE COURT: And I'll see if the defense has 9 10 anything else.

MR. KELLY: In regards to the participant 11 witnesses, Judge, I believe -- we believe it's 12 cumulative. And that was summarized in Miriam's 13 pleading as to what we understand the testimony of 14 each witness to be. And thus we would submit that 15 issue on the pleading as to the participant 16 17 witnesses.

As to the remaining witnesses, Mr. Li is 19 going to address those.

THE COURT: Then with regard to the 2009 20 participant witnesses, I'm -- I'm going to be 21 mindful of time. And I'm going to be mindful of 22 when testimony gets into cumulative posture. But 23 I'm not going to make a preruling on that. 24 This case is about 2009. That's the

2 of 89 sheets

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issue. There have been many other things 2 discussed. And I'm just going to see how it 3 proceeds. If it appears to be cumulative, if I make that decision, I've just considered the need 5 to impose time limits, to set time limits, whether it's the remaining five hours or something for all witnesses and -- and equal time for the defense. 7 8

I understand that in a -- in the criminal justice system, it's not so clear cut being able to do that specifically with regard to the right to cross-examine. You just can't put what might be deemed an arbitrary limit on that.

But I'm strongly considering time limits with regard to those witnesses. But before I do that, I'll see how it proceeds with the first witness called.

Ms. Polk raised something about whether or not some of the 2009 participants -- I think there were two of them that had the experience in the 2008 sweat lodge.

Isn't that right?

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MS. POLK: Yes, Your Honor.

23 THE COURT: And that goes back to what I think 24 the -- the basic guideline has been throughout. If somehow the 2008 experience had an affect on 25

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conduct and thought in 2009, it would appear that would be relevant. And I don't know what else to say about that.

4 But if the defense wants to address that 5 any further.

Mr. Kelly.

MR. KELLY: Judge, again, of course, all of these issues have been both – have been both orally and in writing briefed and argued. And they're simply a 403 aspect. There is a cumulative aspect as well as the other issues that we've raised -- you know -- relating to 404(b).

And I think I understand from the Court that you're going to listen to the testimony and make the decision contemporaneous with the testimony. And we understand that. We're reserving our right to object based on 403 as -- as well as relevance.

THE COURT: Okay. Of course. And then objections can be made, of course.

That type of testimony, though, when -when talking about participants and prior experience, it was in this area that the leading questions problem or issue came up quite a bit.

And then some of that had to do with the

nature of the evidence that was going to be 1

permitted. And I remember sidebars where Ms. Polk

was leading, and there was an idea that that might

be the way to do that just to avoid going beyond

what was deemed appropriate with regard to those 5

prior events. 6

7 But I do want to make clear that that 8 area is one where the leading question is of concern when people start talking about what might 9 have affected them from a prior sweat lodge and 10 being directed to that and suggested as opposed to 11 their actual testimony, their spontaneous direct 12

testimony. I'll say that. 13 14 The other issue, I guess, is David Kent; 15 correct?

16 MS. POLK: Yes, Your Honor.

> THE COURT: Ms. Polk, anything else on that? That's been briefed quite thoroughly.

And I've read through that and the attachments.

MS. POLK: Your Honor, I won't repeat the state's argument, then. But just to emphasize that it is clear that the defense has made causation a central issue in this case. And many, many hours of testimony have been focused on these causation 24 and explanations for other causes. 25

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David -- Dr. Kent is that link that the 1 Court had expressed concern was missing. He is 2

that -- that witness who can talk specifically 3

about medically what was going on in 2009 and makes 4

that medical link between what people observed and 5

what he personally observed and his personal 6

observations, that it was heat and heat stroke that 7

people were suffering from. 8

He was a witness, that his existence was 9 known to the state, his existence was known to the 10 defense. But the state did not know he was a 11 doctor with that testimony until he contacted the 12 state on March 14, at which point we immediately 13 disclosed that email to the defense and then 14 immediately disclosed the subsequent interview that 15 occurred a couple of weeks early to the defense.

16 17 He is a witness that has been known to 18 all the parties since 2008, actually to the defense, because he was a participant. And he was 19 disclosed, I believe it was November of 2010, when 20 the state disclosed all these spreadsheets with all 21 the participants. And so it's not a surprise. 22

There is no undue prejudice to the defense. 23

And, again, it is significant, important 24 25 testimony that provides that link that what was

happening to participants in 2008 was heat related, that it was on that continuum, and his personal observation that -- that participants were suffering specifically from heat stroke.

And the state would urge the Court to 6 allow Dr. Kent to testify for that very reason, that he is significant, has very important 7 testimony, and it goes to this issue that the defense has made very central to this case, this issue of causation.

11 THE COURT: Mr. Li, are you going to address 12 that?

13 MR. LI: Yes, Your Honor, just quickly. There is an issue of due diligence. And the state had 14 the name of the -- of this individual. They simply 15 didn't investigate this particular individual. 16 17 They did investigate -- you know -- numerous people

from prior sweat lodges. And they simply didn't do 18

that with respect to Dr. Kent or Mr. Kent. 19

He arose simply because of the media surrounding this case. And so clearly whatever 21 22 happens, we would also have to have some sort of voir dire about his qualifications as to whether he 23 is a doctor, what -- you know -- what expertise he 24 has in diagnosing heat stroke, all those sorts of

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not purport to talk about the pathophysiology of heat stroke in any way that's relevant to the cause of death for the three people who passed away. That's the problem. You have a person who is completely unconnected to the actual cause of death to the three people who passed away.

not purport to be a forensic pathologist and does

And more importantly, he's not and does

And you have another pathophysiologist, Dr. Mosley, who, in our pleading I think we showed the Court, said it would be, I believe, dangerous or -- or words to that effect to try to use a -prior -- you know -- diagnoses to impact -- you know -- future diagnoses. And that's an actual pathophysiologist talking about it.

That's a forensic pathologist talking about the mechanism of heat stroke and saying that you simply did not do that. And so not only is there a late disclosure issue, but there is a relevance issue. There's a qualifications issue.

Your Honor, we don't know if he's even an expert, and we do not have time. The state -- if they wanted to investigate this, they could have deployed their resources that way, found out

whether he was a doctor or not. 1

It's not -- it's not the defense's burden 2 to try to figure out which cases -- or which --3 which witnesses are best for the state or -- or not 5 best for the state.

It's up to the state to show that it's 6 7 exercised due diligence to allow for late disclosure of an expert witness, Your Honor. And, 8 moreover, there were references in the witnesses 9 interviewed that there was a doctor in 2008 who was 10 present. So it's not as if that was a mystery 11 12 either.

13 THE COURT: Ms. Polk. MS. POLK: If I can just briefly respond. 14 First of all, Dr. Lyon had told the defense and, I 15 believe, also testified that he believed that what 16 happened on prior occasions is relevant to this 17 issue of causation. And I would direct the Court's 18 attention to State versus Smith, which is 140 Ariz. 19 355, wherein the Court addressed the issue of court 20 imposed sanctions for failure to make a disclosure 21 required by Rule 15 and expressed the Arizona 22 Supreme Court's position that precluding a witness 23 should not be a remedy if there is sanctions that 24 are short of that. 25

And specifically the Supreme Court set 1 out the test in determining whether or not a witness late disclosed should be allowed to 3 testify -- set forth four criteria: how vital the witness is to the case, whether the opposing party will be surprised, whether the discovery violation was motivated by bad faith, and then any other 7 relevant circumstances. 8

Clearly the -- Dr. Kent is vital to the 9 case. Clearly the defense has not been surprised. 10 I believe we're now almost up to -- to four weeks 11 since the state noticed him as a witness. This was 12 not a violation motivated by bad faith. 13

I've laid out the circumstances under 14 which we learned of Dr. Kent. And I think in light 15 of all of those factors, in light of the tests set 16 out by the Arizona Supreme Court, clearly these factors fall in favor of allowing Dr. Kent to 18 19 testify.

MR. LI: Your Honor, may I please -- I'm 20 21 sorry.

THE COURT: Yes. Go ahead.

22 MR. LI: There are some cases that we have 23 cited as well: State v. Thompson, State v. 24 Williams. Thompson is 190 Ariz. 555. Williams is 25

1 113 Ariz. 442. These all stand for the proposition that when the -- when the disclosure is tardy, when there were -- when the Court -- I mean when the state had the opportunity to do all the things -all the investigations that it needed to do and did 6 not do so, preclusion is the proper remedy.

Your Honor, we are, I think, almost three months into trial now. And it -- it is -- this is not how orderly evidence should be presented. We should not be in a position where we are going to 11 have a fight over the qualifications of a 12 particular expert -- purported expert.

We should not be in a position where 14 we're arguing about whether this -- this person 15 whose motivations are unknown to us, who -- who arose out of media contact, and who now wants to come in and testify about the heat stroke.

18 The Court has actually seen the 19 photographs of -- of the participants of 2008. And 20 this person is not -- you know -- has no evidence 21 that demonstrates he has any training about the 22 physiology. He has no -- there is no evidence of 23 any training as a forensic pathologist.

24 And it is -- you know -- the idea that 25 the -- this -- this individual can come in and just 1 expertise.

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With regard to those other three doctors, 2 3 there was no argument that they should not be allowed to testify. There was no argument about 4 foundation or qualifications and no pretrial 5 hearing to determine qualifications. 6

Dr. Kent is much the same. He is a lay 7 witness participant with expertise in an area that 8 this Court has already found is relevant. That's 10 this issue of causation and what happened in that same sweat lodge on a prior occasion during a 11 ceremony led by Mr. Ray. 12

The argument that the defense is raising with regard to his ability to testify about what he 14 saw and what his opinions are would go to the weight and not to the admissibility.

MR. LI: Your Honor, the Court's prior ruling relating to this causation issue and prior acts and having any impact on -- on causation was prior to the Haddow issue, was prior to Dr. Mosley, was prior to a lot of that testimony that was elicited about the construction of the sweat lodge and what 22 have you.

And -- you know -- I'm not going to 24 25 re- -- attempt to relitigate our motion for a

18

say, well -- you know -- based on my diagnosis, 1

2 these folks had heat stroke, when forensic -- you

3 know -- forensic pathologist, Dr. Mosley, another

one of the state's witnesses, says that applying 4

prior acts to the -- to the current situation would 5

be dangerous. Now, he literally said it would be 6

7 dangerous.

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And -- you know -- we would submit that he knows because he is, in fact, a forensic pathologist and says that you can't do that.

THE COURT: Yes.

12 MS. POLK: Thank you, Judge. The -- the statement from Dr. Mosley that the defense has 13 14 raised on several occasions really is taken out of context during an interview. 15

But I want to address what was just raised by Mr. Li, which his arguments go to the weight to be given this evidence, not its admissibility.

In this trial we have heard from several other doctors who were called as lay witnesses who had specific expertise: Dr. Wagoner,

23 Dr. Armstrong, and then Dr. Beverly Bunn, who was

the dentist. This is another doctor who is a

participant and who is an eyewitness who has 25

mistrial, because we understand the Court's ruling

here. But it is -- it is frankly not fair for the

3 state to reap all of the various advantages that it

seeks from various late disclosures, including

Brady violation, and -- and not provide any remedy

to the -- to the defense in terms of -- you know --

protecting Mr. Ray's rights in this particular 7

8 case.

The disclosure is late. It's -- it's 9 months late. It -- it is to a tangential issue. 10 This person who appears because of some media

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interest when this Court had actually seen 12

photographs of 2008 and also has found that it's 13

not clear that -- it's not actually clear what 14

the 2008 sweat lodge evidence is even relevant to 15

16 anymore.

We -- we made a motion, Your Honor, to 17 strike. The Court denied that motion to strike all 18 of the testimony relating to 2008. And we 19 understand that. But there's still -- the state is 20 acting as if we did not have this entire Haddow 21 issue and we did not have all of the -- all of the 22 evidence relating to the construction of the sweat 23 lodge and all of those -- all of those complicated

facts that the Court laid out in one of its oral

5 of 89 sheets

discussions about the situation that we're 1 2 currently in.

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And we can't turn the clock back to before that situation when -- before we knew that there were all these construction issues.

6 And the problem is now that the state 7 wants to benefit from all of the -- all of the things that have happened in the last month or so, 8 many of which are not the defense's -- well, all of 9 10 which are not the defense's -- you know -- fault to the extent that there is a fault, all of which are 11 the responsibility of the state's conduct.

12 And so we would urge the Court to 13 14 recognize that this is an extraordinarily late -late disclosure, that they did have the opportunity 15 to -- to interview this doctor. They could have 16 17 asked him. They've asked all sorts of people. 18 They've interviewed hundreds of people, as Detective Diskin has told the Court and this jury. 19

And -- and they decided not to interview 20 this person. And then he pops out of the woodwork 21 22 because of the media contact with respect to the trial. That's -- that's not the way an orderly 23 24

trial should progress. 25

THE COURT: One thing that hasn't really been

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8

- discussed very much is how David Kent decided to
- 2 come forward. Mr. Li has referenced saw something
- 3 about it. Do you know that because you have the
- full interview that Detective Diskin conducted --4
- 5 conducted? I only have a few pages of the excerpts
- that leads off before the discussion of the 6
- 7 photographs takes place. It's getting into the
- 8 part where there are going to be photographs
- displayed apparently, and -- and I don't have 9
- 10 anything past that.

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But is that discussed in the detective's 11 12 interview?

13 MR. LI: It was from the email. Well, I'll 14 let Ms. Do talk. She understands it.

MS. DO: Your Honor, I don't recall the date. But I believe David Kent had contacted the County Attorney's Office through their website, and that email was forwarded to Detective Diskin.

And from the content of his email, it appeared that he was prompted by hearing about the case through the media. I don't unfortunately have that email in front of me. And I assume from the Court's question it was not attached as an exhibit.

THE COURT: I had specific orders elaborating on the rule of exclusion and preventing discussions

among witnesses, preventing media exposure. 1

Is there any information on that, 2

3 Ms. Polk?

MS. POLK: Your Honor, yes. The email that he 4 wrote on Saturday, March 12th, 2011, at 11:17 p.m., 5

the subject was Death -- James Ray sweat

lodge 2009. Hello, Sir or Madam. I hear that 7

these deaths are now the cause of serious charges

against James Ray, and there is a large trial in 9

Camp Verde. 10

That's all he says. He does not indicate 11 that he has been watching it in any way, just that, 12 I hear that these deaths are now the cause of 13 serious charges, and there is a large trial. 14

But, Your Honor, again, the extent to 15 which this witness, if he has paid attention to the 16 media at all would be an appropriate subject for 17 18 cross-examination.

THE COURT: Has he been asked about that? Is 19 he -- is he watching the trial regularly? Does 20 anybody know? Because that -- that would really be 21 22 a factor in all this. Does anybody know?

MS. POLK: Your Honor, we do not know. I 23 don't think he was asked. We can certainly contact 24 him and report back to the Court later today, 25

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assuming he's available. In the interview with 1 doctor -- with Detective Diskin, I don't believe

there is any questioning of that. 3

4 Detective Diskin is telling me simply that Dr. Kent told him that he did not know James 5

Ray had been arrested, that he saw something on the

7 news, and that prompted the email to us.

MR. LI: Your Honor, just for -- I mean, I

don't want to sidetrack on this particular issue. 9

But one of the other consequences of calling 10

Dr. Kent will be that we're going to have to have a 11

minitrial about 2008. Because the facts are that 12

13 we have pictures of this.

This guy comes out. He -- he hears that 14 there is a trial going on. And I suspect that he's 15 hearing it either through other participants who 16 are -- who are following the news or he's watching 17 it himself. And then he comes in and testifies, 18 and he makes some rather elaborate claims about 19 what actually happened there. I think he said he

20 saved something like six lives. And the Court has

21 seen the photographs. 22

THE COURT: I think he said six were critical, 23 24 ten were serious.

MR. LI: There you go. And I think he says he

1 saved a life.

2 THE COURT: Two or three --

MR. LI: Right. 3

THE COURT: -- I think he says he saved. 4

MR. LI: And -- and the Court has seen the photographs. And -- and so the issue then becomes 7 if -- if he comes in and testifies in this manner,

we will have to call various witnesses to rebut

9 what he's saving.

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We cannot simply let that -- these what I would characterize as rather wild descriptions of 12 what happened in 2008 affect this jury. It -- it is -- and that adds -- adds weeks.

THE COURT: Ms. Polk.

MS. POLK: Your Honor, again, if -- the 16 defense has made causation a central issue in this case. We have been in trial taking testimony now since March 2nd with hours and hours focused on the causation issue.

To date the state has only had three 20 21 witnesses talk about prior years. And that was Debbie Mercer, Ted Mercer, and then Jennifer Haley 22 23 very briefly. That's the only testimony this jury has heard so far about what happened in prior 24

years, in contrast to this -- this trial, 25

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essentially, being focused on causation.

Dr. Kent is a significant, crucial witness to this issue of causation. If there are

4 concerns about what he has been exposed to, then he

certainly can be cross-examined on that. But just 5

6 as the other doctors testified about 2009, this is

a doctor from 2008 who can provide that link --7

that medical link about what was going on and how 8

it relates to heat -- specifically to heat, which

10 the defense has challenged as the issue of

11 causation.

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MR. LI: Your Honor, I think --

13 MS. POLK: The fact that calling a witness necessitates the defense to call witnesses is just 14

how a trial proceeds. But the -- to suggest that

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if we allow in relevant testimony through a 16

17 relevant witness, that the Court shouldn't do that

18 because then they're going to have to call

witnesses to combat that shouldn't be a reason to 19

preclude a witness who has crucial information

relating to this issue of causation, which the 21

defense has made central to this case. 22

23 MR. LI: Your Honor, what -- what is simply 24 incorrect about what the state is saying is it's

not the defense that makes any particular issue

central to the case. It is the state's burden to

prove causation. We're not creating an issue. The 2

state actually has to prove that issue.

4 That is something that we noticed from

the beginning of this case, that one of our 5

defenses would be to challenge the state's ability 6

7 to prove beyond a reasonable doubt causation. And

the state has known for quite some time that among 8

the things that we would be challenging is the 9

medical causation of -- of the deaths. That's why 10

11 we listed from almost the beginning the fact that

we were going to hire our own medical examiner who 12

would look at all the evidence and tell this jury 13

his issue, his -- his concerns about the causation 14

issue. 15

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So -- so the idea that the defense has created an issue out of causation is incorrect. It 17 is actually the state's burden to prove causation, 18 and we have said from the beginning that we were 19 challenging the state's ability. 20

The -- the idea that -- that this doctor can -- or this guy can come in here and testify, who hasn't been qualified under any circumstance, before this Court and then just -- just to throw it 24

25 out there and see what the jury makes of it,

notwithstanding the fact that this Court has seen

the evidence; has heard from other testimony 2 relating to what happened in 2008; has seen

3 witnesses on the stand retract their prior

statements relating to 2008, saying that there were 5

40 people down or however many folks Ms. Mercer 6

said were down, and then said I exaggerated; has 7

seen all of that evidence, and then to let this

fellow come in and just -- just testify and then 9

have -- leave it to the defense to clean it up, 10

that -- that is not how a trial should be done. 11

If the state wanted to call this guy and 12 wanted to qualify him as an expert to discuss what 13 happened in 2008, we could have done this back in 14

November. We could have -- he could have been part 15

of this Terrazas hearing that we had and spent 16

three days of the Court's time on. But they 17

didn't. 18

And so now here we are in trial. And 19 the -- and the problem is that the state has 20 created this problem. It's not the defense -- you 21

know -- raising some issue about causation. That's 22

our right. We -- we are allowed to try to hold the 23

state to its burden. 24

THE COURT: I'm going to think about this some

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- more. I want to say at this time, though, I do not 1
- 2 understand the disclosure aspect of this,
- disclosed, essentially, on March 14 in some
- 4 fashion. But I think pretty much just the name and
- the -- and the possibility of being an expert. And
- I guess the email was provided as well showing the
- circumstances. And then there is no information 7
- provided for another three weeks, I think. I mean,
- I think it's April 4th that the interview takes 9
- 10 place.

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11 And, Ms. Polk and Mr. Hughes, I do not agree with the assessment that -- or the view that 12 13 witnesses aren't -- don't really come under the 14 15.6 obligations. Witnesses are important because of the information they have. And this information 15 16 is different from anything that I -- that I have seen based on the 404(b) hearings, what's -- what's 17 proceeded here.

This witness, essentially, says at one point in the excerpt that Ms. Polk provided, said that if he was in a hospital, he would have had a 22 Code Blue -- or he would have coded these people. That's -- well, and I've mentioned before in the 24 404(b), there just wasn't any medical testimony at 25 all.

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But based on what was provided, just the lay observations and -- and beyond that because no more evidence was allowed in that 404(b) context, this is a completely new aspect, it appears to me.

Ms. Polk, do you disagree with that? MS. POLK: Your Honor, no. I agree -- I agree

actually with a couple of things the Court said. Just briefly on the issue of 15.6, I

won't quibble with the Court. We have never read

that rule that does not reference witnesses. It 10

11 just talks about the information -- or material, I

believe, are the words used in 15.6. Even that the 12

state has never read that to mean that we have to 13

14 file an affidavit or a 15.6 motion with regard to

15 witnesses. But I understand, looking at the rule,

why the -- why the Court could conclude that. 16 17 But we felt in listing him as a witness

18 on March 14th that we were -- were providing that notice to the parties. 19

Your Honor, that -- the 404(b) hearing did not include medical testimony. That hearing would have been far different if we had had notice of the defense's case about organophosphates. And, again, as the Court knows, there was no mention of

organophosphates until we -- we interviewed the

state's -- the defense's expert at the very end of

January. And there is no reference in his report

to organophosphates. And it was simply through a

question by Mr. Hughes in interviewing Dr. Paul 4

that Dr. Paul made reference to organophosphates. 5

Again, the state had no further

information about organophosphates nor where it was 7

in the record until the opening statement when 8

Mr. Li played a clip with that reference to 9

10 organophosphates. But that's relevant to the

404(b) issue because that 404(b) hearing would have 11

been very different if we had understood at that 12

point that the defense was going to claim that 13

organophosphates was the cause of death. 14

When we did the 404(b) hearing, our focus was on the issue of the mental state of the

defendant, that the prior sweat lodges gave him 17 notice -- prior notice that his conduct was 18

reckless. And we were focusing on the issue of the 19

similarity between the prior events and the current 20 21 event.

We were not focusing on the issue of 22 causation. And, as the Court has correctly noted, 23

causation would not be a subject for a 404(b) 24

hearing. The whole causation is integral to the 25

trial itself. And the case has recognized that

distinction between prior acts that you're offering 2

for limited purposes versus the history and how

that is just part of the case that's intertwined

with the facts of the case and that you don't do a 5

Terrazas hearing. 6

But I agree with the Court. We did not 7

offer medical testimony at the 404(b) hearing. 8

But, again, because we didn't know anything about 9

this issue of organophosphates. And, second, 10

because our focus was not causation with regard to 11

the prior sweat lodges but just the issue of notice 12

and the requisite mental state of the defendant. 13

THE COURT: This is a motion in limine related by the defense, so you're going to get the last word, Mr. Li. It's your motion in limine.

But I want to ask Ms. Polk, and you can 17 address this as well. 18

In Dr. Paul's report, what is stated 19 about causation in that report that is provided 20 in -- in January? The interview is in January. 21

MS. POLK: Yes, Your Honor. We didn't get the 22 report -- and I'll let Mr. Hughes talk more about 23

the report. But we didn't get the report, I 24

believe, until January 10th maybe of this year, 25

8 of 89 sheets

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sometime in January.

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THE COURT: And then the interview is at the end of January.

But, Mr. Hughes, what -- I mean, what was -- what was stated about causation in the Paul report?

7 MR. HUGHES: Your Honor, as I recall -- and I 8 believe his report has been marked now as an exhibit. But as I recall, he mentioned in the 9 report that he had doubts that -- about heat 10 stroke, specifically the lack of a rectal 11 12 temperature and the signs and symptoms, that the 13 Court's heard some testimony about from other witnesses, that led him to believe there could be 14 15 other factors that led to the cause of the people's deaths. 16

He never mentioned organophosphates. He never mentioned what other things it was that he believed could have led. And, again, I would defer to the report.

MR. LI: May I, Your Honor?

22 THE COURT: Of course.

23 Go ahead.

MR. HUGHES: But it -- it wasn't until the

interview when I asked him, basically, well, what 25

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do you think could have killed these three people, that he then said, well, I think it's the signs and 3 symptoms that I'm seeing are consistent with organophosphates. So that came out at the

5 interview out in Los Angeles of the doctor at the end of January.

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7 THE COURT: Okay.

Mr. Li.

MR. LI: Your Honor, two corrections: One, we're not -- we haven't said that organophos- -it's not our burden to say what caused folks to die. But we have noted that many of the signs and 12 symptoms are consistent with organophosphates and that you can't rule it out. That's -- that's the 14 15 lay of the land right now.

It's never been our burden to prove it. And I think the state needs to understand that and internalize it, that it is not the defense's burden to prove -- disprove causation.

What -- what Mr. -- or Dr. Paul said is that -- he said, it is likely that a secondary event or process contributed to the morbidity and death that occurred during this event, essentially, due to the lack of evidence supporting a diagnosis of nonexertional heat stroke.

likely that a secondary event or 2 process contributed to the morbidity and death that occurred at -- during this event. 3

4 So -- and to correct the record with 5 respect to what Mr. Hughes said relating to the interview, I think the way it happened was they 6 asked, well, what would you have done if you were 7 investigating this as a -- as a coroner or as a 8 medical examiner? 9

10 And he said, well, I would have done -you know -- I don't want to criticize other --11 12 other folks. But I would have looked at other 13 areas.

What would you have looked at? 14 And that's when he said, one of the things I would have looked at is organophosphates. 16 Because a lot of the symptoms that you're seeing 17 here are consistent with organophosphates. 18

It also happened to be consistent with 19 other toxins, for instance, carbonate, which is 20 another form of pesticide. But we've never taken 21 the position that it's only organophosphates. And 22 that's the only thing that could have caused these 23 people to die. And so I don't think that's quite 24 25 accurate.

The other point I would make, Your Honor, 1

is that it is -- two points: One, the state is not 2 surprised by toxicity as an issue. It is all over 3

the reports. We didn't manufacture any of this.

All of this is from the state's own evidence. 5

The tape -- the organophosphates tape 6 that we've played now, Exhibit 742 -- that is the 7 state's own evidence. We found -- Ms. Do found it 8 after listening for hours and hours of 9 10 interviews and dead space in interviews.

So that's what -- it was because of our due diligence looking at the state's evidence that 12 we were able to find the statement on the evening of the accident that discusses organophosphates. 14

They've never done anything to try to 15 figure out who said it. They just -- you know --16 take the position that they don't know who said it 17 and that it's really the defense's burden to figure 18 all that out. That's not the case. 19

If you look at the medical records, as 20 the Court has seen over and over again, the -- the 21 mention of toxicity and specific brands of 22 toxicity, it's replete through the evidence. 23

And I -- and I just want to make that 24 point. Because the idea that when Ms. Polk stands 25

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1 up and says, well -- you know we've been 2 surprised by this whole toxicity defense because we 3 didn't know, and the defense has sandbagged us about this organophosphate issue -- that's just not the case.

The state has technically known for purposes of -- you know -- maybe Detective Diskin didn't know. But the state has technically been on notice of organophosphates from October 8th, 2009, the night of the accident. So it -- it is not true that they've been, quote, unquote, sandbagged in 11 12 any -- any respect.

The reality is that detectives were in the room recording what was happening, talking to people. And they need -- you know -- I understand the state may take some other position. But an EMT professional came in and gave the discussion that the Court has already heard in 742. So it's not the case that they've been surprised by anything. This is their own evidence.

20 21 And the fact that we have -- have 22 followed that lead, as we have followed every lead 23 that has been provided to us, in a timely manner 24 and as we would follow had we been provided the Haddow report in a timely manner, we would have

followed that lead as well. 1

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2 But as we have followed every single 3 lead, we have now been able to challenge the idea that the state has an ironclad or 4

5 beyond-a-reasonable-doubt causation. That is our 6

right as defense attorneys.

And this idea that it's sort of an equal -- that we should have told them about the weakness of the case, as the Court has already acknowledged in a jury instruction to this jury, that's not our responsibility. It is the state's

12 responsibility to prove all of the elements,

13 including causation, beyond a reasonable doubt.

14 If the Court wants to know more about

the -- Mr. -- Dr. Paul's report, I can -- I can 15

provide or bring up a copy. 16

17 THE COURT: I have my own copies, as you know.

MR. LI: Okay.

19 THE COURT: If I could have the number.

20 MS. DO: Exhibit 1000, Your Honor.

MR. LI: It's Exhibit 1000.

THE COURT: Okay. 22

23 MR. HUGHES: And, Your Honor, on -- on that

topic, I honestly forgot that I had written this 24

letter. On January 12th I wrote a letter to 25

Ms. Do, a very short letter, that Dr. Paul's report 1

does not disclose his opinion as to the cause of

death of the victims in this case.

If he has formulated any such opinion, we 4 request you promptly disclose it and the reasons 5

6 supporting the opinion. That was sent out on

January 12. And there was no further 7

8 supplementation.

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Again, it wasn't until I asked a question of the doctor in his interview that we found out 10 about his opinion about organophosphates.

11 12 MR. LI: The state is just irresponsibly misstating what the record is, Your Honor. The --13 the doctor did not say -- and we have never taken 14

the position that we know what caused these people 15 16 to die.

We can't prove it because they don't have 17 the blood and they didn't test the blood when they 18 had the chance. And they threw away all the 19 20 evidence when they had the chance to actually 21 investigate it.

They collected .0006693 percent of the dirt there and never tested it. We don't know what caused these folks to die. They happened to have

symptoms that are consistent with organophosphates. 25

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And what Mr. Paul -- Dr. Paul said is, 1 2 that's something I would have looked at, as the

state probably should have looked at back on 3

4 October 8, 2009.

I think one of the -- and the reason why

I'm saying this so emphatically, Your Honor, is 6

because we are repeatedly -- as the defense here, 7

we are repeatedly being put in the position of --8

by the state of them suggesting that we had some

10 sort of disclosure problem with them, when, in

fact, the reality is they've known about 11

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organophosphates from day one of this case.

They could have investigated it. They didn't investigate it. That's what Dr. Paul said. He did not say and we have never taken the position that -- that we know what caused these folks to die.

THE COURT: Thank you.

MS. POLK: Your Honor, may I provide you with 19 a copy of the email that we received from Dr. Kent. 21

I see in our pleadings that neither party attached

22 this as an exhibit.

23 THE COURT: I believe I said --

MR. LI: Exhibit --24

THE COURT: -- I saw that.

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MR. LI: Yeah. It's there. It's Exhibit A 2 to -- it's an exhibit to -- it's Exhibit -- just give me a sec, Your Honor.

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THE COURT: I know I've seen an email for -from March 12th.

MR. LI: It's Exhibit A to the -- to Mr. Ray's motion to preclude the testimony.

MS. POLK: And I raise it, Your Honor, because the Court expressed concern that the content of Dr. Kent's testimony was not made known to the defense until Detective Diskin interviewed him.

11 But, in fact, in this email provided to 12 the defense on March 14th, the email from Dr. Kent 13 14 specifically states, I saw several attendees who could have become critically ill. I rallied the 15 assistants to treat the heat stroke victims more 16 17 aggressively and at the end of the ceremony 18 gathered a few of the stronger people. And we went back inside the lodge and dragged out three more 19 people who were unconscious and alone in the heat. 20 With the chaos outside the lodge, I expect they 21

would have been left there longer and died. 22 It's a quick synopsis. And then what 23 24 follows in the interview is much more detail about 25 that. But certainly on March 14, the defense had

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notice as to the bulk or the -- the general contents of what Dr. Kent's testimony would be.

MR. LI: I misspoke, Your Honor. It's

Exhibit A to the state's response. 4

THE COURT: And I'm looking at it right now, 5 Mr. Li. And I did have another conference 6

scheduled at 9:00. But, Mr. Li -- and I'm looking

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8 at the exhibit now. And I guess what I had just

finished reading, again, were the actual excerpts 9

10 from the interview, which in very small print --

and I don't know how many actual pages it was. I 11

read all this detail and -- you know -- comparing 12

13 that to what was actually provided that.

14 But as Ms. Polk indicates, there -- there is some -- some detail in -- in eight or nine lines 15 16 or so.

MR. LI: It's not an expert disclosure, Your Honor, by any -- any stretch of the imagination under any rule. You know, it is simply not enough for the state to sort of hint at various ideas that it might have about what its purported experts are going to testify about.

There are very strict requirements as to 23 what an expert can testify about. And this 24 disclosure in the middle of trial is inappropriate.

1 And, frankly, as -- as the Court has already

acknowledged, it is not consistent in any way with

3 the evidence that we've already adduced at trial

and at the 404(b) hearing. This is so outside of

the heartland of the testimony that we have heard

from, I think, three or four different witnesses

and the photographs that we've seen. And so the 7

idea that -- that this sort of -- you know --

9 disclosure in an email.

And, Your Honor, just for the record, the 10 email is during trial. It's not -- it's not prior 11 to trial. 12

THE COURT: I know. You got it on March 14th.

14 MR. LI: And -- and --

> THE COURT: I realize that. And then Ms. Polk received it on the 12th.

MR. LI: And, Your Honor, just to -- you know -- some of the cases that the Court is going 18 to look at, the cases where experts have been 19 excluded, the late disclosure was actually on the 20 day before trial. This is during trial. 21

Your Honor, there are so many parts of this trial that may have been done differently had we gotten adequate disclosure from the state both in -- in compliance with their Brady obligation and

with respect to this particular issue. Opening 1

statements would have been done -- done different.

There would have been different rulings. We would 3

have understood that the 2008 issues were going to 4

5 come in.

We would have -- there are so many things 6 that could have been done differently had the state 7 actually disclosed things on -- in a timely manner 8

so that we could have all -- okay. Here are the 9

issues that we're going to be fighting over in 10

court. Here's the issues that we're going to 11

present to the jury, and we're going to argue about 12

13 it.

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And what's happening now is that the defense has -- has staked out a position, and then the -- the state keeps on trying to backfill all of the various arguments to rebut in its case in chief the various points that we have made.

And I think we've made the point that there is a causation issue here. So they've tried to backfill that in the middle of trial with late disclosures and -- and Brady -- Brady material.

And so -- and -- you know -- and then, 23 Your Honor -- and I know we've got actually another 24 issue to discuss with respect to Dr. Dickson, who 25

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1 is the next witness.

2 THE COURT: Oh, goodness.

3 MR. LI: But --

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THE COURT: I'm already late on the conference 4 in another case.

MR. LI: I understand, Your Honor. And I'll just make this last point, and then -- and then we can address the Dickson issue.

But the state through it's intentional questioning back-doored the Haddow report. There was a specific discussion -- question. And I think the Court knows exactly the transcript that I 12 13 quoted where the County Attorney herself said to 14 the detective, what do you believe was the cause of death?

The detective answered, heat and carbon dioxide. The County Attorney then specifically and purposely asked, was that information -- was that consistent with information you received from a man named Rick Haddow? And -- and Detective Diskin said, yes.

And then now we have this entire issue now about hypercapnia that the Court has addressed in its ruling. What the Court did not address is

25 the fact -- is that line of questioning, which we

contend can only be -- and I've written it down.

2 Is that consistent with the information that you

3 learned from the man named Rick Haddow? That is an

intentional effort to bring in the Haddow report 4

5 through Detective Diskin. And we'd ask that the

Court make that finding. 6

MS. POLK: And, Your Honor, in the interest of time, I won't respond now. But when appropriate, I would like to make a full record on -- on that issue. I don't believe what Mr. Li has said is correct.

THE COURT: Okay. And could we talk about --12 13 we need to talk about the next witness apparently.

Mr. Hughes and Ms. Polk.

15 MR. HUGHES: Your Honor, the state does intend to call Dr. Dickson. The state had asked Dr. Dickson back at the end of March or perhaps the 17

first day of April to do some follow up on 18 organophosphates and on rat poison. And we sent an 19

email to the defense on April 5th indicating the 20

21 doctor might be testifying about those topics.

Following that letter we tried to get the defense to do an interview of the doctor, which finally was accomplished yesterday. And after the

interview was over, Ms. Do indicated that she 25

believed she would be -- she wanted to talk to her

cocounsel, that she believed she would be raising

an issue this morning about whether Dr. Dickson

could testify or not. And that's -- that's about

all that I know on that. 5

THE COURT: Ms. Do.

7 MS. DO: Thank you, Your Honor. I'll pick up

where Mr. Li left off regarding the Haddow report.

I think the Court has marked as a court exhibit the 9

email that was sent to Dr. Dickson, as well as 10

Dr. Mosley and Dr. Lyon, where the state after the 11

Court found a Brady violation provided those expert 12

witnesses with a copy of the Haddow report. I 13

believe the email was dated April 15. 14

15 I did interview Dr. Dickson yesterday.

And -- you know -- unfortunately, when you're in 16

trial, it's difficult to find time to -- to do 17

these additional investigations while -- when the 18

state continually provides additional information 19

and materials to witnesses while we're in trial. 20

I learned yesterday that Dr. Dickson now

22 believes that the signs and symptoms that he

reviewed back in 2010 but made no mention of 23

hypercapnia -- he believes now after reviewing the 24

Haddow report -- he, in fact, did review it -- that 25

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these signs and symptoms are consistent with 1

2 hypercapnia.

He wrote three reports about the causes 3

of death for the decedents dated January 10, 2011.

He made no mention of hypercapnia. His conclusion, 5

in conflict with the medical examiner's testimony 6

in this case, was that it was heat stroke and 7

8 nothing else.

I interviewed him on January 25, 2011. 9

And armed with the disclosure I had, I interviewed 10

him only about his conclusion of heat stroke. I 11

had no idea that the doctor would offer an opinion 12

regarding hypercapnia. I asked him specifically, 13

Doctor, what is your differential diagnosis based 14

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upon what you reviewed? He made no mention of 16

hypercapnia.

Yesterday he said that it's definitely a

possibility. He rendered opinions about miosis 18

being consistent. And the problem that I'm 19

having -- and the Court knows that I've been taking 20

on the witnesses regarding the medical issues -- is 21

that beginning with Dr. Mosley, this is the first 22

time that I've heard of miosis being a sign and 23

symptom of hypercapnia. 24

And I just have not been allowed the time

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1 to do my due diligence in researching or exploring with my own expert whether or not that is actually 2 true. To date I have not found any published literature that says miosis is a sign in hypercapnia.

This really highlights the problem that the defense is faced with since the state violated Brady, magnified that problem by providing that information that the Court found was in violation of Brady to experts who were going to take the stand.

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Now I've got an expert who is tainted by that Haddow report. And it's difficult for me to determine how I'm going to navigate through that in cross-examination while complying with this Court's order that we should not ask questions that elicit hearsay. So that's one problem.

The other issues that I have with 18 Dr. Dickson is that when we were provided notice of 19 his testimony -- or proposed testimony in this 20 court, the state filed the 21st supplemental 21 22 disclosure on December 3rd, 2010, noticing his 23 scope -- the scope of his testimony was he will testify as to identification of medical treatment 24 of heat-related illnesses. 25

And since receiving that disclosure, the state has through informal notices expanded the scope of his testimony to now be offering him as an expert on the cause of death.

5 Dr. Dickson, one, did not treat any of 6 the patients in this case, and, two, is not a 7 medical or forensic pathologist. He's not qualified to testify to the cause of death. He was 8 asked by the state to, essentially, review the 9 medical examiners' autopsy reports and medical 10 records and to determine whether or not these 11 medical examiners' conclusion on cause of death is 12 13 correct or not.

The Court has heard from the medical 15 examiners. And, essentially, what I expect is Dr. Dickson will come in and impeach the state's own witnesses and say it's heat stroke. I rule out organophosphates. The signs and symptoms are inconsistent.

So there is an issue about whether or not he's even qualified to testify regarding cause of death.

What we were provided notice of was that 23 he was going to testify to the signs and symptoms 24 of heat-related illnesses. And I would submit that

that's now comulative. We've had three medical 1

doctors in the state's case testify to heat-related

illnesses, signs and symptoms in addition to Nell

Armstrong -- I'm sorry, Nell Wagoner and Jeanne

Armstrong who proffered some testimony on that 5

issue. 6

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I know that the Court has a conference.

But I also wanted to note that there are other 8

problems with Dr. Dickson. In his report, he 9

specifically relies on the prior events to reach 10

his conclusion that this was, in fact, heat stroke. 11

The Court has made numerous rulings regarding admissibility of prior events and has now 13 14 limited any additional testimony to what has already been adduced in this trial by way of the 15 16 2007 and 2008.

The state provided him with Daniel 17 Pfankuch's -- or Daniel P.'s medical record. 18 Despite what the Court has seen, he's rendering an 19 opinion that Daniel P. suffered from heat stroke. 20 So I would ask the Court to review the report. I 21 think that that particular opinion should not be 22 admitted if he is qualified to testify. 23

And then, finally, he rendered an opinion 24 in his report that goes to knowledge and 25

recklessness, which is far beyond the scope of any

medical expert. He says, basically, that, in my 2

opinion, appropriately trained medical personnel 3

that are not being exposed to extreme heat of the 4

sweat lodge should have been present at the event 5 to evaluate all the participants for heat illness 6

7 symptoms.

Multiple patients at this event and prior 8 events had signs and symptoms of classic heat 9 stroke. In my opinion, the decedents' symptoms 10 were not recognized and treated soon enough, which 11 12 resulted in their deaths.

So all of the opinions that I'm 13 describing for the Court go beyond what was 14 disclosed in the 21st supplemental. It goes beyond 15 his training and his experience and qualifications. 16 It goes into areas that raises for us against the 17 issues of the Haddow report and disclosure 18 violations.

19 THE COURT: The CO2 information from the 20 Haddow report isn't really the exculpatory 21 information. That's information, though, that 22 should have been disclosed under the normal 23 disclosure rules. And I mentioned in the ruling, 24 CO2 has been mentioned throughout the case and 25

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Page 49 to 52 of 356

13 of 89 sheets

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With regard to discussing what should have been done, that's -- that's, again, going into some kind of standard of care. Again, I don't -- I 5 don't see how a medical doctor would -- he can talk about causation but not about how someone who is conducting this type of an -- of an event, how it should be conducted.

And, once again, it gets into that distinction between negligence principles and recklessness principles as well.

The other matters with regard to causation. I've indicated before, and I anticipated this. It's in the record. That if the state appropriately provides what has been observed in the 2007, 2008, he can deal with that.

I'm more concerned with Daniel P., though, because that was a subject of a separate motion and a separate issue.

MR. HUGHES: Your Honor, the doctor's opinion about Daniel P. was rendered long before the Court made that -- made the ruling. And I did not intend to ask the doctor about Mr. P.

24 In fact, prior to our starting today, I'll sit down with him and tell him that I don't 25

so I find it difficult now after he 1 2 has received the Haddow report, reviewed it, and

considered it that -- you know -- he's just saying 3 that hypercapnia has always been there. 4

So what I see, basically, is an expert 5 witness being tainted with information that has 6 7 been precluded.

MR. HUGHES: And, Your Honor, he -- Mr. -- or 8 Dr. Dickson was asked about that also, and said 9 he's had the opinion about hypercapnia since before 10 the Haddow report. During his interview -- and 11 remember, doctor -- in the order of things, 12 Dr. Dickson was interviewed, I believe, before he 13 even received Dr. Paul's report before we had 14

15 interviewed Dr. Paul. 16 But in Dr. Dickson's report, for whatever reason, there were -- in his interview there were 17 no questions whatsoever about -- from the defense, 18

from anybody, about organophosphates, about what 19 could explain these other causes or symptoms that 20 we've been hearing about in other patients, like 21

the miosis, for the foaming at the mouth, things --22 there was just no questioning about any of these 23

24 areas.

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And so the doctor didn't have an

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intend to ask anything about it. I'll explain the

Court's ruling. And I assume Ms. Do won't ask him 2

about it either. So unless Ms. Do corrects me that

she will ask about that, I'll let him know that --4

that he shouldn't expect any questions. 5

Mr. Haddow's report either.

With respect to Mr. Haddow's report, he indicated at our interview he didn't really pay much attention. It didn't really open his -- I think the words he said was Haddow's report didn't open his mind to anything new about the conditions inside the sweat lodge, because he had read in the witness statements that there were areas of good air and bad air. And I will make it very clear to him that I won't ask him anything about

THE COURT: Ms. Do, points out, though, that this is the first time hypercapnia ever gets mentioned by him.

MS. DO: Your Honor, unlike Dr. Mosley, who when I asked about differential diagnoses, did throw out the possibility of CO2, Dr. Dickson wrote three reports. I interviewed him on January 25, 2011, and asked very specific questions and followed up by continually asking, what else would you consider?

opportunity to explain at that time why he believed

that those symptoms that the defense now believes

are consistent with organophosphates -- why they 3

4 would be consistent with the deaths based on heat

or exposure inside of the sweat lodge. 5

Again, if he had been asked about it -- I 6 think he tried to make it clear in his interview 7 last night -- he would have explained that. But he 8 wasn't asked about that. 9

10 And we did disclose that he would talk about -- in our original disclosure statement about 11 the deaths from heat. And, I think, to talk about 12 deaths from heat, it's important to talk about why 13 the other theories that the defense has now raised 14 don't contradict that opinion. 15

And then certainly since then, we've sent 16 out an email on April 5th to the defense indicating 17 that he'd be talking about signs and symptoms and 18 theories involving poisoning. We clarified also 19 that included organophosphates, rat poison, and 20 21 that sort of thing.

But I don't believe this is something new 22 by the doctor. He made it clear he's had this 23 24 opinion.

With respect to his qualifications, I

57 believe he's board certified, not only in emergency 1 2 medicine, but also in the field of medicine called 3 "hyperbaric medicine," which he explained actually deals with issues involving hypercapnia and other gases in the blood. So he's -- if anything, he is eminently qualified to talk about his opinion that, 7 for example, hypercapnia could cause the miosis. 8 THE COURT: Ms. Do, anything else? 9 MS. DO: Your Honor -- you know -- the state doesn't want to acknowledge United States v. 10 Marshall. It's not the defense's obligation or 11 12 burden to point out the state's weaknesses. And 13 when we interviewed their experts, we're there to 14 determine what their experts know, not to educate their experts and provide them with the weaknesses 15 16 in their opinions. 17 I had a -- I had a very, very broad question for each of these experts. And that is, 18 19 in your determination of the cause of death, what 20

are the differential diagnoses? And for a doctor that Mr. Hughes purports to be an expert in hypercapnia to fail to mention that it was obvious from the signs and symptoms, I find trouble with that. The only mention of it comes after he was provided with the Haddow report.

58 THE COURT: And that certainly can be the subject of cross-examination. I'm going to listen to foundational testimony. But the only thing that I can say that won't be allowed is what I've mentioned, the talk about what should have been done and that kind of thing. MS. DO: And the Daniel P? We do need to get the trial started. I Thank you. (Recess.) (Proceedings continued in the presence of

7 8 THE COURT: Right. And Mr. Hughes has agreed with that. So that won't be part of this either. 9 10

need to take a conference call. 11

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15 jury.)

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THE COURT: The record will show the presence 16 of the defendant, Mr. Ray, all of the attorneys, 17

18 and the jury.

Good morning.

20 And, Mr. Hughes, you may call the next 21 witness.

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MR. HUGHES: Thank you, Your Honor. The state 22 23 calls Dr. Dickson.

24 THE COURT: Okay.

MS. POLK: Your Honor, may we briefly

approach? 1

2 THE COURT: Okay.

(Sidebar conference.) 3

MS. POLK: The jury doesn't have their 4 5

notebooks.

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(End of sidebar conference.)

THE COURT: That's what they were discussing 7

this morning. So let's go ahead and do those. 8

THE CLERK: Should we swear in the witness? 9

THE COURT: We'll do that. 10

Dr. Dickson, would you please raise your 11

right hand and be sworn by the clerk. 12

MATTHEW DICKSON,

14 having been first duly sworn upon his oath to tell the truth, the whole truth, and nothing but the 15

truth, testified as follows: 16

17 THE COURT: And, sir, if you'd please be seated here to my right. 18

And then Ms. Rybar will go and get the 19 notebooks that the jury started telling me about a 20 minute ago. And we'll sit here quietly for a 21

22 moment.

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23 Duly noted.

(Pause in proceedings.)

THE COURT: Dr. Dickson, if you would please

start out by stating and spelling your full name. 1

THE WITNESS: Matthew, M-a-t-t-h-e-w; Dickson,

3 D-i-c-k-s-o-n.

4 THE COURT: Thank you.

Mr. Hughes.

MR. HUGHES: Thank you. 6

DIRECT EXAMINATION

8 BY MR. HUGHES:

9 Q. Doctor, can you tell us what you do for a

10 living.

> A. I'm an emergency medicine physician at Yuma Regional Medical Center.

Q. And how long have you been a physician?

Since 2000. So 11 years. 14

Q. Can you walk us through your -- your

education to become a physician. 16

17 A. Well, it's four years of college, four years of medical school, and then four years of 18 residency, which you do specialty training. Did an 19 internship followed by a three-year residency in 20 21 emergency medicine.

22 Q. And where did you do your undergraduate 23 education at?

> University of California at Santa Cruz. Α.

And where did you, then, go to medical

15 of 89 sheets

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- Western University of Health Sciences. 2 Α.
- 3 Q. And can you tell us where you did your internship at.
  - A. At Kern Medical Center. It's one of UCLA's programs in Bakersfield, California.
    - And where did you do your residency?
- Same place. One of UCLA's programs, Kern 8 **Medical Center.** 9
- 10 Q. And can you explain for us the difference
- 11 between an internship and a residency.
- They're, essentially, the same thing. 12
- 13 Your first year of internship is a -- is the -- the
- first year of residency is your internship. 14
- **Emergency medicine residencies come in three** 15
- flavors. There is a one through three, a two 16
- 17 through four, or a one through four. So it just
- 18 depends on what you match. And so mine was a two
- through four, so they required an internship before 19
- 20 vou go there.
- 21 Q. And are you currently employed as a
- physician? 22
- Yes. 23 Α.
- Q. And can you tell us where you're employed
- 25 and what your duties are as a physician.

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- 1 A. I'm employed at Yuma Regional Medical
- 2 Center in Yuma, Arizona. I'm the -- one of the
- attending physicians. I'm also the EMS medical 3
- director. I run the -- I'm the medical director 4
- 5 for our county's emergency medical system, the
- paramedics, the first responders. 6
- 7 And how long have you been the EMS
- 8 medical director in Yuma?
  - Α. Five years.
- 10 And can you tell us, do you -- your
- 11 medical degree, is that a -- can you tell us what
- 12 your medical degree is.
- 13 Α. I'm a DO, a doctor of osteopathic
- 14 medicine.

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- 15 Q. And can you tell us -- we've had some
- 16 testimony from a -- from a physician with an MD.
- Can you tell us the difference between a medical 17
- 18 degree with the DO as opposed to an MD.
- Not a lot. In medical school you spend 19
- 20 an extra couple of hours a week working on the
- 21 musculoskeletal system. Like, once -- once that's
- over, it depends on what you decide to practice. 22
- 23 In emergency medicine I don't use a lot of that.
- As a -- as a DO, then, you had some 24
- 25 additional training in the musculoskeletal system?

- A. Correct.
- 2 And can you tell us, then, what emergency 3 medicine is.
- It's what it sounds like. It's the
- practice of emergency medicine. And I work in the 5
- ER. That's my office. Basically, you see 6
- everything that comes in the door. The acute --7
- acute care of patients.
  - Q. And do you have any board certification?
- I'm board certified in emergency 10
- medicine. I also run a hyperbaric chamber and 11
- wound care center. I'm also board certified in 12
- hyperbaric medicine as well. 13
- And who do you have your board 14 Q.
- 15 certifications?
- The American Board of Emergency Medicine, Α. 16
- which is under the American Board of Medical 17
- Specialties. 18
- 19 Q. And what do you have to do to become a
- 20 board certified physician?
- A. You have to do a residency, then take a 21
- test. And then there's continuing education every 22
- 23 year. And then you recertify every 10 years.
- And how long have you been board 24
- 25 certified in emergency medicine?

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- A. I finished my residency in 2004 and took 1
- 2 the test and was board certified in 2005.
- And how long have you been board 3 certified in hyperbaric medicine?
  - Α. Two years.
- Do you -- do you have any medical or 6 Q.
- 7 other professional licenses?
- Medical I don't think so. Just Arizona 8 Α.
- 9 and California.
- 10 Q. Okay.
- Α. That's where I'm licensed. 11
- Let me ask you that. What states are you 12 Q.
- 13 licensed to practice medicine?
  - Α. Arizona and California.
- 15 And how long have you been licensed to
- practice medicine in those two states? 16
- 17 Arizona for 7 years and California 10
- years. 18
  - And do you have any hospital privileges? Q.
- Yes. Yuma Regional Medical Center. 20 Α.
- And can you tell us what hospital 21 Q.
- 22 privileges are.
- The privilege to practice medicine in the 23 Α.
- 24 hospital.

- But have you ever treated patients on a 1
- 2 professional basis?
  - Yes. Α.

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- And do you have any idea how many patients you've treated to this point in your career?
  - Α. Thousands.
  - Q. And at what hospitals have you treated patients?
  - A. My residency was at Kern Medical Center. And I treated patients there. I did a little bit of what's called "moonlighting," where you work as a -- as a doc during your residency. That's Tulare Regional Medical Center, which is in Tulare, California. And then the rest has been Yuma Regional.
- 17 Q. And as part of your professional duties, have you ever had the opportunity or been called 18 upon to determine causes of death based upon 19 20 reviewing patients' medical records?
- 21 A. Yes. I'm the emergency department representative for quality committee at our 22 hospital. So it's a continuous process of quality 23 improvement. And we need to look at things, such 25 as deaths, to determine the cause.

Q. And how would you go about making a review as part of that committee?

A. You're mostly reviewing medical records and discussing with physicians what their -- and nurses what they saw as well as other -- I guess you would say the patients' opinions of what happened.

Q. And I believe I asked you about emergency 8 9 medicine. Can you tell us -- you mentioned the 10 hyperbaric medicine. What is that?

Hyperbaric medicine is, basically, what you -- it started out with dive patients, people that are drivers. They can sometimes get the bends. I don't know if you've heard of that. It's people that go down and then come up too quickly. Hyperbaric oxygen -- or hyperbaric medicine was used to actually pressurize these patients so they cannot get so sick.

Nitrogen toxi- -- nitrogen narcosis is something else that divers get. They get, like, too much nitrogen. It is used now -- we use it predominantly for nonhealing wounds. People with diabetes that have wounds on their legs that won't heal, it's a very effective tool for that.

And does hyperbaric medicine, then,

1 involve at lease in part a study of the gases that

are in the blood?

A. Absolutely. And some of the other things 3 it's used for is for things like carbon monoxide poisoning. You can put them in a chamber, and it 5 cures them a lot faster than the regular 7 treatments. And definitely you've got to know

8 about gas exchange for it. 9 Q. You mentioned that you've treated thousands of patients. Do you have any idea how 10 many thousands you've treated? 11

A. Well, let's see. I have 20 patients a 12 day on average. I probably work 150 days a year 13 for 11 years. That's a lot. 14

Q. Okay. And I became a lawyer so I didn't 15 16 have to do a lot of math. And so I won't figure that out for you. But can you -- do you have any 17 idea -- have you ever treated patients who have 18 suffered from heat-related illnesses? 19

A. Yes. 20

21 Q. And I imagine down in Yuma you -- you'll 22 see that from time to time?

A. Very frequently.

Q. And do you have any idea how many 24 patients you've treated that have suffered from

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some sort of heat-related illness? 1

2 Probably 20 to 30 that I personally see a vear -- a summer. 3

4 Q. And of those, do you have an idea how many patients you've seen that have suffered from 5 6 nonexertional heat stroke?

Probably, I'd say, a third of those.

Q. Have you ever treated a patient for 8 hypercapnia? 9

10 Α. Yes.

11 Q. And do you have an idea how many you've 12 treated?

Probably in the hundred range -- hundred 13 or 200 range. It's a pretty common problem. 14

And have you ever treated patients for 16 exposure to organophosphates?

Α.

Q. And do you have any idea how many you've 19 treated?

Probably -- it's not that frequent, but 20 we do have a lot of farming in our community. So 21 probably about 10, I'd say. 22

Is that 10 per year or 10 total? Q.

Α. Probably 10 total.

> You mentioned you have a lot of farming. Q.

Is there some sort of a common genominator in the patients that you've seen that have come to the emergency department for organophosphate poisoning?

Yeah. They're normally farm workers. Another -- we had a -- actually, we've had several incidents where a couple of years ago when the -oh. We had military down by the border, and some of them were actually sprayed by some of the -- the helicopters or the planes that were spraying crops. Those were the bigger exposures that we've seen.

And the farm workers. Do you know how 11 they came to be exposed? 12

> Α. Generally just from handling it.

14 Q. And would those be industrial 15 organophosphates that they were using?

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A. Yes. They're industrial.

17 Q. Have you ever treated a patient for exposure to home organophosphates? 18

What -- people that were exposed to it. But the symptoms are normally pretty minimal due to the low concentrations that you see in home organophosphates. The stuff that you buy at Home Depot doesn't have a lot of organophosphate in it because of the -- because of the risk of poisoning.

And were you actually involved in this

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particular case as a treating physician? 1

Α.

Q. How is it that you came to be involved in this case?

A. I was asked to -- to -- I was somebody who does a lot of teaching with our EMS, our first responders, in heat illness. And somebody said -they said, would you be interested in doing this?

9 Q. Are you working as a paid expert, then, in this case? 10

A. I am.

Q. And how much are you being paid?

13 Α. \$400 an hour.

14 Q. And do you have an idea how much time

you've put into this case to this point as an 15

16 expert?

> A. About 20 hours so far.

Q. And do you have a retainer agreement with

19 the state?

A. Yes.

Q. A written agreement?

A. Written agreement. Yes.

And you say you've put about 20 hours in. 23

Can you tell us in general the work that you've 24

25 done to this date, what -- what you've done over 1 those 20 hour

2 A. I've reviewed medical records, reviewed the witness reports, reviewed some reports from 3 4 other physicians in the case.

Q. And as a result of the work that you've 5 done, have you reached some opinions as to the 6 cause of death of James Shore, Kirby Brown, and Liz 7 8 Neuman?

> Α. Yes.

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Q. And I'll -- I'll get to those. Can you 10 tell us, then, Doctor, turning to heat-related 11 illness, what are the different heat-related 12 13 illnesses that you might see as an emergency room 14 doctor?

That's a great question. Heat illness is 15 something that they talk a lot about because of --16 17

Can I talk to them?

Q. Yes. Absolutely.

I'm sorry.

Heat illness is something that I talk a lot about because of -- of where we are. Yuma, Arizona. I don't know if you're familiar with it. It's hot. It's a -- you know -- the average summer temperature high is 107.

And as an EMS medical director, I get to

teach all of our first responders, whether they're 1

the paramedics, fire fighters, police. We have a 2

3 Marine Corps air base there, also an Army base. And I get to teach their medics too.

And it's something that I think is very 5 important because a lot of our -- first of all, our 6 first responders are exposed to heat illness. And 7

so I like for them to understand heat illness and 8

its symptoms predominantly so they can recognize it

in themselves. That's one of the biggest things 10 that we see in our emergency department is fire 11

fighters unfortunately succumb to heat illness 12

quite frequently. 13

And our first responders are border 14 patrol. They're exposed to a lot of this. And I 15 want them to be able to recognize it in themselves 16 and in their -- in their -- their partners when 17 18 they're out there.

So back to your question about the types 19 of heat illness. They break it up into two 20 categories. Heat illness is either exertional heat 21 illness or classic heat illness. An exertional is 22 what you think about it. It's exerting yourself. 23

We see a lot of people like the -- the football 24

players. They used to call it "double days" when I 25

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1 was in -- in high school.

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The football players got to do the -- the double days. They had to put on their pads and practice in the morning and practice in the afternoon. That's when they're really exerting themselves.

But in August in Yuma, it's --- you know -- 110 degrees. And plus it starts to get actually humid. So we see a lot of people, a lot of young students that way.

That's the classic exertional heat stroke. People start presenting -- or -- or heat illness. They start presenting with muscle cramps, nausea, vomiting. And one of the scary things about heat illness is if you don't stop it -- stop whatever you're doing, whether it's exertional or not, and start cooling down, you can progress to what's called "heat stroke."

And heat stroke is -- is the bad one. And that's where people die. Once you've crossed that line into heat stroke from heat exhaustion, you're in big trouble. You start to die. Of mortality rates, meaning the people that are going to die, can go from anywhere from 10 to 80 percent. So when you think about an 80 percent chance of --

of dying, it's a - it's a scary thing.

And so I try to educate people on their symptoms. When they are working out, when they're playing football, whatever they're doing, whether they're at a fire, when they start feeling muscle cramps, feeling weak, feeling nauseous, stop now while you're okay before you progress to heat stroke.

The problem with -- when you go to heat stroke, one of the cardinal signs of heat stroke is you get a change in mental status. And that's tough because if you're the one who's changed in mental status, you're not going to recognize this isn't right. And the change in mental status can be subtle. It can be someone just making a bad decision.

I teach our paramedics to watch their partners. If they're -- if they're -- they're taking care of a patient and all of a sudden their partner starts doing things that just medically aren't right, well, pay attention to that. Because now they've stepped into that heat stroke realm.

And it can become more serious. It can come into they can act intoxicated. They can be violent. They can have seizures, or they can be in a coma and sok dead. It can go all the way, the whole spectrum.

So that's kind of the progression of --3 of heat illness. It can start with a mild disease 4 where you have to recognize the symptoms. But then 5 when you get to that point where you're now in heat 6 7 stroke, you're in trouble, and you have those changes in mental status, which you really have to 8 treat these people aggressively. 9

So backing up a little bit, where we talked about exertional heat illness, there is also 11 the classic heatiliness. And that's where you 12 hear, like, in the -- in New York and Chicago when 13 they have these big heat waves, and you hear all 14 these people die. And that is -- it's kind of a 15 different population. 16

Generally, it's an elderly population because they might not have the -- some of them don't have the resources or the -- sometimes there is dementia, Alzheimer's, and they might not have the capacity to go, hmm. It's too hot in my apartment. The power is out. It's 110 degrees. I need to get to someplace cool. And those are the ones where you see a lot of deaths in -- the big deaths of heat illness.

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Again, it's the same sort of symptoms, but you have to be able to recognize it when 2 it's -- when you start out. For example, your 3 power goes out, and it's 110 degrees, and you're 4 not -- you're realizing, gosh. I'm feeling 5 nauseous. I'm feeling sick. I better do something 6 7 about this.

You've got to cool down. And if you don't cool down, you're going to progress to that heat stroke. And the heat stroke is when you start having that mental status changes. And the problem is is if you're alone or you're with people that are in that same predicament, several people in an apartment or in a -- or in a house that don't have air-conditioning, they're not going to recognize it among each other that something is not right, and they can progress to death.

So those are the two, exertional and classic heat illness, the two categories they are.

And, Doctor, you mentioned with heat 20 stroke you can start to see mental status changes, 21 maybe ranging from making a bad decision on to you 22 23 mentioned comatose or death.

Can you explain a little bit. At some 25 point does the mental status changes that you

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see -- is that referenced in anywhere and to correlate with the severity of the heat stroke that the person is suffering from?

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A. Well, if you're unconscious, you're not going to do as well as somebody that's just acting a little goofy. I mean, generally the people that have gotten all the way to unconscious and then if they don't wake up quickly so somebody is -- one of the things we -- we teach is to treat these patients. Get them cool very, very quickly, the people that have gone to heat stroke.

The longer you wait to cool them down, the much worse they're going to do. If you wait more than an hour or two, their chance of death goes way up. So the sooner you cool them, the better they're going to do.

So people that are unconscious definitely do worse. But if you can get to them early and you can cool them off and they wake up, it's a great sign.

21 It's the ones that the paramedics have 22 done a great job. They've tried to cool them. 23 They've given them I.V. fluids. They've got the 24 air-conditioning on. They're fanning them. 25 They're trying to really cool them down. And they

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don't wake up by the time they get to the ER. And we're able to do our thing trying to cool them down, and they're not waking up. And it's been three or four hours despite we've gotten their temperature down, it's not -- it's not a good sign when that happens.

**Q.** How would you typically try and cool a patient who arrives at the emergency department suffering from heat stroke?

A. Do you want the whole -- I'll give you -- you're going to get a whole lecture on heat illness.

There's four ways people get rid of heat. There is conduction, which is, basically, you're conducting heat. If you put ice packs on your groin, on your face -- you know -- you're trying to cool yourself out. And that's one of the techniques we use.

The problem is if it's hotter outside and you don't have ice packs, it's not really going to help you. One of the problems we have in Yuma is when you have a patient -- or somebody that's out in the heat, most of the ways to cool yourself off are not effective.

There is a radiative heat loss. You're

always giving off electromagnetic rays, waves, and you're radiating heat. That's actually one of the best ways to get rid of heat. You get rid of 65 percent of your body's heat that way. But if it's 107 outside and your body is 98, you're not giving off any heat.

7 One of the best things that we do is when it's not humid -- because fortunately in Yuma it's 8 not very humid. It's dry even in the monsoon 9 10 season. It's -- if -- you know -- a humid day in Yuma is 40 percent humidity. We want to do 11 12 evaporative cooling. And that's where we just, basically, put water on them and fan them. So if 13 vou're -- that's our best bet in Yuma in cooling 14 these patients. 15

Q. Thank you for that explanation.

17 A. I don't know if I answered your question 18 thoroughly enough.

Q. No. You did.

20 A. Okay.

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Q. People who may have seen on TV placing a patient in an ice bath -- you know -- a bathtub full of ice cubes and water -- is that something that you frequently do in the emergency department?

A. We don't. It's a dangerous thing to do.

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If you think about it, you've got somebody that's

2 unconscious and they can't protect their airway,

3 that's a big thing. You don't want to put somebody

4 who is unconscious in a tub of water. If their

5 head rolls underwater, they're going to get a bunch

6 of water into their lungs. And that's a bad thing.

7 The things we generally do is we can use 8 cold I.V. fluids. We fan them. It's 70 degrees in 9 our ER -- or 65 degrees in the ER. So it's 10 definitely cool. So we get to get radiative heat 11 loss. We put ice on them.

We can do more invasive techniques. We
can put a tube down into their stomach, and then
you put in cold ice water and then success it back
out. And then you just keep circulating cold water
into their stomach.

You can also do things like putting it in their bladder to cool it down. You can put a catheter into their bladder and wash out their bladder with cold water. I know it sounds a little invasive. But these are patients that are really sick. And our goal is to treat them, cool them down very, very quickly.

Fortunately, most of our prehospital or EMS are so good at it that by the time they get to

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us, they're mostly cool. They so a really great job of cooling them effectively and quickly.

- You gave a hypothetical a moment ago about telling the -- a paramedic to keep an eye on -- on his partner or her partner to make sure. In that situation, let's say someone is starting to
- 7 act a little -- a little sketchy, for example.
- 8 Does that person need immediate hospitalization, or
- 9 is there ways that they can be treated maybe by
- 10 their partner right then and there?

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When you -- it's -- they talk about heat 12 illness as heat exhaustion and heat stroke like they're two separate things. And they're really not. They're a continuum. If you're heading down that path of heat exhaustion, if you don't correct what you're doing, you're going to become heat stroke guaranteed.

And if you still don't correct it, you're going to die. So it is a separate -- they are on paper two separate entities. But they're really a continuum.

And the key mark on that where you jump from heat exhaustion, which most people do just fine with, to heat stroke is when you have those mental status changes. So when people exhibit

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the heat?

those mental status changes, that's the big red flag, hey. We've got to be really aggressive with these people. We really got to check them out.

So I would definitely not recommend somebody that's having signs of heat stroke, that change in mental status, to -- to be treated just -- without seeing a doctor.

- If they -- if they were taken someplace cool and they were still in that early stages where it's mild mental status changes, would you expect without hospital care and just with cooling that they could get better?
- Α. It's possible.
- 14 Q. Do you know what the criteria are for 15 diagnosing heat exhaustion in a hospital setting?
  - Well, it's a -- it's a clinical diagnosis. What that means is there's not a magic test for it. There is not a blood test that we can say this is heat exhaustion, this is heat stroke. I wish there was, but there is not.

And so the criteria are, basically, if you're having the -- the -- if somebody has been exposed to heat, so you've got just look at the scenario.

So the -- the guy was stuck in a -- you

an elderly lady was stuck in a mobile 1 home without air-conditioning, and the paramedic 2

said, wow. I went in there and it was really, 3

4 really, really hot, and she was not acting

appropriately. Just giving you an example. You've 5

got to think that this is -- this is heat illness. 6

7 Heat exhaustion, the separating point is there are temperatures that people use. The

8 classic temperature they use -- it's all over the 9

map in the literature. But the classic temperature 10

is 104 degrees. They say below 104 is heat 11

exhaustion and above is heat stroke. But 12

unfortunately in practice that really doesn't work. 13

One of the big reasons is getting that temperature. That's a core temperature, meaning 15 it's a rectal thermometer. And they don't really do that in the prehospital setting very often.

So it's more on a clinical. What do they look like? Is somebody just having nausea, vomiting, some muscle cramps? That's heat exhaustion. If they're having mental status changes, that's heat stroke.

And you mentioned a temperature. At what 23 point are you looking for the temperature? 24 25

Assuming you could get one, is it at the -- at the

point of exposure to the heat or after exposure to 1

It's at the point of exposure. And 3 Α. that's the challenge in all these is -- you know --4 what is the temperature when they were hot? And 5 people cool quickly, thank goodness. But if you 6 can be very aggressive, you can cool these people 7 8 very quickly.

9 Q. You mentioned the -- the rectal temperature or core temperature. Are there other 10 ways that -- that people have their temperature 11 12 taken?

Yeah. Most of the time, they do what's 13 called a "tympanic membrane" or an ear temperature. 14 I'm going to go to the doctor, and it's much nicer 15

than having to put a thermometer under your tongue. 16

They can do an ear temperature. 17

Unfortunately, it's -- in the setting of 18 heat -- heat illness, it's not a reliable method of 19 taking the temperature. 20

Q. And why is that?

21 Well, it's -- supposedly it's supposed to 22 go to your tympanic membrane or your eardrum. And 23 it all depends on how it's pointed. Because if 24 it's just -- if they point it right at your eardrum 25

Page 81 to 84 of 356

21 of 89 sheets

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or if they point it to the side, it might not get the right temperature.

And there has been great studies on this years -- from years and years of studies on this. And there's been -- one of the most recent one was they took a bunch of volunteers, or people. They put them all -- or had them exercise with a core temperature, a rectal thermometer. And then they measured their temperature. They measured them with the ear, and then they measured with a rectal temperature.

And their temperatures went up together 13 pretty well. So the ear temperature and their core temperature went up well together. But as they started cooling down, the tympanic membrane or the ear temperature dropped, but the core temperature, the rectal temperature, stayed high for a lot longer. So it doesn't correlate well in this setting.

It can do well for kids. Pediatrics it works well. That's why you see it a lot in pediatric departments. But in the setting of heat stroke, it just doesn't work very well.

24 And there has been some testimony previously about a temperature taken underneath 25

1 somebody's arm.

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Α. Yeah.

3 Q. And is that something that you would consider reliable in the heat-related illness 4 settina? 5

Α. Unfortunately no. It's -- the problem is is the physiology of it. When you start to cool or heat your veins and arteries, then your skin can dilate or they can contract. And depending on where you are in that, the temperature can be off.

So you really want to know the core temperature. And that's what's affecting people. Their skin is not affecting them. It's what's -what's inside. It's their heart. It's their brain. You want to know what's happening to that, not their finger. So -

Doctor, would you consider a temperature taken 45 minutes or more after the removal from the heat source to be something that would be reliable in trying to assess heat-related illness?

That's a good question. And this is what we commonly see is I don't really rely on temperatures because our EMS is so good at cooling people down. Nine times out of 10, they're cool -actually, they're hypothermic, meaning they've

gotten them colder that they're supposed to be.

2 They tell you actually to stop cooling when they to about 102. Because the odds are 3 you're going to overshoot, and you're going to end 4 up getting them too low. So you have to be 5 careful. It's not a -- it's not a reliable 6 7 indicator.

It would be a -- it would be a mistake to base your treatment based on the temperature. If you said, wow. They're hypothermic and they're altered and they're not acting appropriately, to think this isn't heat illness. You have to look at 12 the history. Were they exposed to heat? Was that an obvious diagnosis?

Is dehydration a necessary component of nonexertional heat stroke?

It's not necessary. It can happen, and in dehydration, it makes sense. If you're hot, what do you do? You sweat. You're going to get dehydrated. What I -- one of the common things we see is we see patients go into the river. We have the Colorado River just -- just north of Yuma where people recreate.

24 And I've had a couple of patients, actually repeat patients, that - I had this one 25

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lady that came in. She came in and she got sick. 1 2 It was 110. She was having nausea, vomiting, muscle cramps, not feeling well. She said, well, I 3

drank the whole time.

But unfortunately when it's 110, you can be hydrated, but that water that you're drinking is 110. So unfortunately they're not mutually exclusive. You can be hydrated and still have heat illness.

The flip side of that is if you are dehydrated, it can make heat illness worse. It can make you more susceptible to it. The reason being is what you're body does to help cool is it dilates all those arteries and veins in your skin so you can radiate some of that heat off. Okay?

But you need to pump a lot more fluid, and you need more volume, and your heart's got to work harder. But if you're -- if you're heart isn't the best, and now you've got to pump a lot harder, especially in elderly patients, that can be very bad for your heart. And that's why some people, when they're dehydrated, they get worse.

You mentioned a hypothetical a couple of minutes ago about a person in an apartment, and the power goes off, and it's 110 out

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can up come.

What sort of temperature can cause heat stroke to come into play for an otherwise healthy person who is exposed to that temperature in a nonexertional setting?

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Well, if it's above 98.6, you're going to Α. get hot. And that's the -- that's the temperature that we are at when you start getting 100, 103, 104. I don't know if you've ever been in a hot tub. But when we set our hot tub at 104, I'm cooking, so I can't really stay in that for much longer.

**Q.** Can humidity play a role in heat stroke?

Α. Absolutely. We talked a little bit about 14 this, the -- the four ways that you can cool. If you're -- if you're in a hot environment, one is you can get conductive heat loss. You can put cool things on you. If -- if you don't have anything cool on you, you're going to gain heat if it's hot outside.

You can have radiative heat loss. And that's where if it's cooler outside than your body, you can radiate heat off. But, again, if it's hotter than your body, you can't do that.

You can have convection. And that's another thing. I know you've heard of convection 25

ovens. They're always circulating heat around. They kind of circulate heat around the food. And that's -- that's a way -- if it's hotter outside

than you are, you are not going to be able to 5

radiate heat.

The thing that we use in Yuma is evaporative heat loss. And that's because we have low humidity. If it is very, very hot, like on the East Coast when these people in Chicago and New York have these heat waves, it's normally 99 degrees and 99 percent humidity. They lose that ability to cool too because you have to sweat.

And when you sweat, you have to have evaporation of the sweat. It won't evaporate if it's 99 percent humidity. So that ability to cool is lost too. So, basically, if it's hot and humid, you're out of luck.

Q. Can exposure, then, to extreme heat and extreme humidity speed up the process or the rate that a person would begin to suffer from a heat-related illness?

A. Yes. You just have no way to cool.

And can you tell us, then, what the signs and symptoms you would see in a patient who was 25 suffering from nonexertional heat stroke.

entially, the same as for exertional 1 and nonexertional. All the signs of heat 2 exhaustion, which are a concern. You kind of seem 3 like you've got the flew. You've got -- you can have muscle cramps. You just don't feel right. 5 Nausea, vomiting, diarrhea. Those sort of symptoms 6

But then when you flip over to the key 8 symptoms in -- in heat stroke -- I'm -- I'm just 9 talking on physical exam. This is the way that 10 you're just looking at this having heat stroke. 11 They're going to have mental status changes. And 12 13 that's the big difference.

Now, when we do tests, there are things that can be -- that we can find in heat stroke that you don't find in heat exhaustion. And those tests can show us problems with their kidneys, problems with their liver, problems with their heart. They're called "end organs." You're end organs are

19 your main organs: -- your heart, your kidneys, 20 21 vour brain.

Your brain is something that you can see. 22 All of us can see how someone's brain is working 23 just by looking at them. You don't need a blood 24 test to say, hey, their end organ, their brain, is 25

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being affected. They're acting not right.

Whereas, the kidney tests, the liver tests, I can't tell just by looking at you. But you can do it by blood work.

That's the thing that I try to teach our first responders is that the brain is the end organ you can see. And when that's affected, you got problems. You need to look out for that.

Q. How can heat affect the brain and the other organs in the body?

That's a good question. There's a lot of theories on that. The main area is that you get swelling, and then the cells start to die. You can leak fluid out into your brain. You can leak fluid into your lungs. Your kidneys shut down.

One of the theories is that people can go into something called "DIC." What -- what that is 17 is it's disseminated intravascular coagulation. 18 And what that is is from the heat -- your arteries 19 have linings. They're just tubes. They're like hoses. But the heat starts to melt the lining of 21

And so your body says, we've got holes. 23 I've got to plug -- we've got to plug those holes. 24 And so your body -- your body starts clotting and 25

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that hose.

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plugging up all those holes in the arteries.

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Unfortunately your whole body doesn't have enough clotting factors and platelets to plug every hole. And then it uses it all up, and then you start to bleed from everywhere.

So that's one of the late findings in -in heat stroke from the heat. And it hurts that lining of the hose, or your tubes, your arteries and veins, and then they start to leak.

Q. We've -- and you've mentioned some of the other organs in the body that there are some tests that can determine if those organs have been injured. Can you tell us what -- what those organs are and the sort of tests that could look for injury to those organs.

One of the things are kidneys. There is something called your "BUN" and "creatinine." They are markers to see if there's been any damage to your kidneys. If your BUN and creatinine are high, it means your kidneys have taken a hit.

There are markers for your heart. 22 They're called "cardiac enzymes." They're -- one of them is called "troponin." You don't have a troponin that's elevated in your blood in general unless you've done some damage to your heart. If

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you've had a heart attack, your troponin is going to be high. People that have had damage to heart muscle, that's what a heart attack does. But also heat illness can damage your heart, and it can make it go high.

- Q. Are those, then, elevated enzyme levels for BUN, creatinine, troponin -- are those specific findings for a heat stroke?
  - Α. They can be for other things as well.
- What sort of other conditions could cause 11 elevated BUN or creatinine markers?

Oh, the list is long. Dehydration is a common one. People that are dehydrated, the same thing happens there. Their kidneys don't get enough blood flow, and they start to get hurt. There's medications that can hurt your kidneys.

There's things called "autoimmune diseases" where your -- your -- I don't know if you've ever heard of lupus or these diseases where your immune system attacks itself. It can attack your kidneys. Diabetes can cause kidney disease. The list is extensive.

Q. And how quickly can those enzyme levels or those markers in the blood elevate after a person is exposed to extreme heat?

tty quickly. Α.

And how quickly can they -- do they ever 2 flush out of your body? If you're exposed and you 3 begin to suffer some effects from heat stroke and you're removed from the source of the heat, can 5 those markers eventually move out or clear out of your body? 7

A. That's the goal. Absolutely. They can clear out. And it's normally with hydration. Basically, treat the problem. In any -- any kidney disease, when you correct the problem, generally people do -- do well. Unless they've been severely hurt, it's been irreversible. That does happen.

And those markers. Is there an accepted time that they would begin to start clearing out or completely clear out of a body? Are we talking minutes? hours? days?

A. In a day or two with -- with the right treatment. I mean, if you -- if somebody has an elevated creatinine because of dehydration, because of heat illness, with aggressive fluids -- you give 21 them lots of I.V. fluids. If they're able to 22 drink, you have them drink fluids. You can normally get them better in -- in a day or two. 24

Q. And if a person suffering from heat

stroke doesn't receive treatment after exposure for 1 some period of time to extreme heat, how quickly 3 can they actually die?

A. One more time for your question.

If a person doesn't receive treatment, how guickly can a person die from heat stroke?

7 Well, it's kind of what we talked about earlier. Once you start down that path, you start 8 between heat exhaustion. You start having muscle 9 cramps. You start having nausea, vomiting. And 10 then you click that line where you start having 11 mental status changes. You're going down that path 12 of heat stroke. And you will go to death quickly, 13 14 in an hour.

15 I mean, it -- it depends on how -- how hot it is and their ability to cool, and if they 16 have preexisting conditions. If they're 17 dehydrated, if they have heart disease, if they 18 have other things, then they can die quicker. 19

So it depends how hot it is. If it's 20 just 100 degrees, you can last a lot longer than if 21 it's 120 degrees. It just depends on how hot it 22 23 is.

And, Doctor, have you seen patients in 24 Yuma who have been, say, trapped in a hot car in

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1 the -- in the summer sun?

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- Α. Lots of times.
- 3 Q. And what sort of symptoms would you see a patient like that presenting with in the emergency department?

Α. It just depends on how far along they are down on the scale. Some people just start with they're nauseous and they're having muscle cramps. Those people do really well. You get them out of the hot environment, give them a nice glass of water and sit them in a cool corner, and they feel better.

The people that are -- have been in there for longer periods of time -- unfortunately had patients that are unconscious, unresponsive. We have to put them on a ventilator. We have to cool them aggressively. They go to our intensive care unit. And people die from this every year unfortunately. It just depends on -- on how fast they were gotten too.

The key in heat illness is to get to them quickly, stop them down that path -- going down that path, and get them cool and reverse it. The sooner you can do it, the better they'll do.

Can you tell us how the effect to the

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- central nervous system that you talked about can
- 2 affect a person or patient's Glasgow Coma score who
- 3 is suffering from -- a patient suffering from heat
- 4 stroke.
- 5 A. Do you want me to tell you what the **Glasgow Coma Score is?** 6
  - Q. Can you tell us what it is?
- 8 Α. I'm sorry.
- 9 And if you need to use the chart -- I
- know there are a couple of different numbers. If 10
- 11 you can explain what those numbers mean.
- 12 Α. I don't have very good writing, but I'll 13 do it.

The Glasgow Coma Scale, also known as 15 GCS. It's, basically, a scale from 3 to 15. 15 is 16 all of us here. We're alert. We know where we are. We know what today's date is. We want -- we know what's happening. Three is somebody that's not responding at all. They are -- essentially,

20 they might be breathing, but they're -- probably 21 we're breathing for them on a ventilator.

And we base it on three categories -- eye opening, verbal, and motor. So eye opening. If they open spontaneously. I'll walk up to you and say hello, and your eyes are open. So that gives

you a full four points for that one. 1

2 Verbal. If you're -- let me go back 3 down. If you -- If I have so say, sir, ma'am, wake up, then it's voice. And then they have to open 4

their eyes. And you'll get one point less. 5

6 If I have to actually shake somebody or 7 touch them to get them to open their eyes, then they get one point. And zero is they're not 8 opening their eyes. Excuse me. Two. And then one 9 10 point is only if they're -- if they don't open their eyes at all. So it's basically 4, 3, 2, or 11

Verbal is the same sort of thing except 13 14 it's starts at 5. We are on a verbal of 5. We're talking with a person. You lose points as you go 15 down. If you're making no sense -- people that are 16 babbling lose points. People that are making 17 incomprehensible sounds, just moaning, they lose a 18 point, all the way down to not saying anything. 19

And then motor. Motor, you get 6. And it's out of 6 down to 1. If you're moving everything, you're talking, you're following commands, that gets you a 6. When you start moving things less purposefully, maybe things like just localizing the pain. If I squeeze your arm, you

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would push it away from me. You know, you would 1

2 say, stop that, and push it away. That's

localizing the pain. All the way down to things 3

called "posturing." 4

Posturing is when you're -- it's a 5 deep-brain reflex. When you're in big trouble, you 6 do this thing called the "decorticate posturing." 7

They put their arms like this. Or decerebrate 8

posturing. This is the next-to-bad one. The worst 9

10 is decerebrate posturing. They do this. And then

all the way down to you're not doing anything, just 11

12 lying flat.

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So heat illness can present anywhere on that Glasgow Coma Scale. They can present with a 15. They can be 14, they start getting a little confused, all the way down to they're posturing. They can have -- or if they're doing this or this or they're not moving anything at all. So it can go anywhere on that scale.

And at what Glasgow Coma Score does a 20 21 patient's ability to control their airway become a 22 risk?

Well, the classic sign is 8. That's where they teach us that when -- when somebody has a Glasgow Coma Scale of 8 or below, you generally

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put them on a ventilator because you're afraid 2 they're going to aspirate or get their own secretions or vomit or anything into their lungs. So 8 is the magic number that we use.

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- And can that Glasgow Coma Score of 8 -can that affect their ability to control other functions of the body, say, controlling their bowels?
- Α. Absolutely. Yeah. People -- they will be incontinent of stool, urine. It -- it happens.
- 11 Q. And do you have an opinion of how quickly 12 an untreated patient who is suffering from heat 13 stroke can reach a lower Glasgow Coma Scale, say, 14 of 8 or below?
  - Α. If it's hot very, very quickly.
- 16 Q. And can you tell us whether -- can a --17 can a low Glasgow Coma Scale lead to something 18 called "pulmonary edema"?
- A. Yes. It can. Pulmonary edema is when you get fluid on your lungs. And there's many 20 21 things that can cause pulmonary edema. But heat illness is one of the classic things that can lead 22 23 to that.
- 24 We talked about the tubes earlier, the 25 arteries, and the lining gets burnt and melts. The

same thing happens to the linings of your lungs. If you're breathing all that hot air in and if it's really hot, you actually -- you burn the lining of

your lungs, and they start to leak.

Your lungs down at the microscopic level are -- there's arteries right against and next to the lungs so you can exchange gas back and forth between the -- the arteries and the air. But that's a very thin membrane, a little, thin area.

And when too much heat happens, gets too hot, you -- that fluid starts to leak out. The classic finding is this pink, frothy stuff that comes up. And that's called "pulmonary edema."

When that happens, your ability to exchange gas, to get oxygen to your blood and carbon dioxide out, doesn't exist anymore or it gets a lot worse.

- What are some of the other signs and symptoms that you might see when a patient suffering from heat stroke begins to suffer from pulmonary edema?
- A. Well, the -- the ones that we -- I mean, they're breathing fast. They're breathing shallow. And -- and one of the classic findings is when you go to intubate them -- that's when we put a tube

into their throat -- you actually get to look down 2 into their throat.

3 And you lie them back to do this, and up from their trachea comes this pink, frothy substance. You can watch it come up. You can see 5 it on X ray as well sometimes. Depends on how bad 6

it is. But those are the -- normally difficulty 7 breathing. When you have fluid in your lungs, it's 8 9 not a good thing.

10 Q. If a person is -- receives medical treatment before they progress to that stage of 11 pulmonary edema, can you intubate them without 12 seeing the pink, frothy sputum? 13

14 Α. You definitely can. That's a late-stage finding. 15

16 Q. And can you explain what late-stage 17 findings are.

Well, late stage is just like it sounds. 18 Α. It means you're getting towards the end. 19 Likelihood of -- of dying is increasing. 20

Q. Can you tell us whether miosis, or 21 pinpoint pupils, are something you might see in a 22 23 patient suffering from heat stroke.

24 You can see big pupils or you can see little pupils. You can see normal pupils. You can 25

1 see any one of them.

Q. And what is that opinion based upon?

If you look at the research and what I've 3 seen. The -- the medical research shows it. 4

Q. And can you explain some of the causes 5 6 for having big pupils.

7 Well, there are substances that can -like anticholinergics that can make your pupils 8 big. You can do -- certain recreational drugs --9 methamphetamines, cocaine -- can make your pupils 10 big. Being really scared can make your pupils big. 11 12

You know, it -- it just depends on what 13 your -- normally it's just substance related or -or a stroke or bleeding in the brain can cause that 14 15 as well.

Q. And what are some of the things that can cause the pupils to become small or pinpoint?

The list is big as well. One of the most 18 common things we see is narcotics. People that 19 overdose, whether it's morphine or heroine. The 20 classic one is they'll see very small pupils. 21

There are other cholinergic symptoms. They're -- those are the opposite of

anticholinergic. Different medications that can

24 cause pinpoint pupils, but they can also cause

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large pupils. Fortunately, pupils -- pupils is a
difficult one. There are some things that are
classic and some that aren't.

**Q.** Do you have an opinion as to whether exposure to carbon dioxide can affect pupil size?

A. No. I don't have an opinion whether it causes pupil change.

Q. How about --

A. Carbon dioxide or carbon monoxide?

10 Q. Carbon dioxide.

11 A. Oh. I'm sorry. Carbon dioxide can cause 12 small pupils as well.

Q. How about carbon monoxide?

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Q. Doctor, we talked a little bit about cooling a patient. If you had a person suffering from heat stroke who is removed from the heat and maybe have their clothes removed and they're wetted down in a cooler temperature, say, 7-degree --70-degree temperature or cooler, can -- how quickly would you expect to see their body begin to cool?

A. Very quickly. And that's exactly what we do is we wet them down in a 70-degree environment or less. And our goal is to get them to go down approximately 2 degrees Farenheit every 5 minutes.

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So if somebody is 104, they should be down at 100 in 10 minutes if you're aggressively treating them.

3 MR. HUGHES: Your Honor, we have a stipulation4 for the admission of some medical records.

4 Tor the admission of some medical re

5 THE COURT: Okay.

MR. HUGHES: Exhibit 167, 168, 208, 209, 257,

**7** 384, 385, 386, 387, 388, 389, 390, 391, 392, 393,

8 394, 395. And those will be the medical records.

9 THE COURT: Ms. Do?

10 MS. DO: No objection, Your Honor.

11 THE COURT: The exhibits just identified by

12 Mr. Hughes are admitted.

13 MR. HUGHES: Thank you.

14 (Exhibits 167, 168, 208, 209, 257, and

15 384-395 admitted.)

16 Q. BY MR. HUGHES: And, Doctor, you

17 indicated that you -- in preparation for your

18 reaching opinions in this case, you reviewed

19 medical records?

A. Yes, sir.

21 Q. Did you review the medical records of the

22 18 participants from 2009 who went to various

23 hospitals?

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24 A. Yes.

Q. Including the three patients who actually

1 died?

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A. Yes.

Q. And based on your review of those medical
records and the witness statements that you
indicated you had reviewed, do you have an opinion
as to the cause of death of Liz Neuman?

A. Yes.

Q. And can you tell us, Doctor, what that9 opinion is?

A. Well, based on -- on the history, the records that were looked at with all the physicians that saw the patient, based on the autopsy, based on all those things, it appears that she died of heat stroke and heat exposure.

Q. And can you tell us what about
 Ms. Neuman's medical records in particular you
 believe relevant to determining her cause of death.

Well, she unfortunately went through the 18 whole progression of -- of what heat stroke does to 19 the body. Unfortunately she came in with those --20 altered mental status. She required to be 21 intubated or put on a ventilator. She developed 22 23 those things we talked about, late-stage findings. She went -- her kidneys didn't do well. Her BUN 24 and creatinine went up. She went -- what would 25

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1 appear to be some fluid in her lungs. She went

2 into that DIC. We talked about that. The -- the

3 arteries start to leak, and then she started

4 bleeding from -- from everywhere, which it's an --

5 it's an awful thing.

She -- the doctors seemed to be very 6 diligent in looking for all other causes. Whenever 7 you have a patient that has -- that is this sick, 8 you want to look for any reversible cause, anything 9 you can do to help that patient. And they -- from 10 what I read, these doctors did a great job in 11 looking for all possible other causes and any 12 treatment possible. They were very aggressive with 13 14 her.

Q. Do you know whether Ms. Neuman receivedI.V. fluids prior to hospitalization?

17 A. I believe she did, but I don't know off 18 the top of my head.

19 Q. Let me go ahead and find that record for 20 you. Doctor, I'm going to show you Exhibit 365, 21 which has already been admitted. And specifically 22 referring to Bates stamp 2593, which is the

23 beginning of the Guardian Air records, and then

24 2597, which is the Verde Valley Fire District

25 records.

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Can you take a look at those and let --2 let me know if she received any sort of I.V.

therapy prior to hospitalization? 3

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A. Yes, she did.

Q. Can the receipt of I.V. therapy affect whether or not a person presents at the hospital with the telltale signs of dehydration?

It definitely would decrease your signs of dehydration if you've had I.V. hydration.

Q. Now, what about a patient who is -- and I don't want to misuse the clinical word, but, essentially, dead. They're not breathing on their own. Their heart is not beating on their own, but that's being done mechanically for them.

Can the receipt of I.V. therapy -- even though a machine is -- is giving them compressions and/or a person is giving them compressions in the form of CPR and they're being given oxygen by a mask -- can that make any difference as to whether or not they would present in the hospital or 21 elsewhere with the classical signs of dehydration?

A. If you're doing CPR, you're pressing on someone's chest. You're doing artificial blood pumping. So if you're adding fluid to that, it's something that would help them in that situation.

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If they were dehydrated, you would want to try to give them fluids to help bring their heart back.

Q. Have you reached an opinion as to the cause of James Shore's death?

A. Yes.

Q. And what is that opinion?

Based on the heat exposure, based on their symptoms, and based on the autopsy report, they died of heat exposure or heat stroke.

10 Q. And can you tell me whether you've reached an opinion as to the cause of Kirby Brown's 11 death? 12

A. Yes.

Q. And what is that opinion?

A. It's the same opinion. It's based on the exposure to the heat, the clinical presentation, and the autopsy report. All would be died of heat stroke.

Q. We've heard mention in prior testimony of review in Ms. Neuman's records of cholinergic and anticholinergic toxidromes by her treating physicians. Did you see her doctors reviewing those possibilities?

Α. Absolutely.

> Q. And have you considered the possibility

of -- of her regestion of a substance that could be 1 cholinergic or anticholinergic?

A. Yes. I've considered that.

MS. DO: Objection. Foundation as to time, 4 5 please.

THE COURT: Sustained as to form and 6 7 foundation.

BY MR. HUGHES: And what medical records Q. 8 did you review? 9

> All of them that I have. Α.

And what time period, then, did -- did Q. 11 those medical records encompass? 12

The time they have of starting from the EMS for the prehospital, the paramedics arrival to 14 discharge, and then the autopsies.

Q. And based upon that review, do you have 16 an opinion as to whether Ms. Neuman's cause of 17 death was caused by her ingestion of some sort of a 18 19 toxic substance?

Do I have an opinion? Yes. And I don't Α. believe it was due to a toxic substance ingestion.

Q. And can you explain that opinion.

That's a good question. The doc here did a really good job. I mean, they thought about it. You can read through their whole medical record,

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especially through Liz Neuman. I mean, they 1

thought of anticholinergic. They thought of 2

cholinergic. They talked to toxicologists. They 3

talked to -- they called Poison Control Centers. 4

They talked -- thought about carbon monoxide 5 6 poisoning.

The same list of what are the 7 possibilities that you want to go through and say 8 check it off no, or maybe, or yes. They were very 9 diligent about it in looking at all those 10 11 possibilities. And, essentially, due to the presentation, the -- there didn't follow into one 12 of those categories. 13

The people that are anticholinergic normally have huge pupils, and -- they call them dry as a bone, mad as a hatter. And that's the anticholinergic. These people are very dry and big pupils. And that didn't really fit.

Part of it did. They were dry. But then they had these little pupils. So then that brings the other side of the coin, called "cholinergic" or organophosphate sort of poisonings.

Those people generally have -- they can 23 have pinpoint or they can have big pupils. But 24 they -- generally, the ones that I've seen and in 25

the literature, they're just drooling like crazy.

in either of those categories.

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They have a mnemonic for that called "SLUDGE." It's called "salivation, urination, defecation, lacrimation, GI upset." So they're -basically, water is flowing from their mouth, their eyes, I mean, everywhere, all orifices. And these people appeared to be dry. So it didn't really fit

They thought about the pinpoint pupils. The most common thing we see is a narcotic overdose. And that's somebody who used heroine or 12 something like that or morphine. And the paramedics gave them the antidote for that, which

So they went through -- they checked 16 carbon monoxide levels. Those are things that 17 people can get -- big groups of people can get 18 sick. They checked for those as well. So they went through the list very well of checking off, is 19 20 it this? It doesn't look like it. Is it this? It

is Narcan or naloxone. It didn't effect anything.

22 my opinion. 23 Now, there has been some testimony that 24 some pink, frothy sputum was observed. I think the

doesn't look like it. So they excluded those, in

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- Let's say it was an amount that would maybe cover
- 2 the top of a -- of a cup. If you, say, had a latte

testimony -- I'll give it to you hypothetically.

- or something with some of that skim on top, is that
- something that you would find consistent or 4
- 5 inconsistent with exposure to an organophosphate?
  - Generally it's inconsistent. You see the Α. pink, frothy sputum is classic pulmonary edema;
  - whereas the organophosphate, these people, the ones
- I've seen, they're just drooling, drooling, 9
- 10 drooling. Their eyes are running, drooling,
- 11 drooling, drooling. It's not a frothy. It's
- 12 drool.
- 13 Doctor, have you considered the
- 14 possibility that some other substance -- or were
- you asked to consider the possibility of whether, 15
- for example, certain types of rat poison could have 16
- 17 caused Ms. Neuman's death?
  - Α. Yes.
- 19 And do you know the names of the types of
- 20 rat poison you were asked to look into? 21 Well, the chemical name of one of them
- 22 was bromadiolone. And there was another one as
- 23 well. But they're based on the same chemical
- 24 structure. And how they work are -- I don't know
- if you've ever heard of Coumadin. Coumadin is a

- blood thinne that -- that we as humans take to 1
- make our blood thin in severe cases.
- 3 But the -- the way it works in killing
- rats was that it -- it makes you bleed to death.
- 5 And it's pretty quick. There is a test for that.
- It's something called "urine INR." And I didn't 6
- see any evidence of that in the broad spectrum of 7
- 8 the patients.
- 9 Now, with respect to the particular types Q. 10 of rat poison, do you happen to remember the types
- that you were asked to look into, the names -- the 11
- 12 brand names?
- Chemical names? The brand names? I've 13 Α. got it written down, but I don't have it handy. 14
- 15 Would referring to your notes refresh
- your recollection? 16
  - It would. Α.
    - MR. HUGHES: Your Honor, may the witness refer
- 19 to his notes?

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- 20 THE COURT: Yes.
  - THE WITNESS: So of the rat poisons, this was
- AMDRO Fire Ant Bart.
- 23 Q. BY MR. HUGHES: And I'll -- I'll ask
- 24 about --
  - Α. Oh. I'm sorry.

- That's okay. I'll ask about ant poisons 1 Q.
- 2 in a moment.
  - Α. Okav.
- Q. But were you asked to look into three
- particular types of rat poisons? 5
  - Α. Correct. Yes.
- And do you recall what the brand names 7 Q.
- for those three? 8
- 9 Α. Well, here's one. It's Just One Bite
- 10 Rat & Mouse Bait Bar.
- Okay. And does that Just One Bite poison 11
- have the -- the chemical that you just testified
- 13 about a moment ago?
  - Yes. It's bromadiolone. Correct. Α.
  - And do you recall what the other two rat Q. poisons were?
- Well, here's J.T. Eaton Bait Block 17 Α.
  - Rodenticide. Kills rats and mice.
- And does that have that same chemical or 19
- 20 a similar chemical?
  - Α. It's a similar class or chemical. Yes.
- 22 And does that cause the same sorts of
- 23 effects on the body as the other one?
  - Α. Yes.
- And what was the third? 25 Q.

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## 2 One Bite II?

3 Q. Is there just one -- well, let me ask

4 you --

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- A. Just One Bite.
- **Q.** -- is -- does that contain the same
- 7 chemical as --
- 8 A. Bro- --
- 9 Q. -- the Just One Bite?
- 10 A. Bromadiolone --
- **11 Q.** Okav.
- 12 A. -- ves. What about d-CON?
- 13 Q. And what sort of active chemical is in
- 14 d-CON?
- 15 A. Same class. Rodenticide.
- 16 Q. And what would the signs and symptoms,
- 17 then, be of a patient who had consumed one of those
- 18 rat poisons?

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- 19 A. Bleeding.
- **Q.** Now, there has been some testimony that
- 21 Ms. Neuman displayed bleeding in the form of this
- 22 condition of DIC. Is that consistent or
- 23 inconsistent with the rat poison theory?
- 24 A. Well, bleeding -- bleeding is bleeding.
- 25 But the problem is is her symptoms presented so --

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- DIC presented later when she started bleeding,
- 2 where this would have -- would have affected her
- 3 quickly -- quicker if it was a rat poison.
- **Q.** If a person had been poisoned with --
- 5 with one of these chemicals of rat poison to the
- 6 point where they were unconscious and in need of
- 7 CPR, what would 45 minutes to an hour of CPR do to
- 8 the chest of a person who had been fatally poisoned
- 9 by rat poison?
- 10 A. It would be just bruised and internal
- 11 bleeding. Basically, probably kill you, 45 minutes
- 12 of CPR.

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- 13 Q. And was there any indication of that in
- 14 her medical record?
  - A. No.
- 16 Q. Now, were you also asked to look into
- 17 the -- well, let me ask you about those -- the
- 18 chemicals in those different rat poisons. Are any
- 19 of those chemicals even an organophosphate?
- 20 A. No, they're not.
  - Q. So you were asked to -- to look into a
- 22 chemical involved with an ant poison; is that
- 23 correct?
- 24 A. Correct.
  - **Q.** Do you recall the brand name of the ant

- poison?
- - A. AMDRO Fire and Ant.
- Q. And what is the active sort of chemical
- 4 in the AMDRO?
- 5 MS. DO: Your Honor, I'm going to object to
- 6 the foundation.
- 7 THE COURT: Sustained.
  - Q. BY MR. HUGHES: Doctor, do you, as an
- 9 emergency room physician, see patients who have
- 10 ingested different sorts of chemicals come to the
- 11 emergency department?
  - A. All the time.
- 13 Q. And when a patient presents to the
- 14 emergency department, what are some of the things
- 15 that you research to try and determine how to treat
- 16 that patient?
- 17 A. I look at what the ingredient is. And18 then there is a form called the "MSDS," which is
- 19 the Material Safety and Data Sheet. I generally
- 20 try to look at that and see what its effects are.
- 21 Q. And you said you looked to see what the
- 22 ingredient is. Do you -- do you have patients, if
- 23 possible, bring the package of whatever it is that
- 24 they --

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A. Absolutely. We train our -- our fire and

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- 1 EMS first responders to grab everything they can.
- 2 Q. And did you -- did you review in this
- 3 case the package of -- or a package of AMDRO?
- 4 A. Yes.
- Q. And did you review -- you said an MSDS.
- 6 What's an MSDS?
- 7 A. It's called "Material Safety and Data
- 8 Sheet." Basically, any -- any company that has --
- or any active ingredient in some of these chemicals
- 10 has a sheet that basically tells you what the
- 11 toxicity is. It tells the fire department if it's
- 12 flammable, is it something that's dangerous, what
- to the state of th
- 13 sort of protection do we as -- as healthcare
- 14 providers or as -- if they're first responders,
- 15 what do they have to wear? Do they have to wear
- 16 something to protect their face? Do they not need
- 17 to breath it? It's an important sheet to have to
- 18 know when you're dealing with a chemical.
- 19 Q. And -- and then did you do such a review 20 regarding the AMDRO in this case?
- 21 A. Yes.
- 22 Q. And do you know what the -- is the
- 23 chemical in AMDRO an organophosphate?
  - A. It is not.
    - Q. And what are the signs and symptoms that

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you would expect to see from someone who had 1 2 ingested AMDRO?

Such as irritation. It's -- the -- in these MSDS they show a lethal dose for rats and rabbits of how much. These studies, they tell you how much you would have to ingest if you were a rat to kill 50 percent of the rats they gave it to.

And the amount that they would have to consume would be amazing. The oral lethal dose, 50, for this is 34,600 milligrams per kilogram. That's a lot to have to actually cause much 12 toxicity. So the chances of this causing toxicity is pretty low.

MR. HUGHES: Your Honor, would this be a good place to take the morning break?

16 THE COURT: Yes. Thank you, Mr. Hughes.

17 Ladies and gentlemen, we will take the morning recess. Please remember the admonition. 18

19 Please reassemble in 15 minutes. It will be about

20 11:15.

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21 And, Dr. Dickson, the rule of exclusion 22 went -- has been invoked in this case, meaning you 23 cannot discuss the case or your testimony with any

24 other witnesses until the trial is over. But you

can talk to the lawyers as long as other witnesses

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1 are not present.

THE WITNESS: Okay. Thank you.

3 THE COURT: And you are excused at this time

4 as well.

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5 And we are in recess.

6 (Proceedings continued outside presence

7 of jury.)

8 THE COURT: The record will show the presence

of Mr. Ray and the attorneys.

10 Ms. Do.

11 MS. DO: Thank you, Your Honor. I just have a

few issues. The state has since the break redacted 12

13 Dr. Dickson's reports. I have an objection first

because I understand Mr. Hughes is going to move to 14

15 admit the -- the reports themselves. And my

16 objection would be based upon hearsay.

17 The evidence is going to be the testimony

18 from the doctor on the stand. But I think it's

19 inappropriate to admit the -- the reports. And I

wanted to make that objection outside the presence 20

of the jury.

Secondly, if the Court is inclined to 23 allow its admission, he has redacted the paragraph

24 regarding what should have been done regarding

medical personnel. However, what's not been

redacted is this last paragraph. And I'll just 1

2 read it.

3 Multiple patients at this event and prior

events had signs and symptoms of classic heat 4

stroke. In my opinion, the decedent's symptoms 5

6 were not recognized and treated soon enough, which

7 resulted in his death.

8 I would -- if the Court is inclined to

admit the reports into evidence, I believe that 9

last sentence is inappropriate and inadmissible. 10

So that needs to be redacted. 11

Regarding the reference to the prior 12 events, my concern with that is that it is so vague 13

14 that it would be left to the jurors to interpret

the possibility that there has been additional 15

incidents that have not necessarily been testified 16

17 to in this trial.

THE COURT: Mr. Hughes, first with regard 18

19 to --

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20 Ms. Do, are you done? I'm sorry.

21 MS. DO: I am. And if the Court would like, I

could present the exhibits as redacted. 22

THE COURT: We'll get that done. Thank you. 23

Mr. Hughes, first, with regard to the

hearsay, this is a report that was prepared for 25

1 litigation; correct?

MR. HUGHES: That's correct, Your Honor.

THE COURT: So what -- what would your 3

4 response be to the hearsay objection?

MR. HUGHES: Your Honor, the expert's

testimony has been voluminous, and we're not done 6

vet. The report is a summary of that voluminous 7

information. That would be the -- the exception. 8

9 THE COURT: I'm not aware of that exception.

10 Ms. Do.

MS. DO: Neither am I, Your Honor. 11

12 THE COURT: Neither have I.

MS. DO: I have never seen an instance in a 13

criminal matter where the actual reports, whether 14 they're the expert reports or the police reports, 15

are admitted. 16

17 THE COURT: Okay.

MS. DO: It's classic hearsay.

THE COURT: Okay. I commonly see lab reports 19

20 admitted.

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MS. DO: Right.

THE COURT: That. But unless you have some 22

authority, I would sustain the hearsay objection. MR. HUGHES: I have no other authority,

Your Honor.

31 of 89 sheets

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THE COURT: Okay. And then the other 2 question, though, is whether it's in the -- in the report or just done verbally. Other -- it just -this is the first I've ever -- well, Mr. Kent or Dr. Kent is the first time I've every seen actual potential medical opinion talking about heat stroke in -- in prior participants.

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And so there are virtually no records other than Daniel P.'s records that could be looked at. So it would have to come from reading a number of statements or seeing a PowerPoint.

Where would that come from? MR. HUGHES: Your Honor, it's from the -- the witness statements from prior years. It was my understanding this morning that the Court would allow us to inquire about 2007 and 2008. I had no intention or was not going to ask about Mr. Pfankuch.

I have told the expert that already, that I don't intend to ask about the 2005 year at all. But I did want to ask if his opinions that he's given today are based on the signs and symptoms of vomiting and loss of consciousness that have been described by prior participants in 2007 and 2008.

MS. DO: Your Honor, on -- on that, my

understanding is that Dr. Dickson was provided with a number of supplemental reports by the sheriff's office back in December of 2010. I've taken a look at the specific supplements. And of those only a number of them are from witnesses who actually testified.

The greater -- the greater majority of the witness supplements that were reviewed by this witness -- and I assume he'll rely on it -- are witnesses who have never testified to this jury. So, essentially, we're going to allow this expert to back door in hearsay statements that -- that the defense has no ability to cross-examine on.

THE COURT: I'm just thinking through the testimony so far. And I'm not -- I'm not commenting in any way on the evidence but trying to sort out this evidentiary issue. Dr. Dickson made a statement about if someone had reached the point of having an altered mental state, possibly somebody could be rehabilitated without medical intervention, or something to that effect.

This -- this whole distinction between heat exhaustion and heat stroke -- and he discussed that. It's on a continuum. But it -- like it makes a jump at some point. He made that jump

at -- at what he, I believe, said when he talked about mental states.

What I indicated before and what -- what 3 has been admitted as observational can be given to

this expert for his opinion on what it might show, 5

but not the -- of course, the foundation for 6

opinion. And if you look at a lot of statements 7

from people that were in a sweat lodge, what

experts commonly rely on, it's -- it's not like 9

there is a big bank of authority here precedent for 10

11 this particular issue.

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But those statements, I think the definitions are very important that -- what -- what can be presented are the observations that have been testified to here in court.

Ms. Do.

16 MS. DO: And -- and that's fine with -- with 17 the defense, Your Honor. I just ask somehow in 18 Mr. Hughes' question that that is made clear to the 19 jury. As I noted in the last paragraph, this is 20 prior events. And certainly the jurors could be 21 sitting there wondering -- you know -- what other 22 prior events as opposed to having this witness's 23 testimony closely -- you know -- be closely hued to 24 the evidence they've actually heard that we've had 25

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a chance to cross-examine on. 1

THE COURT: But -- but some of those are from 2 the prior events. And that's going to be made 3 known. But it will be in the context of what the 4 testimony actually was relating to those 2007 and 5 6 '8 events, Mr. Hughes.

7 clear. And, Your Honor, to -- although I've told 8 the witness I don't intend to ask about 2005, if --9 with the leave the Court and Ms. Do, if I could 10 have a little leeway in asking some leading 11 questions in that area, I want to make sure that I 12

MR. HUGHES: I'll make it -- I'll make that

don't -- that we don't open something that we don't 13 want -- that I don't want to open. 14

15 And if I ask a leading question in the sense of do you know if -- if -- basically, to make 16 it clear that I'm asking about 2007 or 2008 and not 17

about another year. I just want to make it clear 18

to the witness that his -- his -- the -- you 19 20

know -- if witnesses have testified that they saw people -- you know -- people unconscious in 2008, 21

would that make a difference, things along those 22

23 lines.

Technically that's a leading question. 24 But I think under Rule 612 there is some leeway --25

or maybe it's 611. There is some leeway in asking leading questions. I think this would be an 3 appropriate area to do that.

THE COURT: All right. I agree with the general proposition in the -- getting someone to an

7 But, Ms. Do, response on that?

Mr. Li wants to have a word there.

8 9 MS. DO: So -- so I understand, Your Honor, is 10 that the state's theory that what has occurred to 11 other individuals in years prior is going to be relevant to this doctor's opinion as to what the 12 cause of death or illnesses are in 2009? Is that 13

14 the -- the relevance?

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15 THE COURT: Mr. Hughes?

16 MR. HUGHES: I want to find out if the 17 symptoms that he's seen or that witnesses have testified to would be relevant on the causation 18 issue. In other words, does that give him the 19 greater confidence, in his opinion, that 20 21 organophosphates, for example, were not at play in this particular case because of the fact that these 22

24 MS. DO: Well, I think we just have some 25 really serious issues with that theory, Your Honor.

symptoms were seen in 2007 and 2008.

We've litigated and argued that a number of times.

2 The Court knows, for example, from Dr. Mosley --

3 and we provided the Court with the transcript.

Nothing was taken out of context -- that the 4

5 medical examiners in this case stated that when you

determine cause of death, you are going to 6

extrapolate facts of other individuals in years 7

8 prior.

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This Court has made comments about the disparity between these events and -- and what was seen. I think the Court has discretion and 11 authority under 401 to determine whether or not the 12 proffer by the state even makes that relevance connection.

14 And I don't see how under any theory of 15 logic, common sense, or certainly medical theory, 16 how what happens to somebody completely different 17 18 three years before can help somebody understand what happened medically, physically, to somebody 19

21 I think that -- that there is a serious 403 danger here with the jury, rather than making 22 that logical connection, because it's not there, is 23 going to make inferences towards propensity. And 24

25 that's the concern. I think the Court has

expressed the a number of times. 1

THE COURT: This would be the subject for 2 experts, I think. And what I would note, though, 3

Mr. Hughes, I get concerned when you say 4

"unconscious." Because it was like the discussion 5

we had about Amayra Hamilton and observing

7 Daniel P., and how she phrased it and other people

phrased it, "unresponsive."

9 You're dealing with lay observations.

And -- and there are virtually no medical records 10

that are available for -- for anybody from 2007 11

and 2008. I -- but I'm just concerned when you 12

start talking about unconscious. And -- and I 13

think it needs to be made clear that these are lay 14

15 observations.

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Ms. Do.

MS. DO: Judge, I want to say one more thing. 17

And I appreciate the Court's time on this issue. 18

Under Arizona Rules of Evidence, 703, I understand 19

experts can rely on a number of areas that -- that 20

could be hearsay. But the rule is couched in terms 21

of is it of a type reasonably relied upon. And I 22

think that that's not a -- that's not a forgone 23

conclusion here. You have got a medical examiner 24

25 who says no.

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THE COURT: You heard my comment on -- about 1

that. It -- I don't -- this is an area where there

is a lot of authority and precedent to say what you

reasonably -- what you rely on as an expert in

this. But -- and that's -- and it's true. You can 5

offer these opinions, and it does not bring the 6

7 hearsay in.

But what Mr. Hughes is talking about is 8 actually presenting in court what has come in in

evidence and having a comment about it. I think 10

11 there are really two different kind of -- of

issues. 12

MR. HUGHES: And, Your Honor, with -- with --13

14 I think an appropriate question -- I will -- I will

lead to the point where it's clear that this is --15

I'm asking for his opinion based on -- you know --16

if a witness has testified that they saw a person 17

who appeared to this lay witness to be unresponsive 18 or who appeared to this lay witness to be vomiting. 19

You know. 20

It can go from there to make it very 21 clear that we're talking about evidence that's been 22 brought out in this courtroom and not something 23 that has not been brought out in the courtroom. 24

With respect, though, to 703, I would 25 Page 129 to 132 of 356

different in 2009.

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note Dr. Lyon did have the opinion that the prior 1 2 years would be relevant to a determination.

3 THE COURT: Well, I don't think he testified to that. I think that was in the interview, and you pointed it out to me. It was -- in the

interview Ms. Do had asked him a question about

that. And he said, well, it would be relevant. 7

8 Kind of a general statement without specifying.

9 Is that the reference? Because I don't

think he testified to that? 10

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MR. HUGHES: Your Honor, that's correct. He said that specifically in his interview. And I 12 13 attempted to get some follow up from him to be very clear and was not allowed to go down that line of 14

15 questioning with Dr. Lyon.

16 But it would be the state's proffer that if Dr. Lyon had been allowed to testify or if he is 17 allowed in a rebuttal case or later in the case, 18 19 the state's case in chief, he would testify that consistent with his interview that events from 20 21 prior years would be relevant to him in making his 22 determination.

23 THE COURT: Back to the immediate issue. 24 Because that raises a lot of complications,

25 Mr. Hughes.

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But it appears, Ms. Do, that Mr. Hughes

2 is just -- is going to avoid the whole issue and confine to at least what's in court so people know 3

4 what's being dealt with.

MS. DO: All right. Thank you.

THE COURT: Is that correct, Mr. Hughes?

MR. HUGHES: That's correct.

Your Honor, again, just for purposes of 8

9 the record, the comment that Ms. Do is correctly

10 attributing to Dr. Mosley was also one in an

11 interview. It was not introduced in court.

12 THE COURT: And I recall that as well. It was

13 a rather brief passage, as it was with Dr. Lyon.

14 Okay. Thank you.

(Proceedings continued in the presence of

16 jury.)

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17 THE COURT: The record will show the presence 18 of Mr. Ray, the attorneys, and the jury.

Dr. Dickson has return to the stand. 19

20 Mr. Hughes.

MR. HUGHES: Thank you.

Q. Doctor, I think where we had left off, we were talking about some studies about the ant poison AMDRO and it's potential lethality.

Does this MSDS sheet that you told us

about -- does-r talk about the amount of AMDRO

2 that would have to be ingested to kill, for

example, 50 percent of the rats --3

MS. DO: Your Honor --

Q. BY MR. HUGHES: -- in the study?

6 MS. DO: I'm sorry. Objection to foundation 7 and hearsay.

THE COURT: Sustained as to foundation. 8

Mr. Hughes.

Q. BY MR. HUGHES: Doctor, does this MSDS 10 sheet -- is that something that you rely upon as an 11 12 emergency room physician in trying to determine how 13 to treat a patient?

A. Yes.

Q. And as a physician, when a patient 15 presents, do you try and determine how much of a 16 substance the patient's ingested? 17

> Α. Yes.

Q. And why is it you try and determine how 19 20 much they've ingested?

A. So you can find out how sick they're going to get from it or if it's toxic at all.

And what is one of the ways -- or what 23 are -- how -- what are some of the things you rely 24 upon in trying to make that determination? 25

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A. One of them is the MSDS for things that I don't know off the top of my head. And there are certain ones that we see chronically, like Tylenol, things like that. But for things like this, I would look it up.

Q. If you had a patient who had somehow ingested AMDRO who came to your emergency department, what would you try and look up in this 9 MSDS?

10 A. The signs, the symptoms, if there is any potential toxicity to my staff or myself. Do they 11 need to be decontaminated -- and then the LD50, 12 which is the lethal dose that would kill 50 percent 13 of the rats or guinea pigs, to kind of get a 14 ballpark figure of how dangerous this stuff is. 15

Q. And what is the LD50 for AMDRO?

MS. DO: Your Honor, again, objection as to 17 foundation, hearsay, and Rule 703. 18

THE COURT: Overruled.

You may answer that if you can.

THE WITNESS: I've got to look it up here.

BY MR. HUGHES: Would referring to your notes refresh your recollection?

Yes. For a rat it's 3,000 --

34,600 milligrams per kilogram, which, basically,

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- 1 would be well over a pound or this stuff to hurt a rat you'd have to ingest.
- 3 Q. For the AMDRO?
- A. Yes.
- Q. And based on your training and
- experience, would a human being need less or more
- 7 to harm a human being?
  - Α. More.
- 9 Q. Did you rely -- or review a similar MSDS
- for the rat poisons that we've talked about? 10
  - Α. Yes.
- Do you have an opinion as to how much rat 12 Q.
- 13 poison a person would have to consume of one of
- 14 these three types that we've been -- you testified
- to earlier this morning -- do you have an opinion 15
- 16 as to how much a human would have to consume to be
- 17 fatal?

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- A whole pound. This thing would --Α.
- 19 MS. DO: Your Honor, objection. Foundation.
- 20 THE COURT: Sustained.
- Q. BY MR. HUGHES: And what is that -- I'll 21
- 22 ask you your opinion in a moment. But what is your
- 23 opinion based upon?
- 24 Α. Well, you take the lethal dose that would
- kill 50 percent of the rats, the LD50. And it says 25
  - 138
  - how many milligrams per kilogram that is. So it's
  - a number. It's -- you know -- 4,000, whatever it
- 3 is, for each substance. And then you see how much
- that would be for, say, a 70 kilogram person.
- That's the average weight of a -- of a human. 5
- 6 What's 70 kilograms in pounds? Do you
- 7 know?
- Α. 160, ballpark -- 150, 160. And then you 8
- 9 do the math. It would take -- you know -- how
- 10 many -- how many grams or -- it would take about a
- 11 pound.
- 12 **Q.** And you mentioned that there would be
- 13 signs and symptoms. For someone who had ingested
- AMDRO, what would those -- signs and symptoms would 14
- you expect to see if a person had ingested AMDRO? 15
- 16 MS. DO: Your Honor, I'm going to ask that the
- 17 witness identify if he's reading from something or
- 18 if he's reading -- testifying from knowledge.
- 19 THE COURT: Mr. Hughes, if you could clarify
- 20 that with your question, please.
- 21 Q. BY MR. HUGHES: Doctor, is there a source
- that can provide you with some of the signs and 22
- 23 symptoms of ingestion of AMDRO?
- 24 Α. Yes.
- Q. 25 And what is that source?

- A. TH MSDS.
- Q. And would referring to that MSDS refresh 2
- your recollection as to the signs and symptoms of
- someone who had ingested AMDRO? 4
  - Α.
  - Q. Have you -- go ahead and tell us what
- 7 those signs and symptoms would be.
- Well, it causes skin irritation, causes 8
- some moderate eve irritation, can cause some --9
- they want you to induce somebody to vomit if they 10
- drink it, so it says. 11
- Q. Have you -- in your 10 years in Yuma, 12
- have you seen anybody who has ingested AMDRO come 13
- to the emergency department? 14
  - Α. No.
- 16 Q. There has been some testimony from
- another witness about a report that was prepared in 17
- this case by Dawn Sy, a criminalist. Have you had 18
- a chance to look at that report? 19
  - A. Yes.
- 21 Q. And putting on the projector Exhibit 345,
- 22 is this a copy of Ms. Sy's report?
- A. Yes. 23
- Q. And have you had an opportunity to review 24
- similar MSDS sheets for the chemicals referred to
- 140

- in that report? 1
  - Α. Yes.
- 3 With respect to the conclusions that are
- referred to, then, on page 2 of the report, it 4
- indicates that trace amounts of a chemical called 5
- "2-ethyl-1-hexonal" were detected in Item 356. Did
- vou review the MSDS for 2-ethyl-1-hexonal? 7
  - A. Yes.
- Q. Do you know what 2-ethyl-1-hexonal is 9
- used in? 10
- 11 A. It's said use --
  - MS. DO: Your Honor, objection. Foundation.
- 13 Calls for hearsay.
- 14 THE COURT: Sustained.
  - Q. BY MR. HUGHES: Doctor, do you have an
- opinion as to what -- what that chemical was used 16
- 17 in?
- 18 Α. Based on what the MSDS says, yes, I have an opinion. 19
- 20 And is that, again, something that you would rely upon in your professional duties as a
- doctor -- this MSDS sheet? 22
  - Α. Yes.
  - Q. And what was that opinion?
    - It's used in medical grade vinyl tubing

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### is one of the examples they use.

2 Q. And 356 is a can containing pieces of material; is that correct? 3

A. Yes.

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Q. And do you know to what temperature and 5 for how long Ms. Sy heated that can containing the pieces of tarp to get these results?

MS. DO: Objection, Your Honor. Foundation, beyond the scope of this witness's qualifications.

10 THE COURT: Sustained as to foundation.

Mr. Hughes.

12 BY MR. HUGHES: Well, let me ask you 13 hypothetically, Doctor, if Ms. Sy heated that Item 356 to approximately 50 degrees Celsius or 14 15 centigrade for eight hours, and assuming that she 16 did that and found trace amounts of

17 2-ethyl-1-hexanol, what sort of signs and symptoms

based on this MSDS would you expect to see for a 18

19 person who had ingested 2-ethyl-1-hexanol?

20 MS. DO: Objection, Your Honor. Foundation 21 and hearsay.

22 THE COURT: It's -- it's a foundation issue,

23 Mr. Hughes. It's sustained.

Q. BY MR. HUGHES: Doctor, did you review an

MSDS for 2-ethyl-1-hexanol? 25

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#### A. Yes. 1

Q. And does that sheet show the signs and symptoms that you could expect to see for a person who had been exposed to 2-ethyl-1-hexanol?

MS. DO: Your Honor, I'm sorry. Same objection.

THE COURT: Overruled.

You may answer that.

9 THE WITNESS: Yes. It does show signs and 10 symptoms.

11 Q. BY MR. HUGHES: What would be those signs and symptoms? 12

A. Irritated eyes, nose, throat, skin, 13 14 cough, sore throat, headache, dizziness, and 15 weakness.

Q. And is there a LD50 study in that MSDS 16 17 you just referred to?

A. Yes. It says -- it says they exposed rats to as much as 120 parts per million for six hours a day for 90 days, and they had no adverse effects.

Q. Can you tell us what a trace amount is?

A. Not very much. 23

Q. And did you review an MSDS for

25 2-ethylhexyl acetate?

Q. And did that MSDS that you reviewed show 2 signs and symptoms that you could expect for exposure to 2-ethylhexyl acetate? 4

A. Yes.

Q. And is that something you would rely upon 6 in treating a patient? 7

A. Yes.

**Q.** And what were the signs and symptoms for 9 exposure to 2-ethylhexyl acetate? 10

MS. DO: Your Honor, again, I have to object.

12 Calls for hearsay. The doctor is, essentially,

13 reading from the document.

THE COURT: Overruled. 14

THE WITNESS: It talks about ways that you can

inhale it. You can get it in your eyes, skin, or 16

ingestion. It talks about handling and storage. 17

It talks about its stability. And then it talks 18

about the LD50. 19

Q. BY MR. HUGHES: And does it -- do you 20 know what the signs and symptoms would be for 21 someone exposed to that chemical? 22

I have to read it. It says, skin 23 irritation in guinea pigs was moderate. Eye 24 irritation in rabbits were slight. And skin

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1 sensitization in humans were none.

Q. What does "skin sensitization" mean?

A. Well, that's if you can become allergic 3 to something. So if you're sensitized to

something, then you -- then the next time you see 5

it, you can become allergic to it. 6

Q. And was an LD50 study done for that 7

chemical, 2-ethylhexyl acetate? 8

9 A. Yes. And it was greater than 5,000 milligrams per kilogram, which is a lot. 10

Q. The -- Ms. Sy's report indicates trace 11 amounts of alpha-terpineol were detected in 12 Item 500. And Item 500, if you would assume, is a 13 can containing wood. Did you review an MSDS for 14 alpha-terpineol? 15

A. Yes.

Q. Do you know what the signs and symptoms would be based on your review of the MSDS for 18 exposure to alpha-terpineol? 19

A. It says, chronic effects not available.

Toxic effects on humans. It says, very hazardous. 21

22 It's very hazardous in case of ingestion.

Hazardous in case of skin contact. It's an 23

24 irritant.

> And is there an LD50 that's been Q.

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performed on that chemical?

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No. This chemical is -- according to this is a common thing in different, like, pine oils. It's a very common chemical.

**Q.** Now, how about the final chemical mentioned this -- negative terpinen-4-ol?

Well, I mean, it's commonly found in tea tree oil. The potential health effects can cause eye or skin irritation, harmful if swallowed. It cause irritation to the digestive tract. It can cause respiratory tract irritation and may be harmful if inhaled.

13 Q. And was this chemical subjected to an LD50 test? 14

A. It was. Again, large amounts. 1300 for oral -- 1300 milligrams per kilogram for a rat. And for skin for a rabbit, it was 25- -- greater than 2500 milligrams per kilogram, so a lot.

**Q.** And, Doctor, assuming hypothetically that 20 these items were found in a log that got burned at the scene, would you expect -- how would you expect those chemicals to affect the people who were 22 around the smoke?

24 MS. DO: Objection, Your Honor. Foundation.

25 THE COURT: Sustained.

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Q. BY MR. HUGHES: First of all, would you expect, based on your training and experience, to 2 find trace amounts of wood oils in burned wood 3 4 smoke?

> MS. DO: Objection. Foundation and leading. THE COURT: Sustained.

Q. BY MR. HUGHES: Doctor, if people were --I'm giving you a hypothetical. If people were exposed to wood smoke for a short period of time, say, under 15 minutes, and other people were exposed to the same wood smoke -- smoke for several

12 hours, two hours or more, if there was any toxic

13 substance in the wood smoke, which group would you

expect to see succumb to that toxic effect? 14 15

MS. DO: Objection. Foundation, Your Honor.

16 THE COURT: Sustained as to foundation. 17

Q. BY MR. HUGHES: Doctor, in determining how to treat a patient, do you have to review the circumstances of how they become injured?

Α. Yes.

Q. And how important is that in making a determination -- or an opinion on your part as to what is wrong with the patient?

> Α. Very important.

If you had a patient who came into your

to had been exposed to wood smoke for department W

10 or 15 minutes, and the patient's mother brings 2

the patient, had been exposed to that same wood

4 smoke for several hours without effect, would you

consider the wood smoke to be a likely cause of why

a patient was presenting in the hospital? 6

MS. DO: Objection. Foundation and leading.

THE COURT: It's hypothetical. Overruled.

If you can answer that, Dr. Dickson.

THE WITNESS: Yes. People that are exposed 10 11 longer obviously would have symptoms greater than 12 shorter-duration exposure.

Q. BY MR. HUGHES: Doctor, in reaching the 13 determ- -- the opinions that you've testified to 14 previously about the cause of death for James 15 Shore, Kirby Brown, and Liz Neuman, did you also 16 consider the possibility that -- or did you 17 18 consider evidence regarding 2007 and 2008 sweat 19 lodges?

> Α. Yes.

Q. And, Doctor, assuming hypothetically a 21 witness in this case -- and I'm talking about lay 22 witnesses. They're not doctors who are trained to 23 make medical diagnosis, but lay witnesses who --24 who don't have medical backgrounds. 25

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1 Assuming hypothetically a lay witness testified that in 2007 and 2008, they saw people 2 coming out of Mr. Ray's sweat lodge ceremonies with 3 very red skin but they didn't see people coming out 4 of other lodges not run by Mr. Ray with that very 5 red skin, can that be a factor that would help you 6 in determining whether or not toxins were at play 7 8 in this case?

It probably wouldn't be a factor. And 9 Α. you can have it in either way. You can have a skin 10 irritant that could cause red skin, or being really 11 hot can cause red skin. 12

Q. Can -- you mentioned being really hot can 13 cause red skin. Can you explain how that could 14 15 happen.

Well, it's a physiologic response. We 16 talked a little bit earlier. It's when your body 17 is hot, one of the mechanisms it uses is it dilates 18 the arteries and veins in your skin to let that 19 heat off. Now, that can work to your advantage if 20 it's cooler outside, or can it work to your 21 disadvantage if it's hotter outside. Because then 22

But generally that's a physiologic 24 response initially to being hot, to dilate your

vou can absorb more heat.

## skin -- arteries and veins so mat you can get rid of heat. And that will make you red.

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Q. With respect -- again, talking about this hypothetical. If a witness also testified that in addition to seeing this red skin in participants coming out of Mr. Ray's sweat lodge ceremonies and not others, the witness also testified that they saw people coming out vomiting -- occasionally vomiting but did not see that sign in people who came out of ceremonies held on the same property by people other than Mr. Ray, can that factor in 12 addition to seeing the red skin affect your determination of whether or not toxins were at play in 2009?

#### A. You can vomit from toxins. You can vomit 15 16 from heat illness.

Q. Is there -- and, Doctor, let's say, again, on this hypothetical, you had a witness testify that they saw people who appeared unresponsive, laying on the ground, their eyes rolled back, and, again, they saw this pattern where this was something seen in participants from -- at Angel Valley in Mr. Ray's sweat lodge ceremonies but not in other people's ceremonies --MS. DO: Your Honor, I object.

# May we approach?

THE COURT: Why don't we just go ahead and have the noon recess at this time and start a bit earlier. Let's do it that way.

So, ladies and gentlemen, we will take the noon recess at this time. Please remember the admonition. Please be reassembled at 10 minutes after 1:00, so about -- a little bit shorter time. And you're excused at this time.

10 And you are too, Dr. Dickson. Remember 11 that rule of exclusion. Thank you, sir.

12 (Proceedings continued outside presence 13 of jury.)

THE COURT: Now, there was an objection. And rather than have a bench conference -- I know Mr. Hughes used the word "pattern." And a number of times you've expressed your dislike of that term.

MS. DO: Yes, Your Honor. It is that issue. But I also think that Mr. Hughes, at least based upon my understanding of the Court's ruling, though, that it has clearly gone beyond the scope of what the Court indicated would be allowed.

24 I understood Mr. Hughes to state his 25 intention that he was going to ask the witness

whether or neepeople becoming ill under whatever 1 circumstances at Mr. Ray's 2007 and 2008 sweat 2 lodge ceremonies would bear on the cause of death 3 4 or illnesses in 2009.

5 Mr. Hughes has looped into that hypothetical a comparison of a pattern of Mr. Ray's 6 sweat lodge ceremonies compared to nonJRI sweat 7 lodge ceremonies. I think that's inappropriate. 8 And the use of the word "pattern" repeatedly in 9 10 this hypothetical essentially tells the jury that we're talking about propensity as opposed to 11 12 physical, medical causation. 13

THE COURT: I thought I heard "pattern" just 14 once.

Mr. Hughes.

MR. HUGHES: Your Honor, I did use the word 16 "pattern" once. I was trying not to use that word, 17 18 but I did use it once. I didn't use it multiple 19 times.

Again, I don't believe this evidence 20 suggests propensity. The questions are targeted 21 towards the causation element. And I'm trying to 22 23 ask targeted, leading -- essentially, leading, targeted questions on that causation issue. 24 It's -- it's not pertaining to the propensity 25

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issue. And I know we've been down that -- and 1 2 discussed that multiple times in the past.

MS. DO: Your Honor, I'll correct myself. I 3 think Mr. Hughes did use the word, pattern, once. 4 But the import of the questions, multiple 5

questions, was to compare a pattern of Mr. Ray's 6

sweat lodge ceremonies to nonJRI. And I didn't 7 8 understand that to be the Court's allowance of this

evidence as to cause -- to physical, medical cause. 9

And, secondly, I think that the problem 10 now for me with Mr. Hughes leading this witness 11 into this area is that to the first three or four 12 13 questions -- leading questions, he said no.

THE COURT: I realize that. So I don't --14

MS. DO: Well, my concern --

THE COURT: -- I'm wondering why you're --

MS. DO: My concern --17

THE COURT: -- bringing this up.

19 MS. DO: I'm sorry, Your Honor.

My concern is that the next question that will be leading -- the ultimate question that will be leading is -- you know -- do these events, these prior events, bear on the cause of death in 2009? And given what the -- the witness has

said to the specific questions, I don't see how

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1 he's going to be able to answer as yes. So my
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  concern is that leading him into that area suggests
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   to him that's the answer. And I think that based
   upon -- I'm sorry, Your Honor. One last thing --
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        THE COURT: Oh, no. Don't -- I'm not --
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6 don't -- I'm just thinking.

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MS. DO: Thank you.

THE COURT: Please, Ms. Do, continue.

MS. DO: Thank you.

10 Based upon the -- the testimony the Court 11 has heard, again, this is now a witness who is testifying. And it doesn't sound to me that this 12 expert is saying that this is information 13 14 reasonably relied upon to opine cause of death in 2009. There is -- there is a logical disconnect 15 here. And I think the witness is establishing 16

17 that. THE COURT: And that -- that is a foundation 18 objection in that -- the standard that Mr. Hughes 19 hasn't gotten to that question either. 20

21 MR. HUGHES: I haven't, Your Honor. I'm trying to establish foundation at this point. 22

Again, I think it's appropriate to ask the witness 23

24 to draw opinions from evidence that has been

adduced at trial. And the evidence that has been 25

adduced through the Hamiltons and the Mercers is

2 not only about things observed in sweat lodges

3 conducted by Mr. Ray but also about -- in 2007 and 2008, but the things that were not observed or 4

were observed to the negative of other participants 5

in other sweat lodges. And that's -- my questions 6

7 are limited to that.

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It's -- it's appropriate to ask a witness, an expert in particular, to draw the conclusion based on the testimony that's come in.

THE COURT: Ms. Do, anything else on this 11 12 point?

MS. DO: Well, if the Court is -- is inclined to allow Mr. Hughes to continue this line of questioning, again, I don't think it's appropriate for Mr. Hughes to throw into the hypothetical nonJRI sweat lodge ceremonies.

Now -- now we're comparing -- essentially 19 I -- that seems to me it does go to pattern and propensity and arguably inference of whether there is knowledge or notice.

21 This -- this is a medical doctor who is 22 23 here to testify about medical cause, physical cause. And so the only thing that's relevant is 25 what, if anything, has occurred through Mr. Ray's

prior sweat reage ceremonies and how that might --1

though I don't see it, how that might bear on the

cause of death or cause of illnesses in 2009. 3

So I just -- I have trouble seeing the 4

connection, Your Honor. And I think that 5

Mr. Hughes has gone beyond what I understood the 7 Court to allow.

THE COURT: It would be best to not use the 8 word "pattern." I believe the questions are 9 consistent with the rulings -- previous rulings. 10

MS. DO: Your Honor, may I have one moment? 11

12 THE COURT: Yes.

MR. LI: Your Honor, just -- just -- because 13 we want to preserve the record here. And if we 14 could not -- we believe the pattern questions to be 15 improper and to implicate potential mistrial issues. 17

And if we could preserve the record on 18 that particular issue as to whether or not -- you 19 know -- that that particular question provoked a 20 mistrial in light of all the various testimony 21 22 here. And it's a question just like --

THE COURT: So you're making that record right 23 24 now.

MR. LI: Either -- either -- yes, Your Honor.

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THE COURT: Okay. Well, Mr. Hughes, I guess 1 there is technically a pending motion right now --2

MR. LI: And, Your Honor --3

4 THE COURT: -- Mr. Hughes.

Go ahead. Go ahead, Mr. Li. Go ahead 5 6 and finish up.

MR. LI: Well, here's the problem: I mean, as 7 I see the testimony right now, the witness said 8

that he would not -- he would not -- you know -- he 9

would not think that red skin has any impact on any 10

further diagnosis later on down the road. I think 11 he also said -- I think the question was about 12

vomiting. Vomiting. And that would not be 13

indicative of anything. 14

I'm not sure what other questions there 15 are. In light of this sort of reasoning, he's 16 making -- he's making a logical conclusion, which 17 is that there are multiple causes for various 18 symptoms that are nonspecific.

19 And so, as a consequence -- you know --20 he can't draw that -- that conclusion, which is 21 exactly the logical point we've been trying to make 22 for some time about the causation issue here. 23

There are a lot of multiple causes for nonspecific 24 25 symptoms.

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1 And I think that the problem is that when 2 Mr. Hughes uses the word "pattern" in the questions 3 and when he's making the comparison to other people's sweat lodge ceremonies as part of his question, to which the witness then responds in the negative, we are creating exactly the propensity issue that -- that we -- that the defense has had 7 an issue with. And so that's the record I'm trying 9 to make.

THE COURT: Mr. Hughes.

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11 MR. HUGHES: Your Honor, I was endeavoring not 12 to use the word "pattern." I don't think it's inappropriate to use the word "pattern," but I 13 14 realize where we're at today, three months into trial, that word carries baggage with it. I was 15 16 endeavoring not to use it.

I think it's -- it is an appropriate comment on the evidence that's come in so far and it's appropriate. I think it's an appropriate way to summarize the evidence.

21 The jury has heard the testimony about Mr. Ray's ceremonies in the past and other people's 22 23 ceremonies. The jury also knows what the lawyer 24 says is not evidence. And given all of that, 25 Your Honor, I think that the questions have been

appropriate to this point.

I've been trying to steer through a very narrow course. And -- and I will endeavor not to use the word "pattern" in the future, although, again, I do think that -- that it's appropriate to use it. But because of the baggage, I'm going to attempt not to.

8 However, the testimony has been -- the 9 questions have been tied to specific testimony the 10 witnesses have testified to about seeing people 11 unconscious, essentially, on the ground with their eyes rolled back. And I didn't use the word 12 13 "unconscious." About people vomiting, about the 14 red skin. There's some -- a few others along those 15 lines. 16

And then I'm going to ask a sum-it-all question to sum all of those together and see if that makes a difference to the witness's opinion as far as ruling something in or ruling something out.

MR. LI: Your Honor --

THE COURT: Go ahead, Mr. Li.

MR. LI: The problem with this prejudice is this: That if this witness has, essentially, severed the causation issue, as has Dr. Mosley, as logic dictates, that there are multiple potential

causes for nemspecific symptoms in different sweat

lodges with different physical environments.

3 And as the Court yourself has acknowledged, that -- you know -- there are a lot of factors that go into what -- what happens inside 5 the sweat lodge, including all those identified by 7 Mr. Haddow.

And so this witness has had in two 8 questions already severed that causation in 9 particular with respect to the vomiting. I think 10 it is a very important distinction here. And the 11 problem is that through Mr. Hughes's questioning, 12 it's not just the use of the term "pattern," 13 although that -- that makes it explicit that we are 14 talking about a pattern. But it's through 15

Mr. Hughes's questioning -- suggestive questioning 16 that the suggestion of pattern evidence comes in. 17

And that's explicitly not allowed under this 18

Court's ruling and under Rule 404(b). 19

And the -- and the problem that we're 20 facing, Your Honor, is that the prior ruling that 21 this Court has made as to why prior sweat lodges 22 might be admissible to show causation has been 23 severed by not only Dr. Mosley but also now by this 24 witness. And I think this questioning needs to 25

stop. Because eventually Mr. Hughes is fishing 1 long enough, this guy might bite on something. 2

Okay?

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4 But the reality is what we have right here, he's already said these nonspecific symptoms 5 do not have an impact on a diagnosis in 2009. 6

And -- and all of the prejudice that -- that is 7 inherent in Rule 404(b) and in all of the Court's

8 rulings that the Court has been -- you know -- has 9

acknowledged repeatedly is being implicated by 10

Mr. Hughes's continual questioning down this line 11

and in the way he's questioning. 12

THE COURT: The record has been made on that.

Thank you. 14 15 (Recess.)

(Proceedings continued in the presence of

17 jury.)

> THE COURT: The record will show the presence of the defendant, Mr. Ray, the attorneys, the jury. Dr. Dickson has returned to the witness stand.

> > Mr. Hughes.

MR. HUGHES: Thank you, Your Honor.

Q. Doctor, I think where we had left off, we 23 had been talking about prior sweat lodges in 2007 24 and 2008. Doctor, reaching your conclusions as to 25

- the cause of death of Liz Neuman and Kirby Brown 1
- 2 and James Shore, did you consider information from
- 3 2007 and 2008?
- A. Yes, I did.
  - Q. And did you -- if -- if a witness had
- testified in this case that people displayed kind
- 7 of a red looking skin, is that something that you
- considered?

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- 9 Α. Yes.
- 10 Q. And if a witness testified that people
- 11 may have been -- and, again, we're talking about
- lay witnesses who would not be capable of reaching 12
- 13 a medical opinion. But people testified about
- 14 people who would be laying on the ground with their
- 15 eyes rolled back and unresponsive. Is that
- 16 something you would have considered?
- 17 Α. Yes.
- 18 Q. And how about people that were vomiting?
- Is some something? 19
- 20 Α. Yes.
- 21 Q. And about people who were maybe
- combative? 22
- 23 Α. Yes.
- 24 Q. How about a person who was all tensed up,
- 25 couldn't move their muscles really?
- 162

- 1 A. Yes.
  - And a person had to be taken to, I think,
- 3 a bathtub and have a shower put on them for some
- 4 period of time.

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- A. Correct.
- 6 Can you tell us what it is about those
- things that influenced your decision about the 7
- causes of death of Liz Neuman, Kirby Brown, and 8
- James Shore. 9
- 10 Looking -- looking for signs and symptoms
- 11 that -- what could be causing this? And the ones
- that I read were similar findings in people that 12
- 13 were exhibiting signs of heat exhaustion, whether
- 14 it's nausea or vomiting. Then a lot of people with
- 15 that step that we talked about earlier, the --
- the -- where you go from heat exhaustion to heat 16
- stroke with change in mental status. 17
- 18 And that's the -- that's the -- those are
- the signs, when somebody that was in a bathtub for 19
- 20 a while unconscious, people that were having
- seizure activities, people that were acting in 21
- unusual manners, is the concern for these people 22
- who are having signs and symptoms of heat stroke in 23
- 24 the past.
- 25 Q. And, Doctor, you testified, I believe,

- 1 towards the beginning of your testimony today that
- you had actually seen patients in your emergency
- department who had been exposed to 3
- 4 organophosphates.

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- Α. Yes, I have.
- Have you ever had a patient who actually 6
- 7 died from organophosphates?
  - Α. I have not.
  - Have you ever seen a patient who was Q.
- 10 critically ill from organophosphates?
- Generally, no. In today's environment, 11
- they are -- the concentrations are pretty low. So 12
- mostly it's a drooling. And we treat it with 13
- atropine. And one or two doses normally solves the 14
- problem. There is in the literature people that 15
- take these massive amounts of medication and the --16
- and another antidote. I've never seen a patient 17
- that had gone that far. 18
- 19 Q. How about the patients you testified
- you've seen who were the farm workers working with 20
- industrial organophosphates? Have you seen any of 21
- 22 them who were even critically ill?
  - Α. No.

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- And then you mentioned military personnel Q. 24
- who had -- did you say a crop duster dropped 25
- - 1 organophosphates on them?
    - A. Correct.
  - Q. Did you see any of them that were 3
  - 4 critically ill?
    - No. Just drooling was the most that I
  - saw. And then one or two doses of atropine and 6 7 they were better.
  - Q. In reaching your determination as to the 8
  - cause of death of Ms. Neuman, Ms. Brown, and 9
  - Mr. Shore, did you consider whether other factors, 10
  - 11 such as organophosphates, could have played a role?
  - Again, when you go through the medical 12
  - records, those doctors, as I said earlier, did a 13 good job. When you have a patient like that, you
  - 14 need to look through all the different causes. And 15
  - they considered it, and they gave good reasons why 16

  - 17 it wasn't evidence of the -- what -- they're called
  - "toxidromes." The other things, like cholinergic 18 or organophosphate, anticholinergic, carbon
  - monoxide poisoning, drugs. They looked or 20
  - attempted to treat any of those and, basically, 21
  - checked off that they ruled them out. 22
  - Do you know whether some patients 23

exhibited signs and symptoms consist with a

cholinergic or an anticholinergic toxidrome? 25

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Ms. Do, when you're ready.

MS. DO: Thank you, Your Honor.

Α.

Q.

Q.

Q.

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school, you go to residency. And residency is your

specialty training. And so you will be -- as a

- 1 resident, I saw patients but under the guidelines
- 2 and under the watchful eye of attending physicians.
- 3 And you get -- as you start out, you don't know
- 4 very much. And as you work through, you work with
- 5 your physician -- the attending physicians until
- 6 you're proficient.
- 7 Q. Okay. And UCLA has its own separate
- 8 medical school; correct?
- 9 A. Correct.
- 10 Q. And Kern Medical is a teaching facility.
- 11 It's not part of the UCLA Medical School; correct?
- 12 A. It is not part of the UCLA Medical School
- 13 building. No.
- 14 Q. Okay. And UCLA has its own medical
- 15 building; correct?
- 16 A. I'm sure it does.
- 17 Q. Its own medical hospital?
- 18 A. Correct.
- 19 Q. All right. Now, you told the jury that
- 20 you have what is called a "doctor of osteopathic
- 21 medicine." That's a DO --
- 22 A. Correct.
- 23 Q. -- behind your name; correct?
- 24 A. Yes.
- 25 Q. Kind of like mine. You have a DO as
- 170
- opposed to an MD. Could you tell the jury what the
- 2 difference is between the two.
- 3 A. In medical school you do additional --
- 4 several hours a week working on the musculoskeletal
- 5 system. So bones and muscles, treating, diagnosing
- 6 symptoms, related to that system.
- 7 Q. Okay. And MD, which is a medical doctor,
- 8 is considered the traditional, conventional medical
- 9 school; correct?
- 10 A. I wouldn't say that. I would say that
- 11 they're both -- they're on a similar tract. They
- 12 have the same -- same licensure, same scope of
- 13 practice.
- 14 Q. I understand that. You and I have
- 15 previously talked about this issue; correct?
- 16 A. Well, sort of, yeah.
- 17 Q. Okay. And I think on a previous
- 18 conversation, you did acknowledge that an MD is
- 19 what is considered -- considered as traditional
- 20 medicine; correct?
  - A. What they call it is "allopathic" --
- 22 Q. Yes.
- 23 A. -- and "osteopathic."
- Q. Okay. And what's -- allopathic is an MD;
- 25 correct? 43 of 89 sheets

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- A. Allopathic is MD, and osteopathic is a
- 2 DO.

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- 3 Q. All right. Now, you are currently in
- 4 private practice; correct?
  - A. Yes.
  - Q. And you work for a private group called
- 7 "Southwest Emergency Physicians"; correct?
  - A. Yes.
- **Q.** And that is a private group of, I
- 10 believe, seven partner doctors?
  - A. Correct.
    - Q. And you're one of the seven?
- 13 A. Correct.
- 14 Q. And that private group has a private
- 15 contract with the Yuma Regional Medical Center;
- 16 correct?
- 17 A. Correct.
- 18 Q. And on that private contract is how you
- 19 work as an attending physician at the Yuma Regional
- 20 Medical Center?
- 21 A. Correct.
- 22 Q. That also, I think, is something that you
- 23 previously told me you've been doing since July of
- 24 2004?

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- A. July of 2004. Correct.
- Q. All right. So for about seven years,
- 2 you've been an attending physician under a private
- 3 contract with the Yuma Regional Medical Center?
- 4 A. Yes.
- 5 Q. And excluding your residency and your
- 6 moonlighting, that would be your first job in the
- 7 practice of medicine; correct?
  - A. If you excluded my residency and the
- 9 moonlighting, yes.
- 10 Q. All right. Now, you also told the jury
- 11 that you are an EMS medical director for the Yuma
- 12 Regional Medical Center. Is that correct?
- 13 A. Yes.
- 14 Q. And under that title, you, essentially,
  - speak to first responders; correct?
- 16 A. That's part of it. Yeah.
- 17 Q. Like EMS, paramedics?
- 18 A. Paramedics.
- 19 Q. You also speak to fire fighters, I think
- 20 you mentioned?
  - A. Yes.
- **Q.** And we'll get to this in more detail.
- 23 But one of the things that you do is you lecture or
- 24 you teach them to recognize the signs and symptoms
- 25 of heat illnesses?

- A. That's one of them. Yes.
- 2 **Q.** Okay. You mentioned that you're board
- certified in two areas. One is emergency medicine;
- correct?

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- A. Correct.
- 6 **Q.** And the other is -- and the full name is
- 7 undersea and hyperbaric medicine; correct?
  - Α. Correct.
- Q. And that has to do with diseases or 9
- disorders that you might see, for example, in 10
- diving. 11
- 12 Α. Correct.
- 13 Q. Okay. You are not a forensic
- 14 pathologist?
- A. No, I am not. 15
- Q. Okay. And my understanding is that your 16
- 17 training or education in pathology is limited to
- doing a one-month rotation in pathology during 18
- medical school. Correct? 19
- 20 A. Correct.
- 21 **Q.** And outside of that one-month rotation,
- you have no other training, education, or 22
- 23 experience in forensic pathology; correct?
  - A. In forensic pathology, no.
- Q. All right. And a forensic pathologist, 25
  - the jurors have heard from too, are what are
- 2 typically called medical examiners; correct?
- A. Yes. 3
- **Q.** Whose job it is to determine or 4
- investigate death; correct? 5
- A. Correct. 6
- Q. And determine causes of death; correct? 7
- Correct. 8
- 9 Q. And manner?
- Α. 10 And manner.
- Q. The manner of death? 11
- A. Correct. 12
- 13 Q. And that's not something that you do?
- No, it's not. 14
  - Q. All right. And you understand in this
- case, the state does have two medical examiners? 16
- A. They have two medical in what? 17
- Q. In this case. 18
- Α. Okav. 19
- Q. Do you know that? 20
- A. I don't. 21
- 22 Q. Well, you -- I understand you were
- retained to review records in this case. 23
- 24 A. Correct.
- And part of those records included the 25

- two autopsy reports ---1
  - Correct. Three --
- Q. -- or three autopsy --3
- 4 A. Three autopsy reports.
  - Q. All right. And so you know Dr. Lyon;
- right? 6

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- Α. Not personally.
- But you know he's a medical examiner in
- this case? 9
- A. Correct. 10
- Q. And Dr. Mosley? 11
- A. Yes. I'm sure they are. I don't 12
- remember the names of the doctors that did the 13
- autopsies. Sorry. 14
  - Q. All right. So it's Dr. Mosley and
- Dr. Lyon, Okay? 16
  - A. Okay.
- Now, you understand, then, based upon 18
- your review of this case, that the state does have 19
- two medical examiners who conducted a death 20
- investigation in this case --21
  - A. Yes.
- **Q.** -- right? 23
  - And I understand you've reviewed their
- reports. But have you ever spoken to Dr. Mosley? 25
- - 176

- Α. No.
  - **Q.** Have you ever spoken to Dr. Lyon?
- Α. 3
- Q. And obviously you did not yourself
- conduct the autopsies? 5
  - Α. No.
- 7 Q. You wouldn't be qualified to do that;
- 8 right?
- Α. No. 9
- Q. So in terms of their death 10
- investigations, the conclusions that Dr. Mosley and 11
- Dr. Lyon reached regarding cause and manner of 12
- death, you certainly would defer to them since they 13
- 14 conducted the death investigation in this case,
- 15 ves?
- A. Would I defer to them in the cause of 16 death. Yes.
- 17
- Q. Because they had, in addition to 18
- reviewing the records that you reviewed, also had 19
- their hands on the physical bodies of the -- of the 20
- decedents during the autopsies; right? 21
  - A. I don't know what records they have.
- Q. Well, we'll get to that. Assuming that 23
  - they've reviewed the same medical records you have.
- 25 All right?

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A. Okay.

**Q.** In addition to that, they have something

3 that you don't, which is that they did the

4 autopsies, yes?

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A. Correct.

**Q.** And so Dr. Mosley, you understand, has

7 reached a conclusion or an opinion as to cause of

8 death in this case for Ms. Neuman?

- 9 A. Okay.
- 10 Q. Did you know that?
- 11 A. Yes. I understand. I've read that.
- 12 Q. And you know that Dr. Lyon has reached a
- 13 conclusion and an opinion regarding cause of death
- 14 for Ms. Brown and Mr. Shore?
- 15 A. Yes.
- **16 Q.** All right. And so whatever conclusions
- 17 or opinions they have reached regarding the cause
- 18 of death, you would defer to them since they are
- 19 the state's medical examiners in this case; yes?
- 20 A. Yes.
- 21 Q. All right. Now, do you know who
- 22 Dr. Brent Cutshall is?
- 23 A. No. Not personally.
- 24 Q. Do you know who Dr. Mark Peterson is?
- 25 A. These are names that were on medical

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### 1 records, but I don't know them personally.

- Q. I understand. Because you obviously have
- 3 also not spoken to any of the doctors who treated
- 4 any of the 18 who went to the hospital?
- 5 A. No.
- 6 Q. Do you know who Dr. Brent Cutshall
- 7 treated?

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- 8 A. No. I don't remember the names of
- 9 doctors specifically for each of the patients. I
- 10 didn't look to see who the doctor was.
  - Q. Sure. Do you know who Dr. Mark Peterson
- 12 treated?

11

- 13 A. No. Not off the top of my head. No.
- 14 Q. Have you heard of Dr. Vincent Furrey?
- 15 A. Maybe. No -- I mean, it could be one of
- 16 the doctors. Again, I don't look at the names of
- in and addition rigarily a don't room at an arrange of
- 17 the doctors. I look at the medical records of what
- 18 they saw.
- 19 Q. The medical records, the charts and the
- 20 diagnosis of these doctors that you didn't look at
- 21 the names of; correct?
- 22 A. Correct.
- **Q.** So then I take it you've never spoken to
- 24 Dr. Vincent Furrey?
- 25 A. No.

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- 1 Q. I'll represent to you that Dr. Brent
- 2 Cutshall is the ICU doctor who treated Liz Neuman,
- and Dr. Mark Peterson is the ER doctor who treated
- 4 Liz Neuman.

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- Any reason to dispute that?
- A. No.
- 7 Q. And Dr. Vincent Furrey, I will represent
  - to you, treated Kirby Brown and James Shore.
  - A. Okay.
- 10 Q. Any reason to dispute that?
  - A. No.
- 12 Q. Dr. Furrey also treated others. Did you
- 13 know that?
- 14 A. Could be.
- 15 Q. Okay. Again, you've never spoken to any
- 16 of these doctors?
- 17 A. No, I have not.
  - Q. You yourself, you are in emergency
- 19 medicine; yes?
- 20 A. Yes.
- 21 Q. You would agree with me that when you're
- 22 looking at the possible causes for illnesses or
- 23 death in a patient, the best person who has the
- 24 best advantage -- or best vantage point would be
- 25 the doctor who treated the patient; yes?
  - 180
  - A. Say the question again.
  - Q. Sure. If somebody was questioning, for
- 3 example -- let me give you a hypothetical. If
- 4 somebody was questioning your case work, your
- 5 diagnosis of a patient; yes?
- 6 A. Yes.
- 7 Q. You have something that person reviewing
- 8 your record does not have. And that is personal
- 9 experience in treating that particular patient?
- 10 A. Yes. That's correct.
- 11 Q. All right. So these doctors --
- 12 Dr. Cutshall, Dr. Furrey, and Dr. Peterson -- all
- 13 have the advantage of being there on ground zero
- 14 with their eyes and hands on these patients; yes?
  - A. Yes.
- 16 Q. And so because they have that advantage,
- 17 you also would defer to their medical opinions as
- 18 to what caused, for example, Ms. Neuman's demise;
- **19** yes?

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- 20 A. Can I elaborate on that?
  - Q. Well, did you understand the question,
- **22** first?
- 23 A. I do. I don't know if I can answer it
- 24 yes or no.
- 25 Q. All right. Go ahead.

One of the things I do is I'm on the 2 quality committee in our hospital. And sometimes when you are the attending physician, especially in emergency medicine, you don't have the whole picture at the time. When you're farther down, you get to see the whole picture. Things are available to you that weren't available to you at that time. 7

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ER is the classic one. A patient comes 9 in. If you see your primary doctor, your doctor 10 knows you. They know your history. As an ER 11 doctor, we are at a disadvantage. We don't know 12 anything about you, and we're trying figure it out 13 from sometimes an unconscious, unresponsive 14 patient.

So it can be difficult at that setting to have all the answers of an ER when you're in the ER. When you look through the full record, as more things unfold, you're able to make that decision a little easier.

20 Do you have any evidence, Doctor, that in 21 this case, Dr. Brent Cutshall, for example, who had Ms. Neuman in Flagstaff Medical for, I believe it 22 23 was, nine days had lacked any information?

24 I don't know what he knew about the 25 history. But it seems to -- as you go through the

records, there is conflicting information. There is -- first some people called it a "smoke house."

That would imply there is smoke. 3

So I guess it depends on where they are in the information train, how far down, how much they've gotten. Sometimes when you get them initially, you don't know at all, and then you have to sift through it. That doctor would probably be the most likely to have the most information because he took care of the patient for the longest period of time.

12 Q. I'm sorry. I might be confused. Can you 13 repeat that one more time.

The intensive care unit doctor was most likely to get the full story as he was with the 15 patient the longest and had the ability as time comes, more information comes, and you can get that 17 18 story better.

Q. And so if that doctor in the ICU who you believe would have the most available information -- you would defer to his opinion about

the patient he treated; correct? 22

23 Α. Yes.

> All right. Now, when you say "history," Q. I just want to make sure the jury understands.

You're talking about the reported circumstances 1

from the scene --

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Α. Correct.

Q. -- what happened on October 8, 2009?

A. Correct.

You're not talking about the medical Q. 6 7 history of Liz Neuman, for example, that existed 8 prior to October 8?

9 Well, that's -- medical history is Α. important. When you have somebody that comes --10 walks into the ER and is unconscious, if they're 11 diabetic, you want to know. If they have a heart 12 history, you'd want to know. There are things that 13 certain populations of people are at risk for. So 14 that's one of the challenges at the beginning. 15

16 Normally if somebody has been in the hospital longer, family, friends, can come in and 17 fill in the blanks which you don't know. 18

I understand that. Thank you. Let me 19 make sure the jury understands your answer. 20 21 Medical history, for example, the record and

history of a patient from birth to whatever age 22

23 they are currently?

24 A. Correct.

> It would come from perhaps a family Q.

1 physician?

> Α. Family physician or family member,

friend. 3

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And so when you said perhaps some of the 4 doctors in the hospital didn't have a history, were 5 you referring to that kind of medical history as 6

opposed to just the reported circumstances from the 7

scene? 8

> Α. Both.

Have you in this case had the opportunity 10 11 to review the medical history, meaning from birth to age 49, for Liz Neuman? 12

Just what's available in the medical 13 Α. 14 records.

15 Q. The same thing that was available to 16 Dr. Cutshall; yes?

A.

18 And presumably Dr. Peterson, who was the

ER doctor who treated her before she went to ICU? 19

> Α. Correct.

The same thing for Dr. Vincent Furrey on 21 Q.

Kirby Brown and James Shore? 22

23 A. Same information.

So you didn't get anything new from the 24 state or anywhere else that these doctors did not 25

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A. Not that I know of.

3 Q. Okay. Now, let me review with you a

4 little bit about your history and background of

5 your involvement in this case. All right?

As the jurors have heard, there are two

7 medical examiners who conducted the death

8 investigation in this case, as well as numerous

9 doctors from Flagstaff Medical, Verde Valley and

10 Sedona Medical Center.

Do you know that?

12 A. Yes.

13 Q. But the state has retained you as a

14 medical expert in this case; correct?

A. Correct.

16 Q. And my understanding is that you were

17 retained on December 6, 2010.

A. I believe that's the correct date.

Q. Any reason to dispute that?

20 A. No.

Q. I can show you the retainer agreement if

22 you need. But that's the date I have.

23 A. That's fine.

Q. When you were retained by the state, you

25 understood that you would be coming into this trial

A. I den't think so.

Q. And there is certainly nothing wrong with

3 that, because it's your time and reviewing the

4 records?

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5 A. Yes

Q. And your time being here?

7 A. Yes

Q. Okay. And you should get paid for your

9 work; yes?

10 A. Yes.

11 Q. You've done about 20 hours of work?

12 A. Yes.

13 Q. Could you tell me, approximately from

14 December 6, 2010, when you were retained, to

15 today's date, when about you did the bulk of those

16 20 hours of work?

A. It's been periodic through the whole

18 time. I've gotten a few additional pieces of

19 information and then reviewing them again before I

20 came here just to review again.

21 Q. Sure. Would you say that the majority of

22 your work, however, those 20 hours, were done prior

23 to finalizing the reports that we have in this

24 case?

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25 A. Yes.

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1 to testify regarding cause of death?

2 A. Correct.

Q. And cause of illnesses?

4 A. Correct.

5 Q. What the medical examiners have already

6 done; is that correct?

7 A. Yes.

8 Q. Did the state in retaining you tell you

9 whether or not there was any kind of a disagreement

10 between the medical examiners on cause of death?

11 A. No.

Q. All right. So they didn't mention to you

13 that there was any kind of issue in this case that

14 needed to be repaired which prompted their hiring

15 an outside private expert?

16 A. No.

17 Q. Mr. Hughes asked, and you said you are

18 being paid by the hour, 400; correct?

A. Correct.

20 Q. Is that standard?

A. For what?

Q. Private doctors retained to testify.

23 A. I don't know what the standard is.

24 Q. All right. But it's not crazy? It's not

25 out there?

Q. Could you give me your best estimate of

how many of those 20 hours you did in this case

3 before you wrote your report, which, I believe, was

4 dated January 10, 2011, about 25 days after you

5 were retained.

A. Maybe two thirds.

**7** Q. Two thirds of those 20 hours?

In order for you to do your work in this

9 case, the state on December 16, 2010, provided you

10 with case material to review?

A. Yes.

Q. And that included the autopsy reports for

13 all three of the decedents?

A. Yes.

15 Q. That included the medical records for all

16 three decedents?

A. Yes.

18 Q. And the medical records for the other 15

19 participants who went to the hospital?

A. Yes.

Q. Did you review all of those materials

22 before you wrote your report?

A. Say the thing again.

Q. Did you review all of those materials?

A. What were the things? The --

- Q. The autopsy report
- A. The medical records. And what was it?
- Q. The autopsy reports, the medical records
  for the decedents and the medical records for the
  surviving participants.
- 6 A. Yes.

- Q. Now, when the state retained you toreview those case materials, they asked you to come
- 9 to whatever opinion or conclusion you might have
- 10 regarding the cause of death; yes?
- 11 A. Yes.
- 12 Q. And so in looking at the autopsy reports,
- 13 for example, you knew what Dr. Lyon and Dr. Mosley
- 14 had on the date of their autopsy reports concluded
- 15 were cause of death?
- 16 A. Yes.
- 17 Q. And you believe your opinion today to
- 18 this jury is consistent with what Dr. Mosley
- 19 concluded as a cause of death for Liz Neuman?
- 20 A. Yes.
  - Q. And you believe today that your opinion
- 22 given to this jury regarding the cause of death for
- 23 Ms. Brown and Mr. Shore is consistent with
- 24 Dr. Lyon?

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25 A. Yes.

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- 1 Q. You also had told the jurors -- jurors a
- 2 number of times that in reviewing the medical
- 3 records, it was clear that the ER doctors or ICU
- 4 doctors were puzzling over a possible toxidrome?
- 5 A. Yes.
- **Q.** Meaning they had poison on the mind?
- 7 A. Correct.
  - Q. And you believe they did a diligent -- a
- 9 good job of working through that issue?
- 10 A. Yes.
- 11 Q. And, as you sit here today, you believe
- 12 that Dr. Cutshall, for example, has ruled out
- 13 organophosphates; yes?
- 14 A. Yes.
- **Q.** And so you believe that your testimony in
- 16 this case is consistent with Dr. Cutshall's
- 17 opinions about Liz Neuman's cause of death;
- 18 correct?
- 19 A. Yes.
- 20 Q. Then after receiving the materials
- 21 provided to you by the state, 25 days later you
- 22 wrote a report?
- 23 A. Yes.
- **Q.** In which you concluded that the cause of
  - death for all three decedents, based upon the same

- 1 materials that the medical examiners had, was heat
- 2 stroke?

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- A. Yes.
- **Q.** Which you believe was consistent with the
- 5 ER and the ICU doctors?
  - A. Yes.
- **Q.** Now, three days after you wrote your
- 8 report, you were then provided a copy of Dr. Ian
- 9 Paul's report; is that right?
- 10 A. Yes.
  - Q. And Dr. Paul you knew has been retained
- 12 by the defense as a medical examiner in this case?
  - A. Yes.
- 14 Q. When the state provided you with
- 15 Dr. Paul's report -- and just for identification,
- 16 let me show you Exhibit 1000. Would you confirm
- 17 for me if that is the report you received from the
- 18 state.
  - A. Yes.
- 20 Q. Once receiving that from the state, you
- 21 read it; correct?
  - A. Yes.
- **Q.** Now, did the state also provide you with
- 24 any of Dr. Paul's credentials so that you could
  - 5 perhaps gauge whether or not he's qualified first?
    - 192

- A. Yes.
- Q. And you understood from his credentials
- 3 that he worked in the capacity similar to
- 4 Dr. Mosley and Dr. Lyon, and that is he's a medical
- 5 examiner for the State of New Mexico?
  - A. Correct.
- 7 Q. And based upon your reviewing his
- 8 credentials, you agree with me that he is
- 9 qualified?
- 10 A. Yes.
- 11 Q. Dr. Mosley said his credentials were
- 12 impeccable. Would you agree with that?
- 13 A. I couldn't say. I'm not a forensic
- 14 pathologist.
- **Q.** Sure. And so from your review of his
- 16 credentials, you understand that Dr. Paul does what
- 17 you do, emergency medicine, at one time?
  - A. I wouldn't say he's a practicing emergency medicine physician.
  - Q. Correct. He's board certified, however.
- 21 Is that your understanding?

Okay.

- A. I assume he is. Yes.
- **Q.** And on top of that, he's also a forensic
- 24 pathologist?
  - Α.

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- Q. So, in essence, he combined the 1 2 experience and training and education that you have
- as emergency medicine and the training and
- education and experience that Dr. Mosley and
- Dr. Lyon have as medical examiners?
  - A. Can I expand on that?
- 7 Q. Sure. But let me make sure you
- understand the question first. 8
- A. Okay. 9
- 10 Q. It calls for yes or no. So is that yes
- 11 or no?

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- 12 A. Well, I can't answer with yes or no.
- 13 Q. All right. Go ahead.
- 14 A. My concern is, is when you read through
- his record, there is an -- there is an apparent 15
- 16 misunderstanding of the concept of heat stroke --
- 17 Q. Let me stop you there. We're going to talk about the substance of the report. What I'm 18
- trying to get an answer to is the question I put in 19
- 20 front of the jury.
- 21 Dr. Paul, based upon your review of his
- credentials, you understand, combined the training, 22
- 23 education and experience you have as an emergency
- medicine doctor, along with the training, 24
- education, experience of Dr. Mosley and Lyon as 25
- forensic pathologists; correct? 1
- 2 A. Everything except for the experience. He
- 3 doesn't have the ER experience I do.
- **Q.** Are you saying that you know that Dr. Paul has never worked in an emergency room? 5
- 6 A. I don't think he has seven years of 7 emergency medicine experience.
- Q. Okay. Putting aside the number of years, 8
- 9 are you telling this jury you know as a fact that
- 10 Dr. Paul has never worked in an emergency room
- 11 setting?

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- 12 Α. No. I said I don't think he has seven
- years of emergency medicine experience. 13
- Q. He has, to your knowledge, experience in 14
- the ER? 15
- 16 A. I don't know if he has experience in the
- 17 ER. I just don't know.
- Q. Sure. No problem. But my question is 18
- very basic. 19
- A. Uh-huh. 20
  - Q. You understand that he, basically,
- combines you, Dr. Mosley and Dr. Lyon?
- 23 Minus the experience, yes.
- 24 Q. All right. And, again, you've not also
- 25 met Dr. Paul or spoken to him?

- Α.
- Now, after you got Dr. Paul's report on 2
- January 13, 2011, that prompted you to revise the
- 4 reports you wrote in this case for the state;
- 5 correct?

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- Α. Correct. 6
  - Q. And you did that sometime between
  - January 13 and January 18 of 2011?
- 9 I don't know the exact dates. But sounds Α.
- 10 correct.
- 11 Q. All right. Well, we received your
- revised report on January 18, so logically it would 12
- occur between the time you got the report from 13
- 14 Dr. Paul?
  - A. Sounds fair.
- Q. January 13 and January 18? 16
- Α. Yes. 17
- 18 Q. Do you know whether or not that same
- report by Dr. Paul has prompted anyone else in this 19
- case to revise their opinions or conclusions? 20
  - Α.
- After you wrote your revision between the Q. 22
- 13th and 18th of 2011, that would conclude your --23
- the bulk of your work up until recently and a few 24
- 25 months ago; correct?
- 1 Α. Yes.
- Let me jump forward to within the last 2
- few months. Some 16 months after you were retained 3
- by the state, they provided you with some
- additional information to review in this case; is 5
- 6 that right?

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- Α. No. 16 months. 7
  - Q. Yes.
- I was retained in December. 9
- Let me go back. You could be right. You 10
- were retained December 16, 2010. 11
  - Uh-huh. Α.
- Q. Is that yes? 13
- 14 Α. Yes.
- Mina doesn't take "uh-huh." Q. 15
- Okay. So December 6, 2010. And then on 16
- April 15, 2011, the state provided you with 17
- additional information? 18
  - A. Correct.
- Q. And that additional information included 20
- 21 the report that Mr. Hughes was talking to you
- about. And that was Dawn Sy's trace analysis; is 22
- 23 that right?
- 24 Α.
- Let me put that up on the board, 25

- Exhibit 0345. And let me give you the exact -- the 1 2 actual exhibit.
- 3 You received that report by the state on
- April 15, 2011? 4
- MR. HUGHES: I'm sorry. Can you tell me what 5 6 exhibit that was.
- 7 MS. DO: 345.
- 8 Q. BY MS. DO: Is that correct, Dr. Dickson?
- 9 A. I didn't hear the question. I'm sorry.
- 10 **Q.** That's okay. You received that report by
- Dawn Sy, which is dated February 4, 2010? 11
- 12 A. Yes. That's when it's dated.
- 13 Q. And so that indicates to you that Dawn
- Sy, the criminalist, completed her analysis on 14
- 15 February 4, 2010?
- 16 A. Correct.
- 17 Q. Prior to you being retained on
- December 6, 2010? 18
- 19 Α. Correct.
- 20 Q. You got that report, however, on
- 21 April 15, 2011?
- 22 A. About that time. Yes.
- 23 **Q.** I can show you the letter, the email
- 24 transmitting that to you.
- 25 I just don't know the date. I take your
  - word for it. Yes.
- Q. Thank you. I appreciate that. So on April 15, 2011, while this trial 3
- was in progress before this jury, the state sent 4
- 5 you this report?

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- A. Yes.
- 7 Q. They had it before they retained you. Do
- you know why they didn't give it to you as part of 8
- your analysis in this case? 9
- 10 A. I do not.
- 11 Q. Okay. You gave some testimony to the
- 12 jury about the chemicals found in Dawn Sy's
- analysis? 13
- 14 A. Correct.
- 15 Q. And obviously you're a medical doctor.
- You're not a chemist? 16
- 17 Α. Correct.
- 18 **Q.** You're not a toxicologist?
- 19 Α. No, I'm not.
- Q. And can you explain to the jury what a
- 21 toxicologist does.
- 22 A toxicologist is somebody that does an
- 23 additional two years of training. Those are the
- 24 ones that you call at the poison center. And they
- 25 look up the stuff on the computer same way we all

- do. But they have additional training on 1
- toxicology.

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- Q. And what you're talking about, so we're 3
- talking about the same thing, is a medical 4
- toxicologist; yes? 5
  - Α. Correct.
- 7 And a medical toxicologist goes to
- medical school just like you do? 8
  - Α. Correct.
  - They do an additional two years training? Q.
- They normally do emergency medicine, for 11
- example, and go on to do toxicology. They can do 12
- 13 internal medicine, things like that, and then they
- 14 go on to toxicology.
- But they specialize on the effects of 15
- various substances on the body; correct? 16
  - Α. Yes.
- And I know you just told the jury that 18 Q.
- they look up things just like you do, but they also 19
- 20 have the training and the experience beyond just
- pulling up stuff off the internet? 21
  - Α. Yes.
- 23 And so in this case, if the state wanted Q.
- 24 to know what effects, for example,
- 2-ethyl-1-hexanol has on the body, they could call 25

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- a medical toxicologist; correct? 1
- 2 Α. Sure. Yes.
- 3 And you're not a medical toxicologist?
- I'm not a medical toxicologist. 4 Α.
- You're also not a criminalist? Q. 5
- I'm not a criminalist. Α. 6
- 7 Dawn Sy is? Q.
- 8 Α. Okay.
- Q. Do you know that? 9
- 10 Α. Says he's a criminalist.
- She. 11 Q.
- 12 Α. She. Sorry.
- 13 Q. So you assume she's a criminalist; right?
- 14 Α. That's what it says.
- Okay. Once you got this report by Dawn 15 Q.
- Sy, only three weeks ago, on April 15, 2011, when 16
- 17 you first looked at it, Dr. Dickson, did you know
- what 2-ethyl-1-hexanol was? 18
- 19
  - Α. No.
  - When you looked at it, when you first got
- it, did you know what 2-ethyl acetate was? 21
  - Α. No.
- 23 Q. Did you know what terpineol was?
- 24 A. No.
- Okay. So you had to look all those 25 Q.

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- 1 chemicals up; correct?
- 2 A. Yes.
- 3 Q. And yesterday when I met with you, you
- 4 gave me this stack of documents?
- 5 A. Yes.
- 6 Q. You have a copy in front of you?
- 7 A. I do.
- 8 Q. And this stack of documents, I would say,
- 9 is about an inch thick. Yes?
- 10 A. Sure. Yes.
- 11 Q. And it was the additional research that
- 12 you did to look up what these chemicals were;
- 13 right?
- 14 A. Yes.
- 15 Q. And from looking at the bottom of the
- 16 document, or documents, rather, it looks like you
- 17 went on the internet and printed these documents on
- 18 May 3rd, 2011?
- 19 A. Yes.
- 20 Q. So seven days ago?
- 21 A. Yes.

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- 22 Q. So seven days ago you had to look up what
- 23 2-ethyl-1-hexanol was; yes?
- 24 A. I think I looked it up before that. But
- 25 I printed this because I was coming here and I
  - 202
  - wanted it printed. But, yes. I had to look it up.
- **Q.** Understood. So some of the sources that
- 3 you looked at, for example, looking through your
- 4 documents, you looked at Wikipedia?
- 5 A. Correct.
- **Q.** And some of us may not be familiar with
- 7 Wikipedia. That's a source on line; yes?
  - A. Yes.
- **9 Q.** Where random, anonymous authors will
- 10 publish articles on various subjects; yes?
- 11 A. Correct.
- 12 Q. You also then looked up what you
- 13 described to the jury as being a material safety
- 14 data sheet, an MSDS? Yes?
  - A. Yes.
- 16 Q. And you had to do that for all the
- 17 chemicals that were found in Dawn Sy's analysis?
  - A. Yes.
- 19 Q. So before you looked up these things on
- 20 Wikipedia, for example, you had no independent
- 21 knowledge of what these compounds did to the human
- 22 body; yes?
- 23 A. No. I had no independent knowledge.
- 24 Q. You had no expertise about what these
- 25 chemicals did to the human body?

- A. These specific chemicals, no.
- 2 Q. Okay. So what you provided the jury, the
- testimony you provided the jury, came from you
- 4 reading from this stack of documents that came from
- 5 Wikipedia, for example?
  - A. Yes. That's where all my information comes is from reading.
  - Q. I understand. The MSDS sheets that you
- 9 were talking to the jurors -- you've explained what
- 10 Wikipedia is. Now, MSDS, material safety data
- 11 sheet, is not something that's published by, for
- 12 example, the EPA, the Environmental Protection
- 13 Agency?
- 14 A. I don't know if they publish it or not.
  - Q. You've heard of the EPA?
- 16 A. Yes, I have.
- 17 Q. And they are the federal agency that
- 18 regulates, for example, pesticide; yes?
  - A. Correct.
- 20 Q. And they classify pesticides into classes
- 21 based on toxicity; yes?
  - A. I'm sure they do. I don't know. It's
- 23 not my area of expertise.
- 24 Q. What is not your area of expertise?
- 25 A. What the EPA does.
- 204
- 1 Q. Would you consider what's contained in
- 2 Dawn Sy's report your area of expertise?
- 3 A. You mean the chemicals?
- Q. Yes.
  - A. No.
- 6 Q. Okay. So that's beyond your area of
- 7 expertise as a medical doctor; yes?
- 8 A. Can I expand upon that?
  - Q. Sure.
- 10 A. Well, this is a common -- there are
- 11 thousands, thousands, and thousands of chemicals,
- 12 toxins, natural supplements, herbal remedies, that
- 13 are out there. A lot of them are not classified.
- 14 They're not studied, not FDA approved.
- 15 We run into this on a almost daily basis
- 16 of people on different medications. And so you
- 17 spend a lot of time working with pharmacists,
- 18 looking on the internet, to find out what these
- 19 things are so as to provide the best possible care
- 20 to your patients.
- 21 Q. You mentioned pharmacist. Did you call a
- 22 pharmacist in this case?
- 23 A. I did not.
- 24 Q. Did you call Dawn Sy?
  - A. I did not.

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Q. So the person that wrote this report that 1 2 you're being asked questions about, you did not 3 even talk to her?

> Α. No.

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Q. So the medical -- the material safety data sheet -- those are sheets issued by the manufacturers of the compound; is that right? Or do you know that?

A. Well, the reference for this one is the Merck Index. And another one -- it just depends on who -- where they get the information from.

12 Q. All right. Why don't we just take a look 13 at a few. You have one on alpha-terpineol; yes?

A. Yes.

15 Q. And alpha-terpineol, based upon this 16 sheet, is made by ScienceLab in Houston, Texas?

A. I guess this one is.

Q. And ScienceLab is the one that issued 18 this MSDS sheet; yes? 19

A. Well, the thing about MSDS is multiple drug companies normally put these out. So it's whoever the drug company -- like ScienceLab, they have a lot of laboratory chemicals and equipment. So I'm not sure if they actually put it out or they

are just using it as a reference. You can find

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these at any -- if you have a chemical that's stored at a hospital, the MSDS are listed. I don't know who makes them.

Q. So you're providing the jurors with -essentially, what I saw you doing was you were reading off the sheet, but you're not sure who compiled the information?

This is the standard of care for chemical exposures is the MSDS.

10 I completely understand that. And you 11 consult this when you're trying to manage care for 12 patients?

A. Sometimes. Yes.

14 Q. You understand this jury is here to 15 decide whether or not criminal charges against 16 Mr. Ray has been proven beyond a reasonable doubt?

A. Yes.

Q. All right. So my question to you, Dr. Dickson, is based upon these various MSDS, doesn't it appear to you that this information is put out by the various manufacturers?

Does it appear to me? I would assume so. But I don't know if they do the lethal -- the LD50, which is the -- normally those are done in research environments. And I don't know if they were done

he manufacturer. originally by 1

A lot of chemicals are made in a research 2 university environment, and then manufacturers take 3 4 them. So a lot of research might have been done at a university setting. I don't know where it was 5 all done. 6

7 Q. Sure. Fair to say that you're relying on hearsay information for which you don't know the 8 9 source?

10 I would say no.

> Q. Okay. Let's take an example.

Terpineol-4-ol. You talked about that compound. 12

In the MSDS sheet, it's Acros Organics. You would 13

agree with me that that is the company that 14

15 produces that compound; correct?

It could be one of the companies that do.

Well, I don't want you to guess. Do you 17 Q. 18 know or not?

Do I know if this is the only company 19 Α. that makes this chemical? I don't know. 20

Do you know based upon the information 21 you gave me whether or not Acros has terpineol-4-ol 22 23 in its products?

> Α. Yes.

Q. And 2-EH, which is the acronym for which

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compound? 1

> Don't know. I would have to look it up. Α.

3 2-ethyl-1-hexanol; correct? Q.

I have to look it up. You're asking 4 about 2-ethyl-1-hexanol? 5

6 Q. Yes.

> Α. What was your question?

In order to understand what that chemical 8 was, you looked at, for example, Wikipedia; is that 9

10 right?

looked at the chemical structure and something that 12 is a standard of care, whether it's the MSDS. But 13 sometimes the MSDS doesn't tell you where you find 14 those things. Like, one of them is found in tea 15 tree oil. So you wanted to know where these things 16

Well, I looked at two things. One, I

come from. I'm not a chemist. I don't know the 17

chemical structure of all these possible chemicals. 18 But I like to know where they're from. Sometimes 19

you can find that information on other internet 20 21

sites. What I guess I'm trying to have you 22

explain to the jury, if it's true or not true, is 23 that these data sheets that you have testified, 24

essentially, reading from, are put out not by 25

- I assume that they're done by PhD's and chemists.
  - Q. I'd like you to not assume. Do you know?
- 5 Α. Do I know if they're done by medical doctors? 6
- 7 Q. Yes.

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- Α. No. I do not know if they're done by medical doctors.
- 10 Q. And these data sheets that you've read
- 11 from do not -- they're not contained in medical
- 12 books that are in the hospital library, for
- 13 example?
- 14 A. I'm sure they have the MSDSs in a hospital library. 15
- Q. In a book? 16
- 17 Could be. They have an MSDS for all chemicals. And if they're present in the hospital, 18 19 then they're going to be there. It's just easier 20 to look them up on the internet than it is to search through a whole medical library. 21
- 22 Q. I understand. Again, I don't want you to 23 assume. Do you know whether or not the data sheets 24 are compiled in a medical book?
- 25 A. In our hospital, I do not know.
- 1 Q. All right. And, in fact, some of the MDS 2 sheets that you gave me yesterday, for example, the
- MDS sheets on the Just One Bite, the d-CON, those 3
- were not things that you looked up; right? 4
  - Correct. They were given to me.
  - Q. By the state?
- 7 Α. Uh-huh.

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- Q. Do you know whether or not those came
- from Amayra and Michael Hamilton? 9
- A. I don't know. They're MSDS sheets. They 10
- can come from anywhere. 11
- 12 Q. Now, let's take a look, then, at the
- 13 analysis that you were asked questions about.
- First of all, do you know what all the evidence 14
- 15 items were that were tested by Ms. Sy, without
- looking at the report? 16
- 17 Α. No.
- 18 **Q.** So obviously this is not something you
- have personal, firsthand knowledge of? 19
- Α. 20
  - Q. Let's take a look at the second page,
- Doctor. Mr. Hughes asked you questions about 22
- 23 Ms. Sy's finding of trace amounts of
- 2-ethyl-1-hexanol and 2-ethylhexyl acetate that 24
- 25 were detected in item 356; correct?

- Q. And you had told the jurors that based 2
- upon you looking up the information of Wikipedia 3
- and MSDS, that 2-EH, or 2-ethyl-1-hexanol, in your 4
- opinion from reading the material, is not toxic. 5
- Did I get that right? 6
  - Can I just -- I can read to you what it
- 8 savs.

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- Q. I'd rather you not. Just see if you can. 9
- And if you can't, then I'll let you refer. 10
- Well, the research said that they exposed 11
- rats six hours a day for 90 days to a certain parts 12
- per million, and they had no ill effects on the 13
- 14 rats.
- Okay. And from that you are assuming and 15 Q. extrapolating that there may or may not be a cause 16
- on humans; yes?
- 17
- Well, there is some other stuff about 18 Α. 19
  - humans.

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- 20 Q. On the 2-ethyl-1-hexanol?
  - Α. Yes.
    - Q. What does it say there?
- It says 2-ethyl-1-hexanol is one of the 23
- 30 predominant VOCs identified by the Occupational 24
- Health Safety Administration. At least one area --25
  - 212
- a microwave popcorn plant which had eight former 1
- workers diagnosed with bronchiolitis obliterans, 2
- but they don't know if it caused prolonged disease.
- Can you tell the jury what a VOC is? 4 Q.
  - Α.
- Is that a volatile organic compound? 6 Q.
- 7 Α. It could be.
- Do you know what it does to the human 8
- body? 9

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- 10 A. No. Not off the top of my head.
- Q. We talked about the EPA, the United 11
- 12 States Environmental Protection Agency. Did you
- consult with the EPA publications regarding other 13
- possible uses of 2-EH? 14
- A. If it's not in here, I did not. 15
- 16 Q. All right. You would agree with me that
- the EPA is a good source since they regulate the 17
- environmental issues for the country; correct? 18
- 19 In my neck of the woods, we look at the MSDS. That's the standard of care. So it might 20
- be. I'm just not familiar with the EPA. 21
- Q. Let me ask you to take a look at -- I 22
- know Detective Diskin had testified that he looked 23
- at some EPA information regarding 2-EH. Confirm 24
- with me that's the United States EPA seal.

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A. Yes.

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**Q.** And here can you read that paragraph for me to yourself and then I'll ask you questions.

Let me show this to Mr. Hughes.

Prior to me showing you this publication

by the EPA, Dr. Dickson, did you know whether or

7 not 2-ethyl-1-hexanol was used as an inert

8 ingredient in pesticide?

A. No.

10 Q. Did you know whether or not

11 2-ethyl-1-hexanol is used as a solvent in

12 pesticide?

13 A. No.

14 Q. That wasn't contained in your MSDS sheet,

**15** was it?

16 A. Let me read it.

17 No.

**Q.** And based upon your review of this EPA

19 publication, you would agree with me that it is

20 listed by the EPA as an inert ingredient for

21 pesticide?

22

A. An inert?

**Q.** Yes. An inert ingredient.

24 A. Yes.

25 MR. HUGHES: Your Honor, I'd ask that the EPA

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publication that Ms. Do is asking the witness about

2 be marked for purposes of the record.

3 MS. DO: That would be fine, and we would

4 agree.

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THE COURT: And the number will be 1014.

MS. DO: Thank you, Your Honor.

Q. Based upon you reviewing this EPA

8 publication, you now see that 2-EH,

9 2-ethyl-1-hexanol, is used as an inert ingredient

10 in pesticide?

A. That's what it says.

Q. And an inert --

MR. HUGHES: Your Honor, objection. Pursuant

14 to Rule 106, I'd ask the remainder of that

**15** paragraph be read.

MS. DO: Sure. We can even publish it if

17 Mr. Hughes wants.

MR. HUGHES: I have no objection to it being

19 marked and admitted as an exhibit.

20 THE COURT: Do you want to offer it?

MS. DO: That's fine, Your Honor.

THE COURT: Okay. Then 1014 will be admitted.

23 MS. DO: Thank you.

24 (Exhibit 1014 admitted.)

Q. BY MS. DO: Now that it's been admitted,

1 let me show it to the jury and you, Dr. Dickson.

I showed you the paragraph that is

highlighted and on the screen; correct?

A. Yes.

**Q.** And this paragraph states, in terms of

6 pesticide use, 2-EH is used only as an inert

7 ingredient. There are currently no registered

8 pesticide parts containing 2-EH as an active

9 ingredient. 2-EH is used as a solvent, cosolvent,

10 adjuvant of surfactants, or defoamer in pesticide

11 products used on agricultural food, crops, animals,

12 ornamental plants, and in residential use,

13 pesticides such as insect sprays. Correct?

A. Yes.

Q. Okay. Now, can you, if you know, explain

16 to the jury what an inert versus an active

17 ingredient is.

A. Active is -- meaning it's being used.

19 It's active ingredient versus inert, that is not20 part of the active process, the chemical process.

21 Q. Okay. So the active ingredient is the

22 ingredient that causes whatever chemical reaction

23 the product is intended for; yes?

24 A. Yes.

Q. The inactive ingredient is used to help

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1 the active ingredient in that chemical process?

A. It can help or it can just be a buffer, a

3 filler.

4 Q. Sure. And based upon the EPA

5 publication, 2-EH is used as a solvent?

A. Okay.

7 Q. Do you know what an adjuvant or

8 surfactant is?

A. Well, it's an adjuvant of surfactants.

Q. I'm sorry. Thank you.

11 A. So that's something that helps

12 surfactants.

Q. What does that mean?

A. Surfactants are things that whisk away

moisture, keep things open.

Q. Do you know based upon this whether or

17 not 2-EH is used as it says, for example, a

18 defoamer to make spraying pesticides easier?

A. I don't know.

**Q.** To make spraying the active ingredient

21 that is used to kill the pests easier to spray? Do

22 you know that?

A. I don't.

Q. Okay. So, now, I understand that you

25 based, upon the MSDS, testified reading from

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- 1 that -- but 2-EH, the inert ingredient, is not
- 2 toxic to any degree of concern for humans. Yes?
- 3 A. That's what it says.
- 4 Q. But if 2-EH is used as an inert
- ingredient, for example, to help make pesticide
- 6 easier to spray, you might consider that to be a
- 7 marker of pesticide?

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- A. Would I consider it to be a marker of pesticide? I can't answer that question.
- 10 Q. Let me try and explain it a little
- 11 better. If we know one of the uses of
- 12 2-ethyl-1-hexanol is as an inactive ingredient to
- 13 make pesticide, for example, easier to spray --
- 14 A. Okay.
- 15 Q. You with me?
- 16 A. I'm with you.
- 17 Q. If we find 2-ethyl-1-hexanol on evidence
- 18 items, that might be -- and I'm not saying you
- 19 know -- that might be a marker for pesticide being
- 20 present?
- 21 A. Can't say yes or no. Can I expand upon
- 22 that?

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- Q. If you need to.
- 24 A. Well, it's found in many things. So it
- 25 could be a marker of lots of things. One of the
  - 218
- 1 things it was found in -- what was it?
  - Q. Plasticizers?
- 3 A. Plasticizers. Thank you. Yes.
- **Q.** Okay. So it can be a marker of many
- 5 things, including what the EPA says is an inert
- 6 ingredient in pesticide; yes?
- 7 A. If that's what it says, yes.
  - Q. Do you have any reason to dispute with
- 9 the EPA?
- 10 A. I have no reason to dispute the EPA.
- 11 Q. And, again, I'm not suggesting, Doctor --
- 12 because you've already told the jury the
- 13 limitations of your expertise that you know. But
- 14 since Mr. Hughes asked you about this report, you
- 15 would agree with me, using common sense and what
- 16 the EPA has published, that if it is an inert
- 17 ingredient in pesticide, it might be, not saying it
- 18 is. It might be a marker for pesticide; yes?
- 19 A. What does "might" mean? Like, one in a
- 20 million? One in a billion? One in a trillion?
  - Q. Just might, possible.
- 22 A. Again, what does "possible" mean.
- 23 Q. I mean, I've heard you use that word
- 24 under direct examination a number of times.
- 25 A. Right.

55 of 89 sheets

- Q. So sast using common sense, no fancy
- 2 medical terms --
  - A. Okay.
  - Q. -- it's possible?
  - A. Yes. One in a billion possibility,
- absolutely. One in a million, I can't give you
- 7 that number. But yes. It's possible.
- 8 Q. Okay. And I'm not asking you to assign a
- 9 number. So let's put aside your guesses of one in
- 10 a billion or one in a million. It's just a
- 11 possibility?
  - A. Okay.
- 13 Q. And you would agree with me that rather
- 14 than asking an emergency room doctor, perhaps a
- 15 criminalist like Dawn Sy or a medical toxicologist
- 16 would know more about this subject; yes?
  - A. Yes.
  - Q. We talked a little bit about the
- 19 environment where these deaths and illnesses
- 20 occurred. And you would agree with me it was a hot
- 21 environment?
  - A. It would appear to be.
- 23 Q. And based upon water being poured on the
- 24 rocks, it was a humid environment?
  - A. Correct.

- 1 Q. And, again, I know you told the jury the
- 2 limitation of your expertise in this area. But do
- 3 you know whether or not a heated, humid environment
- 4 is also a good environment for speeding up the
- 5 absorption rate of toxins if they are present?
  - A. I don't know the answer to that.
- **Q.** Do you know whether or not it's much
- 8 easier and faster for the human body to absorb
- 9 toxins if they're present through hot, sweaty skin?
- 10 A. It would make sense that it would be
  - A. It would make sense that it would be easier.
- 12 Q. Okay. Lastly, on this question regarding
- 13 the criminalist's report, Dr. Dickson, Ms. Sy,
- 14 according to her analysis, found volatiles on all
- 15 of these various evidence items from 305 to 564.
- 16 Do you see that?
- 17 A. I do.
  - Q. You don't know what those items are?
- 19 A. No, I don't.
  - Q. Do you know what a volatile is?
- 21 A. Something that's volatile can be
- 22 explosive.
  - Q. That's your definition of the volatile?
- 24 A. I'm sure there is another one. But it
- 25 can be.

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- 1 Q. When you talked to W. Hughes about there 2 being trace amounts and comparing it to the LD50
- 3 that you read from your printouts there, do you
- 4 have any idea what percentage of the evidence taken
- 5 from the scene was tested that resulted in
- 6 2-ethyl-1-hexanol?
- 7 A. No.
- 8 Q. All right. So you have no idea, for
- 9 example, if 2-ethyl-1-hexanol was found in one of
- 10 these paint cans that contains -- that contains the
- 11 materials of the tarps that cover the sweat lodge;
- 12 correct?
- 13 A. Say that again.
- Q. You have no idea if 356, for example,came from one of the cross-sections or the cutoutsof the sweat lodge covering?
- 17 A. No.
- Q. And you have no idea, for example, ifthat was a section that was 10 inch by 10 inch?
- 20 A. I have no idea what size it is.
- 21 Q. You have no idea if that was less than 1
- 22 percent of the evidence taken?
- 23 A. Correct.
- Q. Since obviously only less than 1 percent
- 25 was tested, you have no way of knowing how much of
  - 222
  - 2-ethyl-1-hexanol could have been present at the
- 2 scene because not everything was tested; right?
- 3 A. Well, you can do some math. If 1 percent
- 4 is trace, and you're doing 100 percent, you5 multiply it times a hundred. So then that would
- o manager to thines a manager so their that would
- 6 give you how much. If you're saying that it's
- 7 equally spread, in theory, across the whole area,
- 8 if you're saying it's 1 percent.
- **Q.** So you think that it's as easy as taking
- 10 1 percent and multiplying it by a hundred to tell
- 11 the jury how much of 2-ethyl-1-hexanol was at the
- 12 scene?

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- 13 A. If you're saying that it's the same
- 14 amount throughout the whole thing --
- 15 Q. I don't know.
- 16 A. Okay. I thought that's what you were
- 17 saying.

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- **18 Q.** I don't know. And obviously what I'm
- **19** asking you is that because you had made a comment
- 20 about trace amounts?
  - A. Correct.
  - Q. Meaning, I think you said, not very much?
- 23 A. Correct.
- 24 Q. But you don't know, and you can't tell
  - 5 this jury, what else was present in the evidence

- 1 items that was not tested?
  - A. No. Cannot say that.
- **Q.** Right. So if the jury heard testimony
- 4 that 356 was less than 1 percent of the tarps and
- 5 materials that were ultimately discarded and can no
- 6 longer be tested, you have no idea how much
- 7 2-ethyl-1-hexanol was present; correct?
  - A. Correct.
- **Q.** Let me now move, Dr. Dickson, to your
- 10 testimony regarding heat illnesses and heat stroke.
  - A. Yes.
- 12 Q. I understand that as the director, the
- 13 EMS director, for Yuma County, you do a lot of
- 14 teaching to first responders?
  - A. I do.
  - Q. That must be a very rewarding job?
- 17 A. It's my most rewarding job, actually.
  - Q. Okay. And you talk to paramedics, for
- 19 example, and firefighters in recognizing signs and
- 20 symptoms in themselves and folks that they respond
- 21 to?
  - A. And patients.
- **Q.** And patients. And so obviously these
- 24 folks are not medical doctors like you?
  - A. Correct.

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- 1 Q. And so you're helping teach someone who
- 2 is perhaps a bit above a layperson's knowledge how
- 3 to look at the objective signs and symptoms a
- 4 person shows and come to a conclusion about what
- 5 might be going on with that person?
  - A. Correct.
- 7 Q. And you can do that; right?
- 8 A. I can do what?
- **9 Q.** I'm sorry. That was a bad question. You
- 10 can look at the objective signs and symptoms and
- 11 come to at least a reasonable preliminary
- 12 conclusion about what might be going on physically,
- 13 medically with the person?
  - A. Yes.
- 15 Q. And you teach these things to first
- 16 responders because they're the first ones
- 17 dispatched to ground zero when there is an
- 18 accident?
  - A. Correct.
- 20 Q. Or mass-casualty incident?
- 21 A. Correct.
- 22 Q. It's really important that those folks
- 23 who go to ground zero recognize signs and symptoms
- 24 of what's going on with the people they're trying
- **25** to help? Page 221 to 224 of 356

- Α. Correct.
- 2 Q. And many times trying to save?
- 3 Α. Correct.

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- 4 Q. And so you would agree with me that first responders, their eyes and ears are very important? 5
- 6 Α. Absolutely.
- 7 And what they see and what they think or 8 what they suspect when they go to the scene is very 9 important to, for example, an emergency room doctor? 10
- 11 Α. Yes. We frequently rely on their talents. 12
- 13 Q. Did you know that in this case, on 14 October 8, 2009, paramedics and EMS personnel from 15 many different agencies, Verde Valley Fire 16 Department, Sedona Fire Department, Cottonwood, I
- believe, also responded to the scene? I saw multiple agencies were involved. 18
- 19 Yes.

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- 20 Q. And even a HazMat team from the Verde 21 Valley Fire Department came out?
- 22 A. Okay.
- 23 **Q.** And those are the people that we mean
- when we say "first responders"; right? 24
- 25 Α. Yes.
- Q. Did you know in this case that on 1
- 2 October 8, 2009, somebody that Detective Diskin has
- testified he believes is a first responder looking 3
- 4 at signs and symptoms of folks, said he believed
- 5 carbon monoxide maybe mixed in with
- organophosphates could be the cause of what he was 6
- 7 seeing on the ground?
  - Α. Okav.
- Q. 9 Did you know that?
- 10 Α. No.

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- 11 Q. The state never told you that; correct?
- 12 Α. No.
- 13 The state never played a tape for you
- 14 where that statement was actually made?
  - Α. No.
- Would that be important to you? 16 Q.
- 17 Knowing what I know now after reviewing
- 18 the big picture -- we talked a little bit earlier
- about people's first impressions aren't always 19
- correct. So you need to listen, absolutely. But 20
- you need to look at the big picture. And that's 21
- 22 why having that -- be able to look at the first
- responders, the emergency physicians, the ICU, the 23
- 24 whole throughput, I don't think that that would
- change my opinion. 25

- Q. Okay. But you haven't even heard the 1
- 2 statement; correct?
- 3 Well, you just told me.
- For your own ears you haven't heard the 4 5 actual statement?
  - Α. No. I haven't heard that statement.
- 7 You obviously have not -- I don't think
- the state has identified who this person is. But 8
- you obviously haven't spoken to that person --9
  - Α. No.

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- Q. -- to know what prompted him to say signs 11 and symptoms, organophosphates; right?
- 12 A. I have not met this person and talked to 13
- 14 this person. No.
- Just a moment ago you told the jury the 15 reason why you teach first responders to recognize 16
- signs and symptoms -- I know it's primarily heat 17
- illnesses. But you teach them to recognize signs 18
- and symptoms because it's critical to them helping 19
- 20 and in some instances perhaps saving a life;
- 21 correct?

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- Α. May I expand upon that?
- 23 Well, I just want to get an answer first.
- 24 Did you just tell the jury that?
  - Yes. Α.
- 226 Q. And so a moment ago you agreed with me 1
  - 2 that it is important what the eyes and ears are of
  - the first responders at the scene; correct? 3
    - Yes. Α.
    - Q. But now you're telling the jury that
  - having not heard the statement, not knowing who 6
  - said it, why the person said it, that it wouldn't 7

  - make a difference to you because the big picture
  - 9 for you rules out organophosphates; correct?
  - 10 A. Correct.
  - And you believe that your opinion of 11
  - 12 ruling out organophosphates is consistent with
  - Dr. Brent Cutshall? 13
    - Α. Yes.
  - Q. And Dr. Lyon? 15
  - Α. 16 Yes.
    - And Dr. Mosley? Q.
      - Α. Yes.
  - You talked to the jury quite a bit about 19
  - heat illnesses and heat stroke. And Dr. Mosley 20
  - explained a term to the jury when he was here last 21
  - 22 week. It was a term called "pathophysiology."
  - 23 You've heard that; correct?
  - 24 A. Yes.
  - And as Dr. Mosley explained to the 25 Q.

- 1 jurors, that is understanding the processes that go
- on in the body, the biological, physical processes
- 3 that then result in the signs and symptoms that you
- 4 teach to first responders.
  - A. Yes.

- **Q.** All right. When you and I spoke on
- 7 January 25, 2011, you told me then that you didn't
- 3 understand the pathophysiology of heat illnesses as
- 9 much as you do in recognizing the signs and
- 10 symptoms; correct?
- 11 A. The pathophysiology is -- of heat illness
- 12 and what we talked about earlier is the theories of
- 13 how it affects -- for example, we talked the -- we
- 14 talked about the arteries and veins -- are
- 15 theories. There are -- a lot of people have ideas
- 16 of what -- why the -- each lining of the aorta. We
- 17 talked about the hoses, the lining of your arteries
- 18 melts, and then your body tries to plug those
- 19 holes. And that's why you end up with different
- 20 clotting problems -- are all theories.
- 21 I guess to say that I know them would
- 22 be -- nobody knows them completely down to the
- 23 molecular level of what's happening.
- 24 Q. Okay. The question I asked you on
- 25 January 25, 2011 -- I asked you a number of
- 230
- 1 questions. For example, what happens to the body
- 2 when it gets hot; right?
- 3 A. Uh-huh.
- 4 Q. Do you remember that question?
- 5 A. Not off the top of my head specifically.
- 6 Q. The conversation was recorded; yes?
- 7 A. Yes.
- 8 Q. Has the state provided you with a
- 9 transcript to review?
- 10 A. They did.
- 11 Q. Did you review it?
- 12 A. I did not.
- **Q.** Do you have any reasons -- and you can
- 14 look at the transcript if you want. Any reason to
- 15 dispute that I asked you that question, what
- 16 happened?

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- 17 A. No. I have no reason to dispute that you
- 18 asked that question.
- 19 Q. And the question was, what happens to the
- 20 body when it gets hot; right?
  - A. Yes.
- 22 Q. And after attempting to answer that
- 23 question, I then asked you the following question,
- 24 which was, would you say that just based upon some
- 25 of our questions earlier, that you're much better

- 1 versed in experining the symptoms and signs, the2 red flags, so to speak, versus the pathophysiology?
- 3 Do you remember that question being
- 4 asked?

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- A. I don't.
- Q. Do you remember your answer to thatquestion being, yes? Absolutely?
  - A. I take your word for it.
- **9** Q. On January 25, 2011, when I interviewed
- 10 you and asked you a lot of the questions we heard
- 11 today -- what happens to the body when it gets hot?
- 12 What does the body do to cool down? -- you agreed
- 13 with me that you understood the signs and symptoms
- 14 better than the actual processes, the
- 15 pathophysiology; correct?
- 16 A. What we discussed on that meeting day,
- 17 the mechanisms of cooling, the conductive, the
- 18 radiant, the evaporative, the convective heat loss
- 19 methods, the pathophys; and then you can end up
- 20 with altered mental status, that you can end up
- 21 with pulmonary edema, that you can end up going
- 22 into DIC where you bleed.
- 23 So I don't know if that's what you're
- 24 asking.

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- Q. Well, I'll give you the transcript.
- 232

- A. Okay.
- Q. Let me ask you this, Dr. Dickson: Have
- 3 you, since we interviewed you on January 25, 2011,
- 4 refreshed -- you know -- looked at materials,
- 5 refreshed your knowledge, regarding the
- 6 pathophysiology of heat stroke or heat illnesses?
- 7 A. I gave my heat illness lecture a month
- 8 ago. It's getting warm in Yuma, so I do that every
- 9 spring.
- 10 Q. Understood. I don't want you to take my
- 11 word for it. I want to make sure that these were
- 12 your words. I'm going to hand you the transcript
- 13 that's been marked as 1009.
- 14 If you look at page 29, starting line 26
- 15 to 30, line 1. I did ask you on that date, would
- 16 you say that just based on some of our questions
- 17 earlier that you're much better versed in
- 18 explaining the symptoms and signs, the red flags,
- 19 so to speak, versus the pathophysiology?
- 20 And your answer was?
  - A. I said, yes. Absolutely.
    - Q. And you understood then what
- 23 "pathophysiology" meant; right?
  - A. Yes.
  - Q. And then we talked about some of the

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other things. For example, I asked you, what 1 2 causes the brain to not get enough blood when the 3 body is heated? Do you remember that? Not to get enough blood or not to get 5 enough oxygen? 6 Let me go to -- do you remember saying if 7 you're unconscious or acting unusual, your brain isn't getting enough blood and it's not working 9 well? 10 Do you remember saying that? 11 Α. I don't. But I imagine I probably would 12 have said not getting enough oxygen. 13 Q. That was your next line. Let me make 14 sure that you -- I don't want you to agree with me 15 if that's not what you said. 16 Α. Okay. 17 Q. I'm going to have you look at page 58, 18 starting at line 12. And we're going to go down to line 17. Okay? 19 20 Α. Okav. 21 Q. You were explaining to me -- and one of 22 the questions I was asking you is, okay. So what 23 happens to the body when it's hot? 24 You were explaining to me what might 25 happen to the brain. 1 A. Yes. 2 3

235 1 said, but if you're unconscious or 2 acting unusual, your brain is not getting enough blood, and it's not working well. It's an end 3 4 organ. So that's --I asked, do you know why the brain is not 5 getting enough blood? 6 7 You answered, or not enough oxygen. I 8 don't know why. Because probably due to the systemic effects of heat. 9 And then I asked you, what does that 10 11 mean? 12 And you said, it can cause cerebral 13 edema. 14 Correct? Is that right? 15 Α. Yes. That's correct. 16 Q. And then later on in the interview, I 17 asked you, what causes cerebral edema? Do you remember that? 18 I don't remember that. 19 20 Let me show you the page/line. Why don't we on page 59 start at line 14 to 19. Okay? I'm 21 22 sorry. 21. 23 I asked you, I understand what you're explaining. You're sort of explaining to me what 24 the signs and symptoms are. I'm trying to 25

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And you said, but if you're unconscious or acting unusual, your brain isn't getting enough 4 blood, and it's not working well. It's an end 5 organ to that. 6 And picking up on your comment, I asked you, do you know why the brain is not getting 7 8 enough blood? 9 And your answer was -- at line --I'm not sure where you're at. Sorry. 10 Α. 11 Q. No problem. Your line at 16 is? 12 Α. Or not enough oxygen. 13 Q. Please continue. 14 Α. not getting enough oxygen or not enough oxygen? 15 16

So it says, do you know why the brain is I don't know why. Probably because of the systemic effects of heat. And you said, what does that mean? And then it goes on, we talk about causes -- cerebral edema, pulmonary edema. And you can get hypoxic from that. So when your lungs are full of fluid, you don't get enough oxygen. You don't exchange carbon dioxide. Okay. Let me make sure that

understand the pathophysiology here. When -- I 1 2 mean, you said earlier, you don't know why or what 3 causes the brain not to get enough blood or oxygen.

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4 You said?

Says, I don't know. It can be caused by 5 cerebral edema. It can be caused from heat, the 6 7 excessive heat.

8 Q. And then I asked, what causes cerebral 9 edema?

10 And you said?

11 Α. Don't know the pathophys on the top of my 12 head -- off the top of my head.

I don't know the pathophysiology off the 13 14 top of my head. Correct?

> Correct. Α.

Today on direct I heard you provide some 16 explanation of cerebral edema. And I'm asking you, 17 18 have you since our interview on January 25, 2011, done any kind of study or additional research? 19

Just reading those articles again and doing my lecture.

Q. Okay. I appreciate that. And this is a 22 lecture you give on signs and symptoms; right? 23

Signs and symptoms and pathophys of heat 24 exchange and heat illness. 25

25 question/answer is clear to the jury.

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1 Q. What you told me on anuary 25th is that 2 you don't understand the pathophys as much as you 3 do the signs and symptoms? A. Well, I don't know if I would say that. I'd say that I discussed the pathophys. 6

Pathophysiology can go from the macroscopic level, so what's happening to the brain, what's happening to the kidney, all the way down to the cells in the microscope. I am not an expert at the cellular and chemical level of things.

10 11 So that's what I guess I'm trying to get 12 to.

13 **Q.** I understand that. I just want to make 14 sure that I -- on January 25th I asked you if you were better in understanding signs and symptoms 16 than you were the pathophysiology.

And your answer was, yes. Absolutely.

18 A. That's what I said. That's what I said.

19 Yes.

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Q. In the 11 years that you have treated 20 21 patients, you treated patients for heat illnesses?

22 A. Yes.

23 Q. And you told Mr. Hughes that you've seen 24 a lot of that because of the Yuma weather?

> Α. Correct.

1 Q. And so you know this pretty well?

2 Α. Correct.

> Q. You could write a book if you wanted?

A. I don't know about a book. 4

5 Q. Okay.

6 A. An article maybe.

7 You would agree with me that the jury would be entitled to your knowledge and your 8

9 expertise; ves?

10 Α. Yes.

11 Q. And in this case you wrote reports on 12 each of the decedents; is that right?

13 A. Yes.

14 Q. And as you explained to this jury 15 earlier, you wrote those reports on January 10,

2011, and then you revised them after receiving 16

17 Dr. Paul's report; right?

A. Yes.

MR. HUGHES: Your Honor, may we approach?

20 THE COURT: Yes, you may.

21 Ladies and gentlemen, feel free to stand 22 and stretch.

23 Dr. Dickson, if you'd like to stand up,

24 please.

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(Sidebar conference.)

1 MR. HUSTES: Your Honor, I apologize. Sorry

to do this. I know we're close to our break. I 2

3 desperately need to use the bathroom.

4 THE COURT: Oh. That is an emergency.

MS. DO: Are you okay?

MR. HUGHES: I'm okay. But I just had too 6 7 much soda for lunch.

8 THE COURT: Just a little planning. Let's

break it up into two shorter recesses or something. 9

Just be conscious of that so we can get the full 10

11 day in.

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MS. DO: Sure. 12

MR. HUGHES: Thank you, Your Honor. 13

14 (End of sidebar conference.)

THE COURT: Ladies and gentlemen, we need to

take a brief recess right now, just relatively 16

short. Be back in -- well, assemble at 10 till. 17

We'll start as soon as we can. 18

19 Remember the admonitions.

Doctor, you're excused for a break, too. 20

Thank you. 21

22 (Recess.)

> THE COURT: The record will show the presence of Mr. Ray, the attorneys, the jury. Dr. Dickson

has returned to the witness stand. 25

Ms. Do.

2 MS. DO: Thank you, Your Honor.

Q. Hi again. Dr. Dickson, before we took 3

that break, we were talking about your experience 4

and knowledge about heat illnesses that you've 5

6 amassed in your 11 years as a doctor?

7 Α. Correct.

Q. And so you know the stuff pretty well; 8

9 correct?

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Α. 10 Correct.

Q. And you rendered your opinion and 11 conclusion in this case based upon what you know 12 based upon your firsthand knowledge; right? 13

Firsthand knowledge and literature and A. studv. 15

Q. Okay. But a lot of it had to have come 16 from your firsthand knowledge; correct? 17

I'd say the majority comes from studying the literature. And then I've got experience in it.

Q. Studying the literature when?

Medical school, residency, and Α.

afterwards. Started seeing heat illness more frequently and continued to research it, study it,

25 talk about it.

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1 Q. Okay. The conclusions you reached in 2 this case -- and we'll talk about it in more detail -- you wrote in your report; right?

## A. What did I write in my report?

- 5 **Q.** Your conclusions regarding cause of death in this case was heat stroke?
- 7 A. Yes.
- Q. And you wrote reports for the state to explain to them what you knew about heat stroke and 9 10 heat illnesses; right?
- 11 A. Correct.
- **Q.** Let me hand you what has been marked as 12 1011 through 1013. So 1011, 1012 and 1013. Would 13 you confirm for me that those exhibits marked for 14 identification are the three reports that you wrote 15 in this case? 16
- 17 A. Yes.
- Q. And the top of the report indicate a date 18 of January 10, 2011, on each of these? 19
- A. Yes. 20
- Q. But if you take a look at the second 21 page, for example, of 1011, which is the report 22 regarding James Shore, do you see the extra 23 section -- well, do you see the section that 25 states, does report a temperature of less than,

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1 et cetera?

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- A. Yes. 2
- 3 **Q.** Does that indicate to you that these are your revised report? 4
  - A. Yes.
- **Q.** The report that you revised after seeing 6 Dr. Paul's report? 7
- A. Yes. 8
- 9 **Q.** And at the top of the report, at the top 10 of the left-hand corner, you wrote, Preliminary Report, on each of these. Are these reports only 11 preliminary? 12
- 13 A. I think they'd be my final ones.
- Q. You just didn't take that language off? 14
- 15 A. Correct.
- Q. Now, your report indicates, for example, 16 the materials you reviewed? 17
- A. Yes. 18
- 19 Q. And then there is a section called
- "Summary of the Case"? 20
  - A. Yes.
- 22 Q. And that would contain, for example, the facts and circumstances you learned from reading
- the medical records and the witness statement? 24
- 25 Α. Yes.

- Q. And then below that you have conclusion 1
- and opinion; correct?
  - A. Yes.
- Q. You based your conclusion and opinion on 4 what you know about heat illnesses and applying 5 that knowledge to the facts of the case; right?
  - A. That's one thing I used.
  - Q. And another thing you used was consulting the literature; right?
- A. Yes. 10
- Q. And when you say "consulting the 11 literature," you mean looking it up to help you 12 remember -- you know -- certain things that you may 13 not remember at this date? 14
  - A. Correct.
- Q. One of the things that you looked up, as 16 you told me previously, was an article on 17 eMedicine; right? 18
  - A. Correct.
- Q. And eMedicine is a website? 20
- A. Correct. 21
- Q. And it's a website that is similar to a 22 Wikipedia for medical knowledge -- right? -- where 23 you have various authors who write on various
- issues that are then published at the website?

- A. It's not Wikipedia. It's written by 1 medical doctors. 2
- 3 Q. Yes. I understand that. But --
- 4 A. They're published articles.
- Q. So we can get a general concept of what 5 eMedicine is, it's a website on the internet --6
- 7 A. Correct.
- Q. -- where you have various authors who are 8 medical doctors or perhaps another profession write articles, and they're pooled at that website? 10
  - A. Yes.
- Q. And so that I have the right article, 12 just if you could review that for me and tell me if that is the article that you consulted in order to 14 write your reports in this case? 15
  - A. Yes. It looks like it.
- 17 Q. It's an article written by Robert S.
- 18 Helman: correct?
- A. Yes. 19
- Q. It's dated October 26, 2010? 20
- A. Correct. 21
- Q. So let's go through your report. And I'm 22 going to use your report on Liz Neuman as an 23
- 24 example -- Exhibit 1013.
  - Do you have that in front of you?

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2 Q. It seems to be a little bit lengthier 3 than the ones you wrote on Mr. Shore (sic) and

4 Mr. Brown (sic), so I'm going to use this one.

All right?

A. Sounds fine.

7 Q. Now, under your conclusion and your 8 opinion, that heading, you're trying to tell the 9 state what your conclusion and opinions were of

10 Ms. Neuman's cause of death?

A. Yes.

Q. And you wrote, Lizbeth Newman, in my opinion, died of heat stroke; correct?

Α. Yes.

Q. On the second page of your report, you wrote, numerous CNS (central nervous system) symptoms ranging from minor irritability to delusions, irrational behavior, hallucinations and coma have been described in heat stroke. Correct?

Α. Yes.

Q. Now I want you to take a look at the eMedicine article that you consulted with. 22 Starting at page -- and I numbered them for you.

24 It's on page 5.

25 A. Yes.

> Q. At the bottom of page 5, the eMedicine article reads, numerous CNS symptoms ranging from minor irritability to delusions, irrational

behavior, hallucinations and coma have been 4

5 described. Correct?

A. Yes.

7 Q. So it appears to me that you in your 8 report wrote exactly what I read in the eMedicine

article minus the parenthetical "central nervous 9

10 system." Correct?

A. Yes. 11

Q. Down to the punctuation, the commas?

13 Α. Uh-huh.

Q. 14 Is that right?

15 Α. Correct.

So your conclusion in Ms. Neuman's report 16 17 came verbatim from the eMedicine article; correct?

18 A. I don't know if it's verbatim. But yes. It's very, very -- yes. Essentially, yes. 19

20 Q. Verbatim minus the parenthetical "central 21 nervous system"; right?

A. Correct.

23 Q. I asked you -- and so is it fair to say

that you, essentially, imported what you read in 24

the eMedicine article regarding CNS symptoms into 25

1 Ms. Neuman's report on the second page?

A. Well, I get this information from 2 eMedicine. Some other things I provided for you 3 were the **Emergency Medicine** textbook, Tintinalli. 4

There were some other resources that I provided. 5

They all, basically, come from there. That's where 6 I develop my lectures from, and that's where I do 7

most of my reading from, the textbooks and these

9 readings.

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Q. I understand that.

Okav. Α.

But in your report that you provided to 12 the state, you wrote a paragraph regarding CNS 13 symptoms that, essentially, was taken from the 14 15 eMedicine article minus the "(central nervous system)"; correct? 16

Α. Yes.

Q. Now, when you wrote that in your report and you handed it to the state on January 10, 2011, or thereabouts, you understood what causes those CNS symptoms; right?

A. I'm sorry. I don't understand the 22 23 question.

When you wrote this report --24 Q.

> Α. Correct.

Q. -- dated January 10, 2011, about your 1 professional opinion of Ms. Neuman's cause of 2 death, you understood what you wrote; right? 3

Α. Yes.

5 Q. You understood what CNS symptoms were?

Α. Correct. 6

7 Q. And CNS, so the jury understands, is central nervous system? 8

> A. Yes.

When I interviewed you on January 25, 10 2011, Doctor, isn't it true that when I asked you 11 what caused CNS symptoms, you stated you weren't 12 13 sure?

A. I don't know the microbiological chemical reason of why the CNS symptoms occur, why one person is just acting a little goofy versus somebody that's unconscious. I couldn't tell you why.

Q. Do you remember me asking, what is the 19 associated mechanism with CNS symptoms? 20

A. I don't remember that. But it seems 21 cerebral edema is probably my answer. 22

Q. Do you have any reason to dispute that I asked you that question?

No. No reason.

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1 Q. Your answer was, mostly from cerebral 2 edema, I assume. But I don't know it off the top 3 of my head. I don't know the cellular level of 4 this.

Correct?

A. Correct.

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Q. So you took that paragraph from eMedicine, but you didn't have, fair to say, a complete or clear understanding of what causes these various CNS symptoms when I interviewed you on January 25th?

A. I don't think anybody has the complete cellular level of what causes it.

Q. Well, we're just going to concentrate onyou.

16 A. Okay.

17 Q. You don't; correct?

18 A. Correct.

Q. Now, you gave me the answer of cerebral
edema. And in your report you wrote -- same page,
okay? -- cerebral edema and herniation also may
occur during the course of heat stroke.

Correct?

24 A. Correct.

Q. Now, I want you to take a look at that

eMedicine article at page 6. Under the article

heading of Central Nervous System, the lastsentence reads exactly as it is in your report;

4 correct?

Cerebral edema and herniation also may occur during the course of heat stroke?

7 A. Correct.

8 Q. So you took, again, exactly what's9 contained in this eMedicine article and imported it10 into your report?

A. Correct.

Q. But you didn't cite the article; correct?

13 A. I did give you a citing of the article 14 actually.

15 Q. No. In your report?

16 A. Yeah. There is one with all the 17 references I gave you.

Q. Dr. Dickson, in your report for Liz
Neuman that you have in front of you, anywhere in
here did you cite the article that you,
essentially, were writing verbatim from?

A. Not in this copy, but I have one with all of the citings on it.

Q. You have the --

A. I sent you one with all the references.

1 Q. Okay. Let me make sure I understand.

2 You're saying you sent me a report?

A. With references.

Q. When did you send me that?

5 A. You asked for references, and I gave you 6 the references. I don't remember what the date 7 was.

Q. Right. Okay. I understand. You didgive me references. And that's how I got thisarticle.

A. Okay.

12 Q. But when you provided this to the state 13 that then went to us, you did not cite your source; 14 correct?

A. Not at that time. No.

Q. Now, when you wrote in your report
exactly what's in the eMedicine article, cerebral
edema and herniation also may occur during the
course of heat stroke, did you understand -- did
you understand at that time what the
pathophysiology of cerebral edema was?

A. Again, what are you asking? Are you asking on the cellular level? I don't think anybody knows on the cellular level what causes that specifically.

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Q. You qualified my question a number of
 times with cellular level. You understand, and
 Dr. Mosley explained to the jury, that
 pathophysiology is simply the biological, physical
 processes of how heat affects the body; correct?

A. Correct.

Q. We're not talking about cellular,
molecular. We're just talking about the general
processes -- right? -- like vasodilation; correct?

A. Vasodilation?

11 **Q.** Yes.

A. Yes.

Q. Okay. So when I asked you on
January 25th, 2011, looking at your report, Doctor,
what causes cerebral edema, do you remember what
your answer was?

A. Again, this depends on what you're looking for. If you're looking for the cellular level, I don't know. If you're looking for the macroscopic, theoretical level, I can definitely tell you that.

Q. What I'm asking is, on January 25, 2011, 15 days after you wrote this report, when I asked you what causes cerebral edema, what was your answer then?

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You just read it. I send, no. I don't 1 Α.

2 know.

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3 Q. I don't know the pathophysiology off the 4 top of my head; correct?

A. Correct.

6 Q. You would agree with me, again, that 7 sentence came verbatim from this eMedicine article?

> A. Correct.

Q. Now, moving down to where you talked about pulse, the pulse that you might find in heat stroke or heat illness, you wrote, pulse, colon:

12 Tachycardia to rates exceeding 130 beats per minute

13 is common, period. Correct?

> Α. Yes.

Q. Now, I want you to take a look at the eMedicine article, page 6, again.

And under vital signs, would you agree with me that you wrote exactly down to the punctuation what's in this eMedicine article?

A. Absolutely.

Q. Pulse, colon: Tachycardia to rates exceeding 130 beats per minute is common. Correct?

Α. Yes.

Q. So you kind of cut and pasted from this

eMedicine article? 25

> A. It's one of my primary sources of reading. They're very extensive. They cover more than your typical textbook. I did take some stuff from the textbook. But this is more thorough, in my opinion.

Q. I understand.

Then you also under pulmonary -- and these are all under your heading of your conclusion 8 and opinions; correct? 9

Well, this was meant as, I guess, a sort of tutorial of what the signs and symptoms of heat stroke can be and how they fit into this case.

Q. Understood.

All of these things that we're talking about -- the cerebral edema, the CNS, pulse -- you have it under conclusion and opinion; correct?

They were under the heading of conclusion Α. and opinion.

Q. Okay. Let's talk about pulmonary, what you wrote about what you might find regarding pulmonary. Pulmonary. That's related to the lung; correct?

23 Α. Yes.

> You wrote on, again, the same page of Ms. Neuman's report, hypoxia and cyanosis may be

due to a number of processes, comma, including 1

2 atelectasis, comma, pulmonary infarction, comma,

aspiration pneumonia, comma, and pulmonary edema, 3

4 period. Correct?

> Α. Yes.

Q. You agree with me you lifted that exact sentence from the eMedicine article on page 7;

8 correct?

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Α. Yes.

MR. HUGHES: Objection. The state would 10 object to the term "lifted." 11

MS. DO: There is an answer.

THE COURT: It's been answered. Okay. 13

BY MS. DO: And that down to the 14 punctuation even; correct? 15

> Α. Correct.

17 Now, you also wrote in your report some conclusions or opinions or your thoughts about 18 renal failure; correct? 19

20 Α. Yes.

Q. And that's on the same page of

Ms. Neuman's report. You wrote: Acute renal 22

23 failure (ARF) is a common complication of heat

24 stroke and may be due to hypovolemia, low cardiac

output, and myoglobinuria (due to rhabdomyolysis). 25

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Right? 1 2

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Α. Rhabdomyolysis. Yes.

3 And, again, you took that sentence 4 verbatim including the punctuation from this eMedicine article on page 7? 5

> Α. Correct.

Q. Right?

all my stuff from.

8 Α. Yes.

9 Q. And you didn't cite anywhere in the actual report provided to the state and then to the 10 11 defense your source?

No. I did send you the sources.

13 I understand that, Dr. Dickson. I know that at some point later you sent me the citations 14 or the references. But in the actual report -- I 15 mean, if one was to read this, it appears that it's 16 17 your words; correct?

This was meant as a tutorial on the findings of heat illness. And those are -- I would love to say I invented them all, but I didn't. This is stuff I learned from reading. And this is meant as a tutorial of what are the symptoms and signs and findings of heat illness. I definitely took it from the literature. That's where I get

Q. To be specific, you took it from this 1 2 article on eMedicine; right?

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65 of 89 sheets

Not all of it. Some of the temperatures -- eMedicine chooses a different temperature than they do in this one. They choose -- I mean, the temperatures are all over the map as far as 104, 106. I chose Tintinalli for that one.

**Q.** We're going to get to the temperature. But I'm asking you this: If one were to receive this report on January 10, without any citations of the sources and not having ever looked at this article, one would think that these were your words; correct?

A. You could. Yes.

16 Q. And you could have written the report to 17 tutor the state on heat illness based upon your 18 training and experience; correct?

A. Training and experience, yes. But my training and experience comes from -- my training basis is based off these readings.

Q. In the section where you -- again, it looks like you, essentially, cut and pasted from the eMedicine article regarding acute renal failure; right?

258

A. Correct.

Q. Down to the parenthesis, the punctuation; right?

4 A. Correct.

Q. Myoglobinuria. Can you tell the jury what that is.

Myoglobin is a breakdown product of hemoglobin that can get into your -- it's a breakdown product when you get into heat illness and breakdown of muscle. And it can clog your kidneys.

That's what happens to people who are dehydrated. They build up this product. Their kidneys, basically, are a filter. And they get overfilled, and then the filter gets clogged. Your kidney stops working.

And so a sign of that can be myoglobin in your urine. It makes your blood -- your urine look bloody or kind of red-tinged, but there is actually no red blood cells. It's actually the myoglobin in there.

Q. Okay. What does the myoglobin in the muscle cells operate as? What do they do?

24 Α. The myoglobin -- it's a breakdown 25 product.

Q. But do you know what they do? 1

> Α. I don't.

Did you know that they're a reserve for 3 Q.

4 oxygen?

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A. They're reserved for oxygen. What does that mean?

7 Myoglobin. It's in the muscle cells as a 8 reserve of oxygen. Do you know that?

That they're a reserve of oxygen?

Q. Yes. 10

> Α. No. I'm not aware of that.

Okay. Did you see any evidence of myoglobinuria in this case of Ms. Neuman? 13

14 There was -- when you look at their urinalysis -- I don't know if it was specifically 15 for Mrs. Neuman. When they do a urinalysis, 16 they'll have -- they'll say blood. And then 17 they'll say red blood cells. And when you have 18 lots of blood detected but no red blood cells, 19 that's usually indicative of myoglobin in the 20 21 urine.

Q. Okay. Do you know at this date sitting 22 here whether or not you saw that in Ms. Neuman's 23 24 records or not?

Specifically in Ms. Neuman's, no. There

were multiple issues with that. I don't remember who had which. I'd have to look at the labs 2 3 independently.

4 Q. Okay. Under hepatic -- which is related 5 to the liver: correct?

A. Yes.

**Q.** You wrote in your report on the same 7 page, quote, rarely fulminate hepatic failure 8 occurs accompanied by, in some cells -- I'm going 9 10 to say this wrong --

A. Encephalopathy.

12 Q. Thank you. Hypoglycemia and disseminated intravascular coagulation present through DIC and 13 bleeding. Correct? 14

A. Yes.

Q. And, again, looking at page 7 of 16 eMedicine, you took that sentence verbatim down to 17 the punctuation mark from this article? 18

> Α. Yes.

Q. Is that right?

21 Correct. Α.

Q. Okay. So is it fair to say -- I mean, I've gone through almost the entire section under 23 conclusion, opinion -- that what you wrote here was 24

cut and pasted from the eMedicine article? 25

A. Well, was this -- the tutorial portion was partly from -- either from the eMedicine article, from Tintinalli or from the other two that I talked about.

Q. Well, let's take a look. You're on the same page as me; right? 727 is the Bates stamp?

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**Q.** The first full paragraph, numerous CNS symptoms. That's from eMedicine; right?

A. Correct.

Q. Verbatim; right? 11

12 A. Correct. It discusses it in Tintinalli 13 as well.

14 Q. I understand. I don't know what it looks like in Tintinalli, but it's verbatim from this 15 16 eMedicine article; correct?

A. Yes.

Q. The second sentence, cerebral edema, also 18 was taken verbatim from this eMedicine article? 19

A. Yes.

21 Q. The third sentence, pulse tachycardia, also from this article? 22

A. Yes. 23

Q. Hypoxia and cyanosis down to the 24

punctuation from this article? 25

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A. Yes.

Q. Rarely fulminate hepatic failure, which we just read, also verbatim from this article?

4 A. Yes.

Q. Acute renal failure, also verbatim from 5 this article; right? 6

A. Yes.

Q. Now, is it fair to say, then, having gone through one, two, three, four, five -- six of the paragraphs under your conclusion and opinion, that those were all cut and pasted from this article?

A. The physical aspect of them. Yes. Physically doing it. But the reading came from all those articles that they told you. They corroborate through the text.

Q. Okay. Now, on Ms. Neuman's report, the front -- the first page you wrote, classic heat stroke is characterized by hyperthermia, anhydrosis and altered mental status which developed suddenly after a period of prolonged elevation and ambient temperature.

Again, looking at the eMedicine article, 22 23 that came from that article; right?

24 You can read the same sentence in multiple texts. Yes. 25

Q. I understanding. But it came from this 1 2 article; yes?

3 A. I assume so.

4 Q. Now, when I asked you regarding your report, what causes -- first of all, what is 5 anhydrosis? 6

A. Anhydrosis is the lack of sweat. 7

Q. It's when your sweat glands clog and you 8 9 don't sweat anymore; right?

A. Correct.

10 Q. I asked you on January 25th, 2011, since 11 you wrote that in your report, what causes 12 anhydrosis? And do you remember what your answer 13 14 was?

> I probably didn't know. Α.

Q. Okay. I asked you, what's the 16 mechanism -- associate mechanism for anhydrosis? 17 And you said, I don't know. Correct? 18

A. Correct.

But that word and that whole paragraph is 20 taken from the eMedicine article verbatim; right? 21

You can take that from lots of text. But 22 23 yes.

Q. Okay. Now I'm going to talk to you about 24 the core temperature. In your report you wrote on 25

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the second page, temperature, colon: Typically the 1

patient's temperature exceeds 40 degrees Celsius, 2 comma, but, comma, in the presence of sweating, 3

comma, evaporating mechanisms and initiation of 4

cooling methods, body temperatures lower than 40 5

degrees Celsius are common, and patients may 6

actually become hyperthermic. Correct? 7

A. Correct.

Q. And you told this jury earlier under direct examination that the dividing or the marker between heat stroke and heat exhaustion was the presence or lack of altered mental status; correct?

A. That's one of the key factors. Yes.

Q. And it seemed to me that you were trying to tell the jury that the temperature really doesn't make a difference or isn't as critical as some might think in your diagnosis; right?

A. Yes.

Q. Is that what you're telling the jury?

Α. That's what I've told you.

Q. Okay. Now, in this report you wrote what

I've just read: The temperature exceeds --22

typically the patient's temperature exceeds 40 23 degrees Celsius. Right? 24

25 Yes.

66 of 89 sheets

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1 Q. Now, I want you to take a look at that 2 eMedicine article that we've been looking at, 3 page 6.

A. Okav.

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Q. Under physical vital signs, there is a paragraph there about temperature; right?

A. Yes.

Q. And I'm going to read it to you: Temperature, colon: Typically the patient's

10 temperature -- I'm sorry.

> Temperature, colon: Typically the patient's temperature exceeds 41 degrees Celsius, comma, but, comma, in the presence of sweating, evaporating mechanisms and initiation of cooling methods, body temperatures lower than 41 degrees Celsius are common.

> > Did I read that right?

A. You did.

Q. Now I'm going to walk up to you so there is no mistake about this. You would agree with me that this eMedicine article, from which you've already explained to the jury you've taken a number of paragraphs verbatim, is exactly the same as you wrote in your report, except for you changed the threshold temperature; correct?

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A. Correct.

So in all the paragraphs that we've gone through, you've, essentially, cut and pasted 4 exactly into your report; right? Yes?

A. Say that again.

Q. In all the paragraphs that we've talked about, having to do with cerebral edema, hepatic failure, pulmonary pulse, things like that, you took that verbatim from the eMedicine article without any changes; right?

> Α. Most of them. Yes.

12 Q. Well, most of them. I counted six up to this point; right? 13

A. That would be most. 14

Q. And then when you talked about 15 temperature, which you told this jury didn't 16 matter, you quoted it verbatim, but you changed --17 you lowered the threshold requirement? 18 19

A. Correct.

Q. Why did you do that?

It's a great question. One of the challenges in -- I used to do this in my lectures. If you look at some literature, it says 40 degrees. Some says 42 degrees. Some says 41 degrees. I

24 used to put all three in my lecture. And then I

got a lot of questions. Well, which is it? Is it 1 40? Is it 41? Is it 42?

I've done for -- since then. And that's one of the 4 things that I try to teach all the time is the 5 temperature -- especially for EMS when they're 6 taking it out in the field. I don't want them to 7 make the decision based on the temperature that 8 they're getting from a tympanic membrane. 9

I decided to pick one. And that's what

Someone is out in the heat, and they're 10 hot, and they're having bad signs and symptoms; 11 they cool them down. Don't base it on the 12 13 temperature.

14 Q. And I understand that explanation. And the jury has heard from other doctors that there is 15 16 a variability in the temperature that is required 17 for heat stroke.

A. Correct.

My question is this, Doctor: You went 19 through the pain of, essentially, cutting and 20 pasting about six, up to my count, verbatim. But 21 when it got to temperature, which you said didn't 22 matter, you changed it; right? 23

A. I wouldn't say I went through the pains. I can rewrite the whole thing in my own words. But

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these guys are really good at what they do. And so 1 2 I thought it would be perfect to put that in there.

And I wanted to put in a mix of what the potential 3

4 information is.

I understand that. But that paragraph, 5 essentially, is from this article verbatim, except 6 for you lowered the threshold temperature 7 requirement; right? 8 9

A. Correct.

Now, this eMedicine article, which you 10 told me you rely on -- you consulted -- it seems 11 like you consulted a lot. Nowhere in here does it 12 say 40 degrees or 104 degrees Farenheit; correct? 13

> I think they use 41. Α.

41.1? Q.

41.1.

17 Q. Which is 106 degrees Farenheit?

> Α. Correct.

was in the article?

And in the paragraph that you copied 19 verbatim, it was 41 degrees Celsius, but you 20 changed it to one degree lower? 21

22 Α. That's to be consistent with what I've

done with my lectures. Q. I understand that. But you changed what

No. I didn't change what was in the 2 article. The article says what the article says. I just took information from another source,

Tintinalli, that says 40. 4

Q. But, Doctor, you wrote this paragraph down to the colon and the commas and the periods in all the exact same places as I read it in the

eMedicine article; right? 8

9 Α. Correct.

10 Q. And so you could say that you, essentially, cut and pasted -- listed that 11

paragraph from the eMedicine article, but you 12

changed the threshold requirement lowering it; 13

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I just went with another source on that 15 Α. part. 16

17 Q. Well, we wouldn't know because you didn't 18 cite any sources in this report; right?

A. I gave you all my sources.

20 **Q.** In this report, Doctor.

21 A. Okay. Yes. You have all my sources.

Now, you would agree with me that you did 22

23 exactly the same thing with the report on

24 Mr. Shore, 1011, the same thing with Kirby Brown's

25 report, 1012; right?

A. Yes.

Q. Cut and paste?

Α. Same concept. 3 4 Q. Cut and paste?

A. Yes. 5

Okay. Now, you've given this jury 6

testimony that your conclusion in reviewing autopsy

reports, the medical records, that all three of the 8

decedents died of heat stroke; right? 9

10 A. Correct.

11 Q. And you understand that you reviewed, essentially, everything that Dr. Mosley had at his 12 13 disposal when he wrote his autopsy report?

A. I assume so.

Q. And you understand that you read and reviewed everything that Dr. Lyon had at his disposal when he wrote his conclusion; right?

A. I assume so.

Q. Okay. And you reviewed the signs and symptoms which you read. But Dr. Cutshall, who treated Ms. Neuman, saw with his own eyes; right?

A. Correct.

Q. And it's your opinion that you testified 23 to in this case the signs and symptoms presented by 24

all three decedents are inconsistent with

organophosphace toxicity; is that correct? 1

Correct.

You told the jury that based upon Q. 3 reviewing the same record and information that

Dr. Mosley and Dr. Lyon had, that you -- you, 5

Dr. Dickson, can rule out organophosphates; right? 6

With the information given, yes.

Q. The information given to you by the

9 state?

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Α. Correct.

Q. Which obviously Dr. Mosley and Dr. Lyon 11

also had; right? 12

Α. I would assume so. 13

And you've had a chance to review 14 Q.

15 Dr. Paul's report; right?

A. I have.

And you understand Dr. Paul, in reviewing 17 the signs and symptoms, concluded in his opinion 18

that the signs and symptoms were inconsistent with 19

a cause of death being heat stroke. 20

Do you understand that?

Do I understand that? A.

23 Yeah.

Α. I disagree with his idealogy of what heat 24

25 stroke is.

272 270 Q. And I understand that. And I'm going to 1

> ask you that next. My question is, having read 2

Dr. Paul's report, you do know Dr. Paul concluded 3

that the signs and symptoms he saw in all three

decedents were inconsistent with heat stroke; 5

correct? 6

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A. That was his opinion.

Q. It was also his opinion that he believed 8

that all the decedents, including the participants, 9

showed or suffered some signs of heat exhaustion; 10

right? 11

A. Correct. 12

And we'll talk about that. But heat 13 exhaustion and heat stroke are on opposite ends of 14

that continuum of heat illnesses; correct? 15

A. I wouldn't call them opposite ends. They 16 17

are a continuum.

Okay. Down on the mild end what do we 18 Q. have? 19

Α. The beginning of heat exhaustion.

And on the far end of heat illnesses, 21 Q.

what do we have? 22

Α. Death. 23

What precedes death? 24 Q.

> A. Heat stroke.

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Q. Okay. That's what I mean when I say 2 opposite ends of the continuum. Okay?

You understand from the information provided to you by the state that Dr. Paul believed that the signs and symptoms he saw in reviewing the same medical records you did were consistent with a cholinergic toxidrome, possibly organophosphates? Is that your understanding?

9 Α. My report said that he said it was caused by something else. 10

> A secondary process; right? Q.

A. Yes. 12

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Q. And has the state told you in an 13

14 interview that he expounded on that?

15 There was a concern not specifically 16 that -- I heard that there was a concern of organophosphates. I didn't know it was him that 17 said it. 18

19 Q. Okay. And obviously what you're telling the jury is that you would disagree with Dr. Paul's 20 conclusion; right? 21

22 A. Yes.

23 You disagree that the evidence is

24 inconsistent with heat stroke; correct?

A. I disagree.

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Q. With Dr. Paul?

Α. With Dr. Paul. Yes.

3 Q. And if Dr. Paul -- or when Dr. Paul

testifies in this case and he testifies he believes 4

5 the signs and symptoms are consistent with a

6 cholinergic toxidrome, possibly organophosphates,

7 you would disagree with that; right?

> Α. I would.

9 Q. Because you have ruled it out in this 10 case; right?

11 From the best of the knowledge I have, 12 ves. I would rule it out.

Okay. We talked a little bit earlier how you would defer to Dr. Brent Cutshall, the doctor who managed the care for Liz Neuman while she was in the hospital up to the 17th of October '09; right?

18 A. In some respects, yes.

19 Q. Well, I mean, he has his eyes and ears on 20 the patients; right?

> A. Right.

So you're just reviewing what he wrote.

23 But he saw those signs and symptoms; right?

> Α. Correct.

> > Q. And Dr. Peterson, who's the ER doctor --

you're reading what he wrote. But he saw the signs 1

and symptoms; right?

Α. Correct.

So you would defer to those doctors and 4 their opinions about what caused the death or the 5 illnesses of anyone who went to the hospital that 6 7 night; agreed?

> Α. Can I expand upon that?

Let me get an answer first, and then 9 you're more than welcome to. 10

I can't answer with a yes or no.

Okay. You can't tell this jury whether 12 or not a doctor who actually treated the patient 13 might know a little better than somebody who is 14 just reviewing the records cold? 15

The problem is sometimes when -- and we 16 talked about this earlier. And I know this through 17 experience. When I see a patient and I'm on the 18 quality committee in the hospital and we review records, you have to think about what they know at 20 that time, not with retrospect to scope. When you know everything, sometimes you have a different 22 viewpoint and different knowledge.

So you can't -- as an ER doc, you can't 24 expect them to know everything. You just have to 25

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1 take the information that they have.

2 Q. Again, I asked you this earlier, Dr. Dickson. You have not spoken to any of these 3 4 doctors; right?

> Α. Correct.

So you have no evidence, no basis on 6 which you would speculate that anyone had less than 7 the information they needed; right? That's just a 8 auess? 9

10 A. Well, no. Actually I do have evidence that would say that. Because if you look at the 11 records, some people say that there are medical 12 records of people living in a smoke house. I 13 don't -- didn't hear anything about there being 14 smoke there. 15

Well, let's differentiate that. Smoke house is about some circumstances -- right? -- what 17 might have happened at the scene; right? 18

> Α. Uh-huh.

Q. But I'm talking about the signs and 20 symptoms. I'm talking about the objective medical 21 manifestation of signs and symptoms; right? 22

Correct.

And those Dr. Cutshall would have 24 Q. personal knowledge of as opposed to you reading

those things; right? 1

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A. The objective seeing what the patient is exhibiting is based on his -- his description.

3 4 Q. I understand. And my question to you, 5 which I'd asked earlier, is very simple. Because

6 Dr. Cutshall or Dr. Peterson was the actual

7 treating doctor of these patients, you would

agree -- right? -- you would tell this jury that 8

9 their opinions might have more weight because they

10 actually saw these patients; correct?

A. They saw the patients. Absolutely. But sometimes, as I said earlier, when you're in the 12 middle of it, you don't have all that information.

14 Q. I understand. So are you telling this jury that your opinion or conclusion is more 15 accurate than what Dr. Brent Cutshall --16

17 Α. No, I'm not.

18 Q. Okay. Are you saying that it might be

19 more accurate than what Dr. Peterson concluded?

20 No, I'm not.

Q. 21 Or Dr. Mosley or Dr. Lyon?

22 Α. No.

23 Q. All right. Did you know that Dr. Brent

24 Cutshall testified in front of this jury?

25 Α. No, I don't.

discussed this. You've heard the testimony before. 1

You've heard the guestion. You have to evaluate

that question and its accuracy.

4 And the witness can only answer if he

5 can.

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So I'm going to overrule the objection. 6

And obviously there can be redirect by Mr. Hughes. 7

8 MS. DO: Thank you, Your Honor.

9 Q. I mean, obviously, Dr. Dickson, you

weren't here when Dr. Cutshall testified on 10

11 March 29; right?

> Α. I was not.

Q. So my question is, if this jury heard 13

14 Dr. Cutshall testify that based on the signs and

symptoms of Ms. Neuman's condition from October 8 15

to October 17, 2009, he could not rule out 16

organophosphates, that's different from your 17

testimony; right? 18

> A. Correct.

That's in conflict with your testimony; 20 Q.

right? You can't have both? 21

> Well, can I elaborate on that? Α.

23 If you need to.

I guess the question begs is nothing in 24 Α.

medicine is 100 percent. I wish it was.

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1 Q. Did you know that he testified on

March 29, 2011? 2

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A. No idea.

Q. And that from the time of October 17,

5 when Ms. Neuman passed, to the time of trial, he

might have learned some additional information from 6

7 the witness stand?

> Α. He could have.

Q. Okay. So just like you're sitting here

10 after you've been retained in December of 2010, did

11 you know that Dr. Brent Cutshall testified to this

12 jury on March 29 that based upon the signs and

13 symptoms of his patient, Liz Neuman, he could not

14 rule out organophosphates?

A. I did not know that.

Q. Nobody told you?

17 Α.

> Q. So you would agree with me that your

testimony is in direct conflict with Dr. Cutshall's 19

20 testimony; correct?

21 MR. HUGHES: Objection, Your Honor.

22 Argumentative. Her characterization of what

23 Dr. Cutshall testified to is abbreviated and, I

24 believe, misstates what he ultimately testified to.

THE COURT: Ladies and gentlemen, we have

Organophosphates -- there are tests for them. But 1

they're inadequate at best. And that's why they're 2

not available at most hospitals. They're not 3

available at my hospital. And even when they are 4

available, they're not very good. So you have to 5

make a decision on what's the most likely case. 6

7 Now, is it possible one in a billion? one

in a trillion? Sure. Anything is possible. But 8

you have to make your best decision as an educated 9

physician to rule out some things. I wish I could 10

say everything with 100 percent that I'm right. 11

But I can't be. But you have to make -- show the 12

evidence that something is or is not.

And in this case, the presenting signs 14

and symptoms were not classic of organophosphates. 15 The -- and with multiple patients they are not 16

typical for organophosphates. These people were in 17

a hot environment. And there were more signs of 18

heat illness and heat stroke, in my opinion. 19

So to answer the question, there is a 20 possibility for anything in this world. The 21 question is, is it one in a billion? 22

Q. Okay. I don't know where that stat's 23 coming from. My question to you, Dr. Dickson, Is 24

simple. Dr. Brent Cutshall, who treated the 25

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- 1 patient -- if he testified to this jusy he couldn't
- 2 rule out organophosphates based on the signs and
- 3 symptoms, it's conflicting -- or is in conflict
- 4 with your opinion; correct?
  - A. Yes. Maybe. It depends on what he says
- 6 by "rule out." Again, it comes back down to
- 7 numbers. I'm not trying to be nitpicking here.
- 8 I'm just saying what is possible. I mean, if
- 9 you're going to say with 99 percent security that
- 10 this does not appear to be organophosphates, does
- 11 that rule it out?

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- 12 Q. When you testified under direct
- 13 examination --
- 14 A. Correct.
- 15 Q. -- and Mr. Hughes spent some time with
- 16 you, you told this jury that the signs and symptoms
- 17 were inconsistent; right?
- 18 A. Yes.
- 19 Q. You told this jury that based upon your
- 20 review of the cold records, that doctors who
- 21 treated Ms. Neuman considered and ruled out
- 22 organophosphates; right?
- 23 A. Yes.
- 24 Q. And you now understand, based upon my
- 25 hypothetical, that if Dr. Cutshall testified to
- 282
- 1 this jury on March 29, he could not rule out
- 2 organophosphates, your testimony under direct was
- 3 wrong?
- 4 A. I wouldn't say my testimony is wrong.
- 5 **Q.** Well --
- 6 A. That was my opinion.
- 7 Q. -- Dr. Dickson, when you said, I believe
- 8 these doctors considered and ruled out
- 9 organophosphates --
- 10 A. Correct.
- 11 Q. -- you gave that testimony to this jury
- 12 without ever having spoken to Dr. Cutshall; right?
- 13 A. Correct.
- 14 Q. Without ever having understood what
- 15 Dr. Cutshall actually said from that witness stand;
- **16** right?

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- 17 A. Correct.
  - Q. So Dr. Cutshall, from that same witness
- 19 stand that you're sitting on -- sitting at,
- 20 testified that he cannot rule out organophosphates
- 21 based upon the signs and symptoms. Your testimony
- 22 earlier that he ruled it out would be inconsistent;
- 23 right?
- 24 A. You could say that.
- 25 Q. It would be wrong?

- A. I wouldn't say it's wrong.
- 2 Q. Now, if the jury has heard that testimony
  - that Dr. Cutshall, who cared for Ms. Neuman from
- 4 October 8 to October 17, 2009, could not rule out
- **5** organophosphates or some cholinergic toxidrome,
- 6 what are we to do with your testimony where you
- 7 said you could rule it out, based on the same signs
- 8 and symptoms?
  - A. It's an opinion you have to make.
- 10 Q. This jury has to make; right?
- 11 A. Absolutely.
- 12 Q. And you understand that there is now a
- 13 clear difference between what you said and what
- 14 this doctor, who treated Ms. Neuman, has testified
- **15** to?

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- 16 MR. HUGHES: Objection, Your Honor.
- 17 THE COURT: Sustained.
  - Q. BY MS. DO: Dr. Robert Lyon -- you also
- 19 reviewed his autopsy report; right?
- 20 A. Correct.
- 21 Q. And based upon your reviewing just the
- 22 cold record of his autopsy report, you believe that
- 23 he concluded that Kirby Brown and James Shore died
- 24 of heat stroke; right?
  - A. Correct.
- - 1 Q. That's in the autopsy report?
    - A. Yes.
  - Q. And you've never spoken to Dr. Lyon;
  - 4 right?
  - 5 A. No.
  - **Q.** And you believe that your testimony today
  - 7 is consistent with his that Ms. Brown, Mr. Shore,
  - 8 died of heat stroke?
  - 9 A. Yes.
  - 10 Q. You have talked to the jurors a little
  - 11 bit about what does "possible" mean; right?
    - A. Yes.
  - 13 Q. You've thrown out some numbers, one in a
  - 14 billion. And I'm not sure if that comes from
  - 15 somewhere or --

at this trial.

- A. Just a number.
- 17 Q. All right. Did you know that Dr. Lyon
- 18 testified to this jury on March 31st of this year?
- 19 A. I have no knowledge of anybody testifying
- 21 Q. And have you spoken to Dr. Lyon at all?
- 22 A. No, I have not.
- 23 Q. So you never called him up and asked him,
- 24 hey, doc, you autopsied Ms. Shore -- I'm sorry.
  - 5 Ms. Brown and Mr. Shore? And I'm reviewing your

- 1 case? Talk to me about that? ou never did that?
- 2 A. No.
- 3 Q. Okay. Did you know that Dr. Lyon
- 4 testified to this jury that based upon his review5 of signs and symptoms and what he knew in this
- 6 case, his investigation, that he also could not
- 7 rule out organophosphates?
  - A. I did not know that. No.
- **Q.** The state did not provide you with that information; right?
- 11 A. No.

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- Q. And just so I understand, I mean, you
  didn't autopsy any of these people. You didn't
  treat any of these people. The state hired you to
- 15 review their investigation; right?
- 16 A. I think they hired me to review the 17 medical records.
- Q. Which was part of their investigation;right?
- 20 A. Yes.
- **Q.** The two medical examiners had the duty to investigate the cause of death in this case; right?
- 23 A. Correct.
- **Q.** And so it was important that you
- 25 understood what their opinions were; right?

  - A. Yes.

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- Q. It was important that you understood howthey got to their opinions; right?
- 4 A. Yes.
- **Q.** But they never told you -- the state
- 6 never told you that any of these medical examiners
- 7 testified they cannot rule out organophosphates?
  - A. No. They never told me that.
- **Q.** Dr. Lyon testified to this jury when he
- 10 was asked under cross-examination, how sure are
- 11 you -- after not being able to rule out
- 12 organophosphates, how sure are you that Ms. Brown
- 13 and Mr. Shore died of heat stroke?
- 14 Did you know that?
- 15 A. No.
- **Q.** That he was asked that question?
- 17 A. No.
- 18 Q. Did you know that Dr. Lyon told this jury
- 19 that he can only hold that conclusion to 1 percent
- 20 more than 50 --
  - MR. HUGHES: Objection --
- MS. DO: Let me finish the question.
- **Q.** 51/49?
- MR. HUGHES: Objection. Misstates what the
- 25 doctor said.

- 1 THE COORT: Once again, the basic instruction 2 I've given you a number of times, ladies and
  - I ve given you a number of times, ladies at
  - gentlemen.
- 4 The objection is overruled.
  - And if you can answer that if you can,
- 6 Doctor, you may.
- 7 THE WITNESS: I'm not sure what the question
- 8 was. Sorry.

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- **9** THE COURT: If you can rephrase the question
- 10 again, Ms. Do.
  - MS. DO: Yes, Your Honor. Thank you.
- **Q.** Dr. Dickson, my question was, did you
- 13 know whether or not Dr. Lyon explained to this jury
- 14 that he holds his conclusion that you read in his
- 15 autopsy report only to a degree of certainty that
- 16 is 50 percent or more?
  - A. No. I did not know he testified that
- 18 way.
- 19 Q. Okay. And just so we're clear, I'm
- 20 looking at a transcript of his testimony, which
- 21 I'll show to Mr. Hughes so that there isn't any
- 22 question about what his testimony was.
- 23 Did you know that Dr. Lyon was asked, and
- 24 since you have to rule out other causes of death,
- 25 Dr. Lyon, with all the information that you were
- - 1 not given, what does that do to the conclusion you
  - 2 rendered of 51 to 49?
  - 3 His answer: I'm told when information
  - 4 comes to light. I would keep my -- that opinion.
    - Okay. So you're still at 51/49?
  - 6 Answer: Correct.
  - 7 The state never told you that; right?
    - A. No.
  - **Q.** And so we understand what 51/49 means.
  - 10 You take a coin and you toss it, you have a 50/50
  - 11 chance of getting heads or tails; right?
    - A. One each. Yes.
  - 13 Q. Okay. And so what Dr. Lyon is saying,
  - 14 his conclusion of heat stroke is 1 percent better
  - 15 than a coin toss --
    - A. Okay.
    - **Q.** -- right?
  - 18 A. That's what he said. I don't know. I 19 wasn't there.
  - 19 Wasii Cileie.
  - Q. My question to you is, since you told the jury that his opinion matters to your review of his opinion --
  - 23 A. Correct.
  - **Q.** -- what does that do to your testimony
    - 5 today when you say, Kirby Brown and James Shore

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- 1 died of heat stroke?
- 2 A. Correct.
- 3 Q. The question is, what does it do to your 4 opinion?
- 5 A. It doesn't change it. The reason being 6 is organophosphates, heat illness, are a clinical
- 7 diagnosis. There is not a blood test for heat
- stroke. There is not -- there is a blood test for
- 9 organophosphates. But it's really, really poor.
- 10 It's a coin toss.
- So the people that are there seeing the patients, the medical records, the -- are the ones
- 13 that, in that case, would be a better way to make
- 14 that decision, in my opinion.
- 15 Q. Thank you.
- 16 What degree of certainty do you hold your
- 17 opinion that Ms. Brown and Mr. Shore died of heat
- 18 stroke?
- 19 A. That's where we come back to whatever
- 20 possibly. I would say 99 percent.
- 21 Q. You're 99 percent certain that they died
- 22 of heat stroke; right?
- 23 A. I would say.
- 24 Q. And you're 99 percent certain they died
- 25 of heat stroke based upon reading Dr. Lyon's
- 1 autopsy report?
- 2 A. That was one.
- 3 Q. And their medical records?
- 4 A. Correct.
- 5 Q. And so you're telling this jury that you
- 6 are more certain, 99 percent certain than the
- 7 doctor who autopsied them who is 51/49?
  - A. Yes.
- 9 Q. Okay. Do you know who Dr. Vincent Furrey
- 10 is?

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- 11 A. I don't.
- 12 Q. Do you know whether or not Dr. Vincent
- 13 Furrey is the doctor who treated Kirby Brown and
- 14 James Shore?
- 15 A. Could be. Again, I don't remember all
- 16 the doctors' names.
- MS. DO: May I have one moment, Your Honor?
- 18 THE COURT: Yes.
- **19 Q.** BY MS. DO: You did review the medical
- 20 records for Kirby Brown and James Shore; right?
  - A. Sure did. They're right here.
- 22 Q. And you also reviewed the records of all
- 23 the other participants, a total 18, including the
- 24 decedents; right?
- 25 A. Yes. They're right here.

- 1 Q. Do you recall reviewing the medical
- 2 records of Dennis Mehravar?
  - A. Yes. I do remember the name.
- 4 Q. Do you remember Dr. Vincent Furrey
- 5 treated Kirby Brown, James Shore and Dennis
- 6 Mehravar, if you know?
  - A. I don't remember the name of the doctors
- 8 specifically to each patient.
- **9 Q.** Do you know what Dr. Vincent Furrey said
- 10 about what caused Ms. Brown and Mr. Shore --
- 11 because he treated -- what he said about their
- 12 cause of death?
  - A. Shall we look at it?
  - Q. Let me ask you first. Do you know?
- 15 A. Not off the top of my head.
- **Q.** Do you want to review the records, then?
- 17 A. It would be great. Which one are we
  - talking about?
- **19 Q.** Let's look at Exhibit 190. Well, let me
- 20 have you take a look at -- Kirby Brown's medical
- 21 record, I believe, is 378. Do you have that?
- 22 A. I don't think I have it the same way you
- 23 do.

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- 24 Q. All right. Let me get it for you. I'm
- 25 going to walk up to you with 378, Dr. Dickson.
- 290
- 1 A. Okay
  - Q. Do you see on 378 Dr. Vincent Furrey?
- 3 A. Yes
- 4 Q. Of the Verde Valley Medical Center?
- 5 A. Yes.
- 6 Q. Looking through that, will you confirm
- 7 for the jury whether that's Kirby Brown's medical
- 8 records.
- 9 MR. HUGHES: Are we sure about the exhibit
- 10 number?
- 11 MS. DO: I might not be.
- MR. HUGHES: Could it be 373?
- MS. DO: It says 378 on the Post-It, but --
- 14 THE WITNESS: That's James Shore if it's the
- **15** 52.

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- 16 Q. BY MS. DO: Great. Thank you, Doctor.
- 17 This is James Shore's; correct?
  - A. Yes.
- 19 Q. So Dr. Vincent Furrey treated James
- 20 Shore?
- 21 A. Correct.
- 22 Q. And do you know whether or not he also
- 23 treated Kirby Brown?
  - A. I'd have to look again.
- 25 Q. Go ahead, please.

A. Yes. Vincent Furrey.

**Q.** I'm going to show you Exhibit 192, Dennis

3 Mehravar's medical records, which you reviewed;

4 right?

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A. They are in here.

Q. You would agree with me Dr. Vincent

**7** Furrey?

8 A. Yes.

Q. Also Verde Valley?

10 A. Yes.

11 Q. You remember what Dr. Vincent Furrey said

12 about Dennis Mehravar and the two decedents who

13 passed at his hospital, which would include Kirby

14 Brown and James Shore?

15 A. Do I remember what it said?

**16 Q.** Yes.

17 A. No. I'd have to review this.

18 Q. Okay. Well, why don't we take a look at

19 it together.

20 A. Sounds good.

**Q.** I'm putting up on the screen Exhibit 192.

22 And I'm going to look at Bates stamp 1811. Are you

23 there with me?

24 A. Yes.

25 Q. So the jurors can see, this is

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1 Dr. Vincent Furrey on October 8, 2009; correct?

2 A. Correct.

3 Q. Same doctor who treated Kirby Brown and

4 James Shore?

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A. Correct.

**Q.** Who, based upon your review of the

7 records in this case, you understand that they were

8 asystole at the scene; right?

9 A. Correct.

10 Q. And were pronounced deceased once they

11 arrived to Verde Valley Medical Center?

A. Not this guy. Not Dennis.

13 Q. No. No. That's not my question.

14 A. Okav.

Q. You understand that Kirby Brown and James

16 Shore were asystolic at the scene?

17 A. Yes.

Q. Arrived to the hospital and were

19 pronounced dead on arrival; correct?

20 A. Correct.

Q. Now, that same Dr. Vincent Furrey treated

22 Mr. Mehravar; right?

23 A. Correct.

**Q.** And you told this jury that you're 99

percent certain that Ms. Brown and Mr. Shore died

1 of heat stroke.

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A. Correct.

Q. Even though Dr. Lyon is only 1 percent

4 better than a coin toss --

A. Okay.

6 Q. -- right?

7 A. Correct.

Q. Let me have you look at this paragraph

9 where Dr. Vincent Furrey -- you can see it on your

10 screen; right?

A. Yes, I can.

12 Q. Dr. Vincent Furrey on October 8 in

13 treating Mr. Mehravar wrote, I spleen. And that's

14 probably a dictation error; right?

A. Right.

**Q.** I spleen, meaning, I explained, to the

17 patient that we did not have a cause for his

18 symptoms or the other people's symptoms that were

19 in the sweat lodge, including the two people that

20 died. Right?

A. Correct.

22 Q. So the treating physician, Dr. Vincent

23 Furrey, who not only saw Ms. Brown and Mr. Shore,

24 but at least one of the other participants, did not

25 know that night; right?

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A. Correct.

Q. And that's based upon not talking about

3 the circumstances, but the actual signs and

4 symptoms that are being presented by these

5 patients; right?

A. Correct.

**Q.** He doesn't know, but you believe 99

8 percent it's heat stroke?

A. Correct.

10 Q. Let's talk about Dr. Mosley. Dr. Mosley

11 conducted the autopsy of Ms. Neuman; right?

A. Correct.

**Q.** And you read his report; right?

14 A. Correct.

Q. You also reviewed the medical records?

16 A. Yes.

17 Q. You understand Dr. Mosley did that too?

A. Did the --

19 Q. Reviewed the medical records.

20 A. I have to take your word for it. I

21 wasn't with him.

Q. Okay. I'll represent to you that he did.

23 A. Okay.

Q. So you assume they're going to be the

same medical records; right?

Dr. Mosley, after writing that report that you

25

A.

It's a part of it.

reviewed and that you relied on lor your opinion, 1

2 testified to this jury last week that he has doubts

3 about whether or not his conclusion that this was

4 hyperthermia or heat related is all that there is 5 to this case?

A. I am not aware that he did that.

Q. Did you know that Dr. Mosley's doubts about his conclusion that this was only heat related were prompted by Dr. Paul's report?

A. No. I had no idea.

11 Q. Did you know that Dr. Mosley testified to 12 this jury that the signs and symptoms presented in Ms. Neuman's medical records, the same records you 13 14 reviewed, were consistent with a cholinergic 15 toxidrome, including organophosphates?

A. Who said that? Dr. Mosley?

17 MR. HUGHES: Objection, Your Honor, that misstates what Dr. Mosley testified to. 18

19 THE COURT: I'm going to sustain as to the 20 form of the question.

MS. DO: May I have one moment, Your Honor?

22 THE COURT: Yes.

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23 BY MS. DO: You don't know whether or not that was a demonstrative used with Dr. Mosley when 24

he testified in front of this jury; right?

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Α. Excuse me?

> Q. Sorry. You don't know whether or not

this demonstrative that I flipped to on the easel 3

4 was used with Dr. Mosley's testimony?

> Α. No.

Q. Okay. Now, if Dr. Mosley testified to

7 this jury that he does have doubts about his

conclusion that this was only hyperthermia or heat 8

9 related, that would be inconsistent with your

10 testimony today; right?

A. Well, when he says, doubts, what's his doubt range? I guess that comes back to 1 percent, 12 one in a million, 50 to 49. That's a wholly 13

14 different thing.

Well, you're saying you're 99 percent

16 certain; right?

A.

Q. And I'm not going to quantify what

Dr. Mosley said. He just said, doubts. 19

20 Α.

> And doubt is very different from being 99 Q.

22 percent certain; right?

Α. 23 It depends.

> Q. Depends on what?

> > Α. It's a great question. One of the

nave in medicine is -- because we're 1 challenges w

2 talking about doctors here. And when -- let me

give you an example. When somebody comes in with 3

chest pain to my ER, there is a 90 percent 4

chance -- I admit them to the hospital because I'm 5

concerned they might have a heart attack. There is 6

7 a 90 percent chance they're going to go home and there is nothing wrong with their heart. Even the 8

best chest pains in the -- centers in the world 9

10 still miss 2 percent of heart attacks. And they're

11 the best of the best.

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So 98 percent being correct, where is that -- the doubt can be a pretty narrow margin for a doc, especially as an ER doc. I'm looking for one in a million, one in a thousand. Pretty small numbers.

So I guess it is an important thing, I 17 think, to realize from a physician point of view, 18 when you're talking about life or death, somebody 19 having a heart attack, 1 percent -- if you've got a 20 1 percent chance of having a heart attack, I'm 21 going to be really worried about you. I'm going to 22 do everything I can to try to help you. So it just 23 24 depends.

> And I think we all understand and Q.

appreciate that when you're a doctor and you're

trying to treat a patient -- right? -- you're 2

3 making the best decision, best interpretation, at

that moment because you have to treat the patient. 4

5 Right?

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Α. Absolutely.

Okay. Now, you understand we're here in 7 Q.

8 a criminal trial; right?

> Α. Correct.

10 And you understand this jury is here to decide whether the state has proven beyond a 11 reasonable doubt that Mr. Ray killed three people? 12

> Α. Okay.

Q. Do you understand that?

> I do. Α.

16 And you understand, based upon your conclusions that this was heat stroke, that the 17 state alleges that these three people died of heat 18 19 stroke; right?

20 Α. Correct.

Okay. Now, you've had the benefit of 21 Q.

22 reviewing all of the records; right?

Correct.

Okay. So you're not sitting here under Q.

the gun to treat a patient; right?

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- Α. Uh-huh.
- Q. Is that yes?

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- 3 Α. Yes. That is correct.
  - So you've, essentially, reviewed the investigations conducted by Dr. Mosley and Dr. Lyon. And on top of that, you've had the benefit of being able to sit back and look at the cold record; right?
    - A. Correct.
- 10 Q. But not the benefit of seeing these patients with your eyes and ears? 11
  - A. Correct.
- 13 Q. With your eyes -- right? -- treating them 14 with your hands; right?
- A. Yes. 15
- 16 Q. And so when you say you're 99 percent 17 certain, which is far greater than Dr. Lyon's conclusion, and I'm asking you if Dr. Mosley 18 19 testified to this jury that he has doubts, whatever
- that quantity or number you want to assign, that's 20
- 21 different than you saying 99 percent certain?
  - A. That's where we're getting in the difference. Because doubts can be very small in a physician's point of view. But, yes. In the
- spirit of it, I'd say it's different. 25
  - Q. In the spirit of it, it's very different,
- 2 Dr. Dickson; right?
- 3 A. You know, again, I'm a needle in the 4 haystack -- I'm your needle-in-the-haystack doctor.
- 5 I mean, that's what -- when you come to the ER --
- when you come to the emergency department, I'm 6 7 trained to look for the most life-threatening thing
- that can happen to you. I might not get the exact 8
- diagnosis. But I want to make sure you're not
- going to die or nothing serious is going to happen 10
- 11 to you.

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- If that's a one in a thousand -- and I'm going to go the extra mile. I'm going to have doubt if it's a one in a thousand or one in a million that I'm missing it. So I'm going to go that extra mile. This is where the nomenclature, I quess, is different in a physician realm.
- Q. If you testified today, and I think Mr. Hughes asked you a couple times. Signs and symptoms in Ms. Neuman's records were consistent with heat stroke; right?
- A. Yes.
- 23 Q. You didn't see any signs and symptoms in
- 24 Ms. Neuman's records that were inconsistent with
- heat stroke; right?

- A. No
- You didn't see anything in Ms. Neuman's 2 records that showed consistency with 3
- 4 organophosphates; right?
- There are overlapping signs and symptoms 5 in heat illness and organophosphates and a lot of 6 7 other things, a huge list.
- Q. And we're going to talk about that. But 8 I heard you earlier under direct, and I think you 9 10 even said this to me yesterday on that taped interview, you did not see anything in the medical 11 records that were consistent with organophosphates. 12 Right? 13
  - Α. Big picture did not show organophosphates.
- Big picture, the signs and symptoms were not consistent with OPs; right? 17
- I guess I don't want to be misquoted. My 18 concern is that there are signs and symptoms that 19 apply under both. He asked me if someone has red, 20 flushed skin. Well, poisoning can cause that. It 21 also can be caused by heat illness. So you have to 22 look at the big picture. 23
- Did you testify earlier, Dr. Dickson, 24 that you did not believe the signs and symptoms 25

- presented by any of the decedents were consistent 1 2 with organophosphates?
  - A. Yes.
- Q. All right. So if Dr. Mosley testified to 4
- this jury that the signs and symptoms -- some of 5
- 6 the signs and symptoms he saw in Ms. Neuman's
- medical records were inconsistent with heat stroke 7
- 8 and hyperthermia, that is different from your
- 9 testimony; right?
  - A. I guess in the spirit, you could say yes.
- Q. I'm not sure I'm understanding the 11
- spirit. Is it different or not? 12
- Well, again, I just -- I'm not trying to belabor anything. But there are signs and symptoms. You can take the pupils. It can go from organophosphates. It can go from heat illness. It can be too much heroine. There could be a lot of 17 different things that can cause these symptoms. 18
  - So to say there is no signs that could be any of these other things -- it could be a stroke -- is not realistic to say. You can't say there is no symptoms of organophosphates.
  - Q. I thought that's what you told this jury under direct?
    - I said, under the big picture. We talked

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about the box. Toxidromes a a box. And they are symptoms. And some of them fit in the other boxes. But you've got to get the whole, big picture to put it into that box.

- Q. Okay. And I think I just asked you a few minutes ago whether or not you believe there were signs and symptoms that were inconsistent with heat stroke, and you said no. Right?
- A. Well, I think what I'm saying is that you can have signs and symptoms of heat stroke that can present. Vomiting is a sign of heat stroke. There is a lot of things that cause vomiting.
- Q. I appreciate that. And we are going to talk about that. But my questions are very simple here, Dr. Dickson. I spent all morning with you under direct with you telling this jury that these folks died of heat stroke; right?
  - Α. Correct.
  - That you could rule out organophosphates? Q.
- 20 Α. Correct.

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- Q. That you didn't see anything consistent with organophosphates?
- Their signs and symptoms were Α. inconsistent with organophosphates.
- In fact, you believe that every medical 25

examiner, every doctor, that you reviewed their case work, had ruled out organophosphates; right?

No. I said that there are people that took care of them. Not the medical examiners. I said the people that were -- the emergency physicians thought about it. The intensive care units thought about it. They talked to toxicologists. They looked at the different signs.

They said, well -- if you look at their rationale on the record, they said, well, the pinpoint pupils could be cholinergic. But they're very dry. So that's more anticholinergic. So they weren't being able to find it fitting into that box. I don't think I said anything about the medical examiners.

- Q. I stand corrected. You're right. The ER's and the ICU doctors you testified this morning considered and ruled it out; right?
  - A. Correct.
- Q. Dr. Mosley. If he testified there were signs and symptoms, specifically miosis and foaming, that are inconsistent with heat stroke, that's in conflict with your testimony to this jury; right?
  - Α. Miosis and foaming are consistent with

that's definitely -- that is in heat stroke. 1 2 conflict.

3 Right. Because Dr. Mosley, who autopsied Q. 4 Ms. Neuman, is saying that the two signs that are 5 inconsistent with heat stroke, miosis and foaming; 6 right?

If that's what you're telling me. I don't know. But if you're saying yes, yes.

Q. Okay. Well, the jury can determine that. If that's what Dr. Mosley testified to just last week, you're saying the complete opposite, which is miosis and foaming is consistent with heat stroke; right?

> Α. Absolutely.

And since Dr. Mosley is a medical Q. examiner that the state had conduct the investigation into cause of death here, what are we to do with this conflict between his testimony and yours?

- Look at the literature. Α.
  - Look at the literature? Q.

21 The reading. You can take my opinion. 22 Α. But my opinion, as you nicely heard, was based on 23 the literature, what's in the textbooks, what's in 24 the written literature. I don't make up -- this is 25

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called "evidence-based medicine." It's not opinion based. It's based on what is in the literature and 2 what the research has shown. This isn't just my 3 4 opinion.

I am a doctor that has a lot of experience in heat illness. But I take my information from the literature. And it clearly says in the literature that you can have pinpoint pupils, and you can have pulmonary edema from heat illness.

And it's clearly in the literature, 11 Q. according to your testimony, that you would not see 12 miosis and pulmon -- you said pink, frothy sputum. 13 You're not going to see that with organophosphate 14 15 toxicity; right?

Generally not. I mean, you can get pulmonary edema as a late finding. But most of the people that we -- that I have seen, it's drooling, lacrimation, running their eyes, drooling. That's more of the symptoms that I have seen.

Q. As an ER doctor, you consult with text and -- textbooks on toxicologic emergencies and emergency medicine; right?

- Yes. A.
- One of them is Tintinalli, that you Q.

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- 1 referred to; right?
- 2 A. Yes.
- Q. Have you ever seen -- Dr. Mosley said
- 4 this is something he might rely on -- Goldfrank's
- 5 Toxicologic Emergencies?
- 6 A. I don't use this one. No.
- 7 Q. Okay. Any reason to dispute this is a
- 8 publication for emergency medicine doctors? You
- 9 can see all the people on the editor's list; right?
- 10 A. Yep.
- 11 Q. Now, you said you would not see frothy
- 12 sputum or pink sputum in the case of
- 13 organophosphates normally; right?
- 14 A. I said you can see them. But in my15 symptoms, the people I've seen, you'd normally see
- 16 drooling.
- 17 Q. All right. So you're telling this jury
- 18 you can have frothy sputum in organophosphates;
- 19 right?

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- A. Yes. You can have pulmonary -- pulmonary edema causes pink, frothy sputum. So if you have pulmonary edema, you can get pink, frothy sputum.
- 23 Q. And you believe that that's consistent
- 24 with what you told this jury under direct this
- 25 morning?

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- 1 A. Yes.
  - Q. Okay. So what I just showed you is a
- 3 chapter from this book called "Insecticides
- 4 Organophosphates, Compounds and Carbonates"; right?
  - A. Yes.
- **Q.** And you know that carbonates is another
- 7 form of pesticide?
- 8 A. I do.
- **9 Q.** It's a more milder form; right?
- 10 A. Yes.
- 11 Q. Do you know why it's more mild?
- 12 A. Well, it doesn't cause something called
- 13 "aging." One of the dangerous things with
- 14 organophosphates and these ones like sarin and
- 15 these things that happen on, like, the Japanese
- 16 subway, it's an -- and organophosphates can bind
- 17 irreversibly. And that's called "aging." And
- 18 unless you give a certain medication called "2-PAM"
- 19 within that time, it ages. Then there is no
- 20 reversing it. So it can be very dangerous when
- 21 they use these as things of mass destruction. And
- 22 carbonates don't have that quality, to answer the
- 23 question.
- 24 Q. Thank you.
- 25 Looking at just the first page I flipped

- to in this particular chapter on organophosphorus
- 2 compounds and carbonates, you see the two areas
- 3 that I highlighted?
- 4 A. Yes.
  - Q. Pink-tinged frothy sputum?
- 6 A. That is highlighted.
- **7** Q. Frothy sputum?
  - A. That is highlighted.
- **9 Q.** And an article of about organophosphorus
- 10 compounds; right?
  - A. Correct.
- 12 Q. And you had told the jury that you can
- 13 see miosis and also miosis being pinpoint pupils
- 14 and large pupils, mydriasis; right?
  - A. Correct.
- 16 Q. In the same article do you see here where
- 17 it says, of these signs and symptoms, miosis may be
- 18 the most consistently encountered sign?
  - A. In that one section, yeah. That's what
- 20 it says.
- 21 Q. So, again, is it your testimony now that
- 22 miosis, pinpoint pupils, and frothy sputum is
- 23 consistent with organophosphate toxicity?
- 24 A. It can be in organophosphates. Yes.
- 25 It's one of the things that can be in
- 1 organophosphates.
  - MS. DO: Your Honor, may we take a break now?
- 3 THE COURT: Yes. A relatively short break,
- 4 about 10 minutes. Please be reassembled at 20
- 5 after.

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- 6 Remember the admonition.
- 7 (Recess.)
- 8 THE COURT: The record will show the presence
- 9 of Mr. Ray, the attorneys and the jury.
- 10 Dr. Dickson is on the witness stand.
  - Ms. Do.
    - MS. DO: Thank you, Your Honor.
- 13 Q. Thank you for your patience, Dr. Dickson.
- 14 Before we took that break, we were
- 4 Delote we took that break, we were
- 15 talking about Dr. Mosley. And you now are kind of
- 16 understanding that a number of other doctors have
- 17 testified to this jury before you; correct?
  - A. Yes.
- 19 Q. So we have Dr. Dickson, you, saying it's
- 20 heat stroke; correct?
  - A. Correct.
- 22 Q. Saying that you rule out
- 23 organophosphates, right?
  - A. Correct.
  - Q. That the signs and symptoms you saw in

- the big picture were inconsistent with 1
- 2 organophosphates; correct?
- 3 Α. Correct.
- 4 Q. And that Dr. Ian Paul, you believe, is 5 wrong; correct?
- 6 A. He is wrong. Yes.
- 7 Q. All right.
- 8 I would say so. We have differing 9 opinions as to the cause of death. So yes.
- 10 Q. Understood. Now, you now know that
- 11 Dr. Cutshall has testified; correct?
- A. Correct. 12
- 13 Q. Okay. And so if the jury heard
- Dr. Cutshall's testimony that he cannot rule out 14
- 15 organophosphates, he would be in a different box
- than you; correct? 16

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- Α. Well, again, that comes back to the old question. Now we're coming back to the same question of what do you consider, rule out. Is 1 percent in Dr. Cutshall's mind enough to say I can't rule it out without the adequate -- there
- 22 isn't a test for it, so you have to say with your
- 23 best judgment ---
- 24 Q. There isn't --
  - Α. -- to rule it out.
- 1 Q. I'm sorry. I didn't mean to interrupt
- 2 you. Were you done?
- 3 Α. No. Go ahead.
- Q. No. Please finish. 4
- 5 What I was saying, what we discussed earlier is, the symptoms that we're talking about 6
- 7 are heat stroke. There is not a blood test for
- 8 this. There is sort of a blood test for
- organophosphates. But unfortunately, it's not a 9
- very good test. It's a flip of the coin, so to 10
- 11 speak, the term you used. So it's not something I
- 12 would rely on.

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- So you have to make the decision based on your clinical findings. It's a clinical diagnosis.
- Now, when Dr. Cutshall makes his decision on whether he cannot rule it out, I wouldn't rule it out with 99 percent security that I was correct.
- 18 But he might have a different threshold than I do. 19 Q. When Mr. Hughes asked you if you were
- 20 able to rule out organophosphates, your testimony
- 21 on direct was yes --
  - A. Correct.
- 23 Q. -- I rule out organophosphates. Right?
- 24 Α.
- Q. 25 I don't remember you asking Mr. Hughes,

- well, what degree of probability are you asking. 1
- 2 Right?

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- Α. I didn't ask him that. No.
- 4 You didn't make any mention about having
- an issue with what does "rule out" mean; right? 5
  - Α. Correct.
- 7 Q. You answered the question just fine;
- 8 correct?
  - Α. Yes.

than you; right?

- Now, Dr. Cutshall. If he says -- if he 10 Q. testified to this jury that he cannot rule out OPs, 11
- that's different than you; right? 12
  - A. Correct.
- 14 Q. Now, if he says, the signs and symptoms are consistent -- and, I'm sorry. I'm writing like 15 a doctor -- right? -- messy? Signs and symptoms 16 consistent with organophosphates. That's different 17
  - Α. He said the big picture of his signs and symptoms were consistent with organophosphates.
- That's what you're telling me? 21
- 22 Q. If he testifies to this jury that based 23 upon the signs and symptoms, primarily the pinpoint
- 24 pupils and the foaming, that is consistent with
- organophosphates, that would be different with 25
- 318 1
  - yours saying it's inconsistent; right?
  - 2 A. Yes, it would. Okay. And you told the jury that you 3
  - believe Dr. Cutshall had ultimately determined that
  - Ms. Neuman, his patient, died of heat stroke; 5
  - 6 right?

- 7 Α. Correct.
- You saw that in the medical records? 8 Q.
  - Α. Correct.
- Did you know that Dr. Cutshall explained 10 to this jury the reason why he wrote heat stroke in 11
- 12 the final diagnosis had something to do with
- 13 billing?
- 14 MR. HUGHES: Objection. Misstates the 15 testimony.
- THE COURT: I'm sustaining as to the form of 16
- 17 the question. Q. BY MS. DO: Part of the reason why you 18
- felt comfortable concluding that Ms. Neuman died of 19
- heat stroke in this case was your belief that 20
- Dr. Cutshall had in his death summary concluded 21
- 22 that Ms. Neuman died of heat stroke; correct?
- 23 Α. Correct.
- So if Dr. Cutshall testified to this jury 24
- 25 that that opinion, ultimate opinion, of heat stroke

- had something to do with billing, would that change 1 2 your opinion?
- 3 Something to do with billing. What does Α. 4 that mean?
  - Q. Well, you're a doctor of 11 years?
- 6 Α. Correct.

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- 7 And you understand that when you treat a 8 patient, there are codes that you apply that get 9 entered into the computer --
- 10 Α. Correct.
- 11 Q. -- for billing purposes; right?
- 12 Α. Yes.
- 13 Q. And I understand that in the hospital 14 setting and the medical setting, they're pretty 15 strict about those codes?
- Α. 16 Yes.
- 17 Q. So that's what I mean when I say "billing." You understand now? 18
  - Well, no. Because it's funny you say that. Because billing is based -- I can write any diagnosis I want on the end. But that's not what it gets coded as. Coders actually are people that are independent of myself. And they look through the medical record to show that there is proof of

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- So I can say the sky is green, the sky is green, the sky is green, throughout my whole dictation and at the very end say the sky is blue. Well, they're not going to code sky is blue. They're going to code sky is green because that's what I said through the whole body. So what you actually write doesn't really affect your billing as far as a diagnosis.
- Q. Perhaps in your experience. But my question to you is, Dr. Dickson, is that you didn't hear Dr. Cutshall testify on March 29; correct?
  - Α. Correct.
- 13 And my question to you, since you told this jury that your opinion about heat stroke 14 relied on Dr. Cutshall's final diagnosis of heat 15 16 stroke; right?
- A. Correct. 17
- 18 Q. And I'm asking you, if Dr. Cutshall 19 testified -- if he testified the reasons why he had 20 the words "heat stroke" in his death summary had 21 something to do with billing, does that affect your 22 opinion?
- A. 23 No.
- 24 Q. Doesn't at all?
- 25 Α. No. Because his whole process back to

- the billing question is you look at the meat of the 1 process of what his notes were, why he came up with 2
- that diagnosis, the thought that went into it, is 3
- clear that it goes that direction. 4
- 5 Q. You know that Dr. Cutshall wrote, 6 respiratory failure secondary to heat stroke;
- 7 right?

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- Α. Yes.
  - As a cause of death; right?
- 10 Α. Sure.
- And so if I ask Dr. Cutshall on March 29, Q. 11
- when he testified in front of this jury, the change 12
- 13 from your admitting diagnosis to your final
- diagnosis that included heat stroke -- why did that 14
- occur? And if Dr. Cutshall said, part of that 15
- 16 is -- some of it is to do with medical billing.
- And it's not a cause of death to say respiratory 17
- failure for medical billing. And so there needs to 18
- be some clarification when you're approaching a 19
- death summary of what billable causes of death and 20
- 21 what can be written up on a death certificate.
- They won't accept vaguer answers than that. They 22
- need specifics of what the cause was at the time. 23
- 24 If Dr. Cutshall testified to that to this
- jury, you're saying that that wouldn't shake your 25

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- opinion at all about heat stroke for Ms. Neuman? 1
  - Α. No.
- 3 Q. All right. Now, you also know that
- 4 Dr. Lyon testified right after Dr. Cutshall; right?
  - I didn't know when temporally --
- Q. All right. Just assume that he did. 6
- 7 Α. Okay.
- Now, if Dr. Lyon testified he could not 8 Q.
- rule out organophosphates, that some of the signs 9 and symptoms described to him are consistent with
- organophosphates, again, different from you; right?
- 11 12
  - A. Yes.
- Now, if Dr. Mosley testified, also cannot 13
- rule out organophosphates; signs and symptoms, 14
- primarily the pinpoint pupils and the foaming, are 15
- consistent with organophosphates, he'd be different 16
- 17 from your testimony; right?
  - Α. Correct.
- Now -- and you understand, having seen 19
- 20 Dr. Paul's report, that Dr. Paul believes you
- cannot rule out organophosphates, and that signs 21
- and symptoms are consistent with organophosphates; 22
- 23 correct?
  - That's what Dr. Paul -- he didn't say --Α.
- my report from Dr. Paul does not say that he said 25

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organophosphates. It said, some other unknown substance.

3 **Q.** Well, Mr. Hughes called you. He told me yesterday he called you while you were in Tahoe. 4 Right? 5

A. Uh-huh.

7 Q. And told you about organophosphates in

this case? 8 9

A. Correct.

10 Q. And that just occurred on March 30th;

11 right?

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Α. Correct.

Q. And you understood that that came from

Dr. Paul? 14

> A. I didn't understand where it came from. I heard that there was a theory.

17 Q. All right. So Dr. Paul, when he 18 testifies to this jury -- consistent with

Dr. Cutshall, Lyon and Mosley, testifies that he 19

cannot rule out organophosphates because the signs 20

21 and symptoms of these particular diseases were

22 consistent with organophosphates, that would make

four doctors -- right? -- that are in conflict with 23

24 your testimony?

I don't know if they're in conflict.

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They're saying that there are signs and symptoms

that can be -- I think we're saying the same things 2

in some of the same respects. There are signs and 3

symptoms that can be in heat illness that can be in 4

organophosphates. So I don't know if we're saying 5 6

completely different things.

From what you told me, that they said they can't rule it out but they're secure in their diagnosis, they were greater than 50 percent, you said, for one. Another one was -- that's the question, is whether they -- you know -- can they say it was 100 percent versus 99.

Q. Might it, then, not be important that you had spoken to Dr. Cutshall about this case?

A. Say that again.

Maybe that would make it important for to you talk to Dr. Cutshall about this case before you reached an opinion; right?

A. I try to look at the objective criteria. And that's what people are seeing when it's happening. I try to look at that first.

The people who are seeing it when it Q. happens?

24 Α. Correct.

> Q. So my question to you, Dr. Dickson, is

there are four octors now that you're aware of who

say they cannot rule out organophosphates, that the

signs and symptoms are consistent with 3

organophosphates; right? 4

A. I didn't hear you say that they're -- all 5 their signs and symptoms are consistent with 6 organophosphates. I heard that there are some 7 signs and symptoms that can present as

organophosphates. But I didn't hear you say that 9

they are saying -- is that what you're testifying, 10

their testimony said that all these signs and 11

symptoms are only organophosphates, not heat 12

13 illness? 14 Q. I'll repeat the question.

Α. Okay.

Q. Four doctors -- Cutshall, Lyon, Mosley, 16

Paul -- all four of those doctors say they cannot 17 rule out organophosphates because there are signs 18 and symptoms consistent with organophosphates. 19

We're on the same page?

21 Α.

22 Q. All right. So those are four doctors we have here. And you're the only one up here who has 23

said you rule out organophosphates; right? 24

Correct.

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Q. You're the only one up here who say the 1 signs and symptoms and the big picture are

inconsistent with organophosphates; right? 3

4 So you're telling me that I'm the only one that said in the big picture these are -- that 5 the doctors all said that they are -- there is no 6 signs and symptoms -- they're all consistent only 7 with organophosphates? 8

Q. When you say, "big picture," I don't know 9 what you mean. But I mean you've reviewed all the 10 medical records; right? 11

> Α. Yeah.

13 **Q.** All the autopsy reports; right?

> Α. Correct.

And I'm saying that these doctors have done the same thing --

Α. Correct.

18 Q. -- right? So in reviewing the same thing these doctors have, you've reached the opinion that 19 you rule out organophosphates; right? 20

A. Correct.

Q. You've reached the opinion that there are 22 signs and symptoms inconsistent with 23

24 organophosphates; right?

That there are signs and symptoms

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- inconsistent with organophosphates. Yes. I 1 2 reached that opinion.
- 3 Q. You're the outlier here, wouldn't say?
- 4 That's the question.
  - Q. That's the question I'm asking you.
- 6 You're the outlier here; right?

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- A. That's the question. You're telling me about a testimony that these doctors made that you're saying that they said all these doctors completely recanted everything that they said in the medical record and said, I'm completely wrong. This is not heat illness. This is not heat stroke. And this is organophosphates.
- Is that what you're telling me?
- 15 Q. I don't believe that's what I told you at 16 all.
- 17 Okay. Well, then explain it to me. Because what you're writing here I think implies 18 19 that.
  - Q. What I've written there, Dr. Dickson, is simply Dr. Cutshall, Dr. Lyon, Dr. Mosley, and when Dr. Paul testifies -- all these doctors, if the jury has heard this evidence, obviously they
- 25 Α. Absolutely.

decide; right?

- Q. And so I'm giving you a hypothetical. If 1
- these four doctors testified or will testify they 2
- cannot rule out organophosphates, the signs and 3
- symptoms are consistent with organophosphates, that 4
- is conflicting with your testimony; right? 5
- 6 If hypothetically they said that, then 7 yes.
- 8 Q. And so then that would make you hypothetically the outlier; right? 9
  - Well, yes. Hypothetically, yes.
- Q. Okay. So moving from the hypothetical, 11 if the jury decides ultimately those facts are 12 true, four doctors against Dr. Dickson, you are the 13 14 outlier; right?
  - A. Absolutely. That's their decision.
  - Q. Now, you had told Mr. Hughes that another reason you reached the conclusion that you have in this case -- heat stroke -- is because you reviewed the other participants' records; right?
- 20 Α. Yes.
- Did you see anything in any of the 21 Q. participants' records that told you this was not 22 heat stroke? 23
- That this was not heat stroke. Well, 24 Α. again, it comes down to the information you have.

- You make the best decision with the information. 1
- The preponderance of the -- what I read were 2
- symptoms consistent with heat stroke. 3
- My question to you, Doctor, since you 4 reviewed the medical records, did you see any 5 medical records where a doctor said not heat 7 stroke?
  - A. I'm sorry. Then I'm maybe missing the question. Say it again.
- Q. I'll repeat it. I know it's been a long day. In reviewing the medical records, did you see any doctor reach the conclusion not heat stroke? 12
- 13 It's possible. I read a lot of them. But I don't remember specifically somebody saying 14 15 that.
- Q. Okay. So when you earlier told the jury 16 that you didn't believe there were any evidence or 17 signs and symptoms that could rule out heat stroke, 18 at this point you're not sure whether or not you 19 did, in fact, see a doctor say not heat stroke? 20
  - There is always that possibility.
- Q. Then let me show you Mr. Stephen Ray's 22
- medical records, Exhibit 213. You did get this 23
- 24 from the state; right?
  - Α. Yep.
  - We're going to take a look at two pages.
- 2 A. Oh. Yeah. This was the neurologist. I
- 3 remember this one.
- 4 Q. The neurologist?
- Yeah. I think this is the neurologist 5
- 6 one.
- **Q.** I'm not sure what you mean by that. 7
- Let's go to -- I'm at Bates stamp 7097, Doctor, in 8
- those medical records. 9
- 10 Α. Yes.
- Okay. And the jury has it up on the 11
- screen. The top right shows a Dr. EmmaLee Kennedy; 12
- 13 right?

14

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- Α. Correct.
- And so the jury understands, when a 15 Q. doctor dictates, meaning talks into a digital 16
- 17 recorder or whatever, there is a sign date; right?
  - Α. Correct.
- And this indicates that the date that 19
- Dr. Kennedy signed the report was October 27, 2009; 20
- 21 right?
- 22 That's when they signed it. Correct. A.
- 23 And then this date over here, the result
- date, indicates when she would have examined the 24 patient, October 10; right? 25

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- If that's how their system works, yes. 1 Α.
- 2 Q. Any reason to dispute that?
- 3 Α. No.
- Q. And on October 10, 2009, when Dr. Kennedy 5 looked at Mr. Stephen Ray, she wrote, the patient
- 6 does not appear to have heat stroke; correct?
- 7 Correct.
- 8 Q. Did that weigh at all into your
- conclusion? Because earlier you told Mr. Hughes
- that you would expect the same cause for all 18 10
- 11 participants because they came from one incident;
- 12 right?
- 13 A. Correct.
- 14 Q. Did you consider and weigh the fact that
- 15 there was a doctor who looked at Mr. Ray, Stephen
- 16 Ray, a critically ill admitted to Flagstaff Medical
- Center, who on October 10, I believe, one or two 17
- 18 days before he was discharged, said, the patient
- 19 does not appear to have heat stroke?
- 20 I remember actually reading this one.
- 21 Yes. I did consider that.
- Q. But it didn't change your opinion at all? 22
- 23 Α. No.
- 24 Q. That's Dr. Kennedy. Now, let's take a
- look at Dr. Neff, who saw the same patient on
- October 11. We're going to go to Bates stamp 7095.
- 2 Okay. And you see up here -- are you on that page,
- 3 Doctor? 7095?

- 4 A. Iam.
  - Q. This is Dr. Neff, Richard Neff, signed on
- October 11, 2009; correct? 6
- 7 A. Correct.
- 8 Q. A different doctor than Dr. Kennedy?
- 9 Α. Correct.
- 10 **Q.** Same patient?
- 11 Α. Correct.
- 12 Q. And his report shows the result date of
- 13 October 11, 2009, indicating, at least to your
- knowledge, that's the date the patient was seen by 14
- 15 Dr. Neff?

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- Α. Yes.
- Q. In reviewing the medical records, did you 17
- 18 note that Dr. Neff, the second doctor seeing
- Stephen Ray, wrote, the patient does not appear to 19
- 20 have had heat stroke?
  - A. Yes.
- 22 A second doctor seeing the same patient
- 23 said that. Did that weigh into your conclusion at
- all? 24
- 25 Absolutely. This goes back to what I

- talked about earlier is when you -- early on in the 1
- diagnosis, it's a classic problem for ER docs. 2
- You're trying to find the answer with this much
- information. And having the luxury to now look at
- 5 this after I've got all the information, you can
- 6 see where a doctor can get down maybe the wrong
- 7 path.
- So the preponderance of evidence shows 8
- that they were down the wrong path at this time. 9
- 10 And he also compared it to what their signs and
- symptoms were. You go back to the literature, not 11
- 12 just -- this is a quote of mine. I teach it to my
- 13 students. This is isn't just semantics and telling
- you things. This is evidence based. I don't just 14
- tell people in my lectures my opinions. As you 15
- nicely pointed them out, I take them from the 16
- literature. And you go back to the literature to 17
- look for those signs and symptoms. 18
- Q. You go to the literature to learn what 19 the signs and symptoms are possibly for each 20
- disorder. But you go to the signs and symptoms of 21
- the patient to determine what that patient suffered 22
- 23 from; right?

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- Α.
- 25 Q. So Stephen Ray -- you're telling this
  - jury that you did consider two different doctors'
- opinion on October 10, October 11, that Mr. Ray did 2
- not have heat stroke, but you're discounting it 3
- because they went down the wrong path? 4
  - A. Correct.
- 5 Q. So --6
- Or maybe they didn't have all the 7
- information they needed at that time to make that 8
- 9 decision.
- Q. Dr. Dickson, have you talked to 10
- 11 Dr. Kennedy?

12

- Α. No.
- 13 Q. Have you talked to Dr. Neff?
- Α. 14
- And yet you're willing to on this day 15 Q.
- tell the jury that these two doctors, whom you've 16
- never met, never spoken to, were wrong? 17
- A. In this case at this point in time, they 18 might not have had all the information they needed 19 20 to make that decision.
  - Do you know that for a fact?
  - I said, no. They might not.
    - So you don't know that for a fact; right? Q.
    - Α. No.
- 25 Q. You're just guessing?

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A. Absolutely. I don't-know what information they had when they made those decisions. And that's what I'm saying. I've got the luxury of now looking at the bigger picture.

Q. Okay. I understand that. You said, absolutely you're just guessing that these doctors didn't have all their information when they, two independent doctors, said Mr. Ray did not have heat stroke; correct?

A. At that time they might not have had the information they needed. That's correct. And I cannot verify that because I've never talked to them.

Q. Okay. So don't you think that it's important before you -- I mean, what you say on that witness stand is evidence. You understand that, right?

# A. Absolutely.

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Q. Don't you think it's important before you reach a conclusion that you offer to this jury that you base that not on guesses and speculation, but actual facts that you know?

A. And these are the facts that I know. And this is what I based it on.

Q. And in what you're pointing to those

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- medical records, that stack, is there anything in
- 2 there that tells you Dr. Kennedy didn't have the
- information she needed to determine, in her 3
- opinion, that Mr. Ray did not have heat stroke? 4
  - A. It's pretty early on in the symptoms. So they might not have had a lot of exposure to heat stroke. If somebody is -- has experience, you learn about heat stroke as -- in medical school and maybe residency. But if you don't see it all the time, you might not think of it.
  - Q. Now you are rendering an opinion that these doctors may not be qualified to treat and diagnose heat illnesses?
    - Just a possibility.
    - Q. Based on what, Doctor?
- A. I don't know them. I can't make that 16 17 judgment.
  - Q. So don't you think, then, rather than making a possible judgment, you should not make a judgment at all?

A. I made a judgment as to why, what my 22 thought was for the cause of death based on everything. Now, there are things that point to one direction and the things that point to another direction. Unfortunately, like I said, nothing is

100 percent m medicine. I wish it was. It would make my life a lot easier.

But you have to take the majority of the 3 information and see where it's going. There are going to be outliers. But you got to take the big picture. And the big picture does show heat 6 stroke. 7

Q. You said a moment ago that it's a possibility that Dr. Neff and Dr. Kennedy -- both doctors who you've not met; right? Do you know anything about their credentials?

#### Α. Nothing.

Do you know whether Dr. Neff actually 13 received the 2009 Physician of the Year Award at 14 Flagstaff Medical Center? 15

## A. Don't know.

Q. Okay. So you really have no basis to 17 tell this jury or to question in front of this jury 18 19 the qualifications or credentials of these doctors; 20 riaht?

A. I'm not questioning their qualifications 22 or credentials.

23 **Q.** So you mentioned earlier that there are certain signs and symptoms that you would see in 24 the toxidrome for cholinergic toxicity; correct? 25

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- Α. Correct. 1
  - Q. And it was SLUDGE?
    - And the other one is DUMBBELLS. Α.
- Q. We'll get there. SLUDGE. Do you know 4
- whether it's SLUDGEM? 5
  - There is lots of different mnemonics. Α.
- 7 Q. Can you tell me what the "S" stands for.
- Α. Salivation. 8
  - Q. And "L"?
- Α. Lacrimation. 10
- And lacrimation is what, Doctor? 11 Q.
  - Α. Tearing.
- 13 Q. Excessive tearing; right?
- Well, when you're lacrimating at all, 14 Α. it's tearing. 15
  - Q. Well, I think you I heard you explain earlier -- maybe I didn't -- that the reason why you have SLUDGEM is because organophosphates, the compound, inhibits a particular enzyme. Correct?

### Α. Correct.

It results in overstimulation of certain 21 Q. 22 receptors; right?

### Α. Correct.

24 And the overstimulation of those receptors results in excessive production of

25 Page 337 to 340 of 356

- certain byproducts; correct? 1
- 2 Α. Correct.
- Q. 3 One of them would be excessive
- 4 salivation?
- 5 A. Correct.
- 6 Q. Another would be excessive lacrimation,

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- 7 excessive tearing; right?
- A. I guess we're splitting hairs. The 8
- definition of "lacrimation" is just tearing. But
- in the setting of poisoning, you will have 10
- excessive lacrimation. 11
- 12 Q. Excessive tearing?
- 13 Α. Correct.
- 14 Q. What does the "U" stand for?
- Α. Urination. 15
- 16 Q. You think it's urination?
- Α. 17 Yes.
- 18 Q. Okay. What does the "D" stand for?
- Α. Defecation. 19
- 20 Q. Not diaphoresis?
- 21 Α. You know, that's the thing with
- 22 mnemonics. You can adjust them to your need.
- 23 Q. Is defecation and diaphoresis the same
- 24 thing?

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- 25 Defecation and diaphoresis are not the
- same thing. 1
- 2 Q. Right. What's diaphoresis?
- 3 Sweating.
- 4 Q. And I might have misspelled this again.
- 5 Is that how you spell diaphoresis?
  - A. I think it's d-i-a.
- 7 Q. Thank you. What's "G"?
- 8 GI upset.
- 9 Q. Gastrointestinal?
- 10 Α. Correct.
- 11 So wouldn't defecation fall under GI
- upset and "D" stands for diaphoresis? 12
- 13 Α. Could be. Again --
- 14 Q. If you know.
- -- this is the one I use. Α. 15
- Q. What does "E" stand for? 16
- 17 Α. Emesis.
- 18 Q. Emesis is?
- 19 Α. Vomiting.
- 20 Q. And the "M" stands for?
- 21 Α. I'm assuming it's miosis.
- 22 Do you know? I don't --
- 23 Well, I don't use SLUDGE. DUMBBELLS is
- 24 where they put the miosis in there.
- 25 Okay. Well, we'll do DUMBBELLS. But

- perhaps their individual metabolism; right? 21
- 22 Their individual metabolism, like
- children versus adults. Yes. 23
  - Okay. And all those factors, depending
- on what you have present, can determine whether or

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- not somebody who suffers from organophosphate 1
- 2 toxicity might have salivation and emesis and
- 3 miosis but not lacrimation; right?
  - A. I guess. Yes.

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problems.

- Q. Do you know that?
- A. The problem with -- one of the things that -- the end all, be all, of organophosphates, why they're a problem, if I'm crying, it doesn't really affect my health. If I'm vomiting, to a certain degree. It's not such a bad deal. Defecation. I'm pooping. It's not going to kill

me. The problem with organophosphates is the salivation. And what happens is is they get so 14 much saliva that it gets down into their lungs, ends up causing -- they can't exchange oxygen and they can't breathe. So that's the big problem with organophosphates is ultimately you can't breathe. And the treatment is based on addressing those

Organophosphates can do these things. 22 They also can do other things based on the receptors they get. It's back to a little pathophys. You can have two types of receptors that the organophosphates can work on. The other

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kind are -- there's the nicotinic and muscarinic.

And not to bore you here, but the other 2 3 signs and symptoms can be where they can get confused. They can have convulsions. If it hits 4 5 those receptors, it can make big pupils. It depends on which receptor it hits.

But ultimately the part of organophosphates that you worry about is if they get too much fluid in their lungs, they can't breathe, they don't have enough oxygen, they die. That's the concern of organophosphates.

Q. Okay. I understand that. But my question is -- you raised something that I wanted to ask you about. If you have the compound binding and activating on a muscarinic receptor, what do you see in the heart rate?

16 17 A. Well, that's a good question. Generally you can go -- you can get bradycardia or 18 tachycardia. But generally the classic one for --19 the DUMBBELLS is the other mnemonic. And that's 20 21 bradycardia. But if you get the muscarinic 22 receptors, you can get tachycardia. So unfortunately it's not a -- again, it's not an easy 23

one. You've got to look at both sides. 24 25

So if it activates the muscarinic, what

are you saying. It's bradycardia or tachycardia? 1

You can have tachycardia with it.

And so then if it activates nicotinic, O.

you're saying it's bradycardia?

A. Again, in general. But it's not 5 6 100 percent.

Q. Are you certain of that?

Yes. That's what DUMBBELLS stands for. Α.

All right. We'll get back to that. My 9

question is -- the question I asked you before we 10

went into that was simply -- and we can refer to 11

Goldfrank's, which I'll give you Exhibit 1008. 12

Do you have the other article? Do you 13

14 have a copy?

Well, let's do this so we don't waste

time. You do see here in this article specifically 16

about insecticides, organophosphate compounds, and 17

carbonates under clinical manifestations. The 18

19 onset of symptoms varies according to the

compounds, route and degree of exposure; right? 20

Α.

22 Q. And what that means is that depending on

any one of those three factors, a patient exposed 23

to organophosphates may show miosis and salivation, 24

but not GI upset; right? 25

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A. That's not what this says. It says, the

onset of symptoms. So that means when the onset of

the symptoms — it doesn't say the different 3

system. It just says, the onset. So how quickly 4

do you become symptomatic? And that's based on if

you drink a gallon of it or if you drink a little

7 bit. So it's not saying that in this article.

It's saying the onset. 8

9 Let me show you another so that we -- you

think "onset" means the time of when it presents; 10

11 right?

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That's what onset means. Α.

As opposed to which one becomes present? 13 Q.

14 Correct. Α.

Q. Let me show you --

That's what this article says. 16 Α.

Q. Okay. I understand. This is

Occupational Medicine, Human Health Effects of 18

19 Pesticides; right?

Α.

No reason to believe that this is not a Q.

reliable literature? 22

Α. No reason at all. 23

And you see here that it says, because of

the nature of the presenting symptoms depends 25

1 somewhat on the route of absorption, some 2 anticipated symptoms or signs may be more or less

3 predominant than others; right? MR. HUGHES: Your Honor, may we have the 4

purposes of the record?

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THE COURT: Yes. We will do that. It's 1015, which will be marked.

document that Ms. Do just read from marked for

MS. DO: Thank you, Your Honor.

Did I read that right?

A. Well, I'm trying to read the whole thing. It talks about -- it says, although the symptoms of acute intoxication are relatively straightforward, the actual diagnosis is much more difficult than may be expected.

It says, the relative toxicity and potential for absorption across skin compared to other routes may be especially -- may be an especially confounding factor. Because the nature of the presenting symptoms depends somewhat on the route of absorption, some anticipated symptoms or signs may be more or less predominant. A delay in absorption may occur --

Q. Doctor, let me just stop you there. I'll be more than happy to give you a copy of this at

the end of day.

But the guestion I have in front of the jury is, depending on those factors -- route of absorption, compound and the dosage -- some signs and symptoms may be more dominant than others; correct?

Α. Some. Yes. That's what this says.

Now, you read in here something about absorption through skin may be a little bit more

10 confounding. Was that what you read?

I'll have to read it again. I'm sorry.

12 Q. Well, let me just ask you this: You do 13 know that, as we talked about earlier, heat and humidity -- if somebody is sweaty and hot, their 14 15 skin is going to make them more vulnerable to

16 absorption of any kind of toxins; right? 17

Α. It can.

18 Q. Okay. Now, you told Mr. Hughes that you didn't see any evidence of excessive salivation in 19 20 this case; is that right?

Well, that's a good question. There are a couple cases where, I guess -- actually, it might have been Stephen Ray -- the paramedic thought he saw salivation. He actually said he saw excessive

salivation. But then when he went to actually

examine the patient, he said it was vomit. And 1 then when he got to the hospital, his mucus 2 membranes, which means your mouth, was dry. 3

So there is a question. You have to look 4 5 at the whole picture as to what did they really

see. So I'll have to look at my records, but I 6

7 think it was Stephen Ray.

Q. Let's take a look. It's Exhibit 213.

You have that in front of you. You said earlier 9

that you didn't see any evidence, for example, of 10

11 tearing; right?

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Α. I don't think I said that. Did I?

13 I'm asking you. Did you tell Mr. Hughes

under direct examination that you would expect to 14

see tearing if people were suffering from 15

organophosphates, and you saw no evidence of that? 16

Well, I think I would see the big picture 17 Α. of tearing --18

19 My question, Dr. Dickson, I'm sorry, is, Q. 20 did you tell Mr. Hughes that?

I don't know if I said those exact words.

22 Okay. Then the next question I think you Q.

were trying to answer is, did you see evidence of 23

24 that?

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Did I see evidence of tearing? A.

Q. Yes.

> Well, I think it was Mr. Ray. A.

Let's take a look at Bates stamp 6997, 3

4 which is the EMT records.

A. Yep.

Okay. And you do see, patient had both 6 Q.

7 eyes open with tears? Right?

Where are we at? I'm sorry. Initial 8 A. 9 physical exam.

10 MR. HUGHES: Just for the record, can you give

11 us an exhibit number? 12 MS. DO: Yes. It's Exhibit 213, Bates stamp

6997. 13

Okay. So we're looking at the same thing 14 Q. up here; right? 15

16 A. I guess I'm looking -- yes. I see that.

Stephen Ray, who Dr. Neff, Dr. Kennedy, 17 Q.

seeing him on two separate dates, said, patient did 18

not appear to have heat stroke. That same patient 19

seen by the EMS, the first responders noted both 20 eyes open with tears; right?

22 A. Correct.

That's evidence of lacrimation; right? 23 Q.

> A. Correct.

Now, you told the jury earlier that --

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1 Pink frothy sputum coming out your mouth is a sign of frank pulmonary edema. 2 3

What is frank pulmonary edema? I understand you're telling the jury what you would expect to see if there was frank pulmonary edema.

6 My question is, what is --

It's obvious. Frank pulmonary edema means it's very obvious. So ---

Anything else? Q.

10 A. What's that?

Anything else that frank pulmonary edema

12 means?

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13 Α. That's what it means.

14 If Dr. Mosley testified to this jury that 15 frank pulmonary edema means immediate onset of pulmonary edema as opposed to late-stage pulmonary 16 edema, do you agree or disagree that?

No. He's talking about flash pulmonary edema. That's different than frank.

So you're saying Dr. Mosley is wrong? THE COURT: I'm sorry, Ms. Do. We have to recess at 5:00, and we're a couple minutes past

23 that now.

24 Ladies and gentlemen, we'll take the recess. Remember the admonition, of course. Be 25

STATE OF ARIZONA REPORTER'S CERTIFICATE COUNTY OF YAVAPAI

356

I. Mina G. Hunt, do hereby certify that I am a Certified Reporter within the State of Arizona and Certified Shorthand Reporter in California. I further certify that these proceedings

were taken in shorthand by me at the time and place herein set forth, and were thereafter reduced to 9 typewritten form, and that the foregoing 10

constitutes a true and correct transcript. 11

I further certify that I am not related 12 to, employed by, nor of counsel for any of the 13 parties or attorneys herein, nor otherwise 14 interested in the result of the within action.

In witness whereof, I have affixed my 16 signature this 22nd day of May, 2011. 17

18 19 20

22 MINA G. HUNT, AZ CR No. 50619 CA CSR No. 8335 24

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3	
4	I, Mina G. Hunt, do hereby certify that I
5	am a Certified Reporter within the State of Arizona
6	and Certified Shorthand Reporter in California.
7	I further certify that these proceedings
8	were taken in shorthand by me at the time and place
9	herein set forth, and were thereafter reduced to
10	typewritten form, and that the foregoing
11	constitutes a true and correct transcript.
12	I further certify that I am not related
13	to, employed by, nor of counsel for any of the
14	parties or attorneys herein, nor otherwise
15	interested in the result of the within action.
16	In witness whereof, I have affixed my
17	signature this 22nd day of May, 2011.
18	
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23	MINA G. HUNT, AZ CR No. 50619
24	MINA G. HUNT, AZ CR No. 50619 CA CSR No. 8335
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